

## **OSU-03012 stimulates PERK-dependent increases in HSP70 expression, attenuating its lethal actions in transformed cells.**

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Abbreviations:

ERK: extracellular regulated kinase; MEK: mitogen activated extracellular regulated kinase; EGF: epidermal growth factor; OSU: OSU-03012; PARP: poly ADP ribosyl polymerase; PI3K: phosphatidyl inositol 3 kinase; -/-: null / gene deleted; ERK: extracellular regulated kinase; MAPK: mitogen activated protein kinase; MEK: mitogen activated extracellular regulated kinase; R: receptor; JNK: c-Jun NH<sub>2</sub>-terminal kinase; dn: dominant negative; COX: cyclooxygenase; P: phospho-; ca: constitutively active; WT: wild type; PERK: PKR like endoplasmic reticulum kinase; HSP: heat shock protein.

**Abstract.**

We have further defined mechanism(s) by which OSU-03012 (OSU), a derivative of the COX2 inhibitor Celecoxib but lacking COX2 inhibitory activity, kills transformed cells. In cells lacking expression of PKR-like endoplasmic reticulum kinase (PERK  $-/-$ ) the lethality of OSU was attenuated. OSU enhanced the expression of Beclin 1 and ATG5 and cleavage of pro-caspase 4 in a PERK-dependent fashion and promoted the Beclin 1- and ATG5-dependent formation of vacuoles containing LC3, followed by a subsequent caspase 4-dependent cleavage of cathepsin B and a cathepsin B-dependent formation of low pH intracellular vesicles; cathepsin B was activated and released into the cytosol and genetic suppression of caspase 4, cathepsin B or AIF function significantly suppressed cell killing. In parallel, OSU caused PERK-dependent increases in HSP70 expression and decreases in HSP90 and Grp78/BiP expression. Changes in HSP70 expression were post-transcriptional. Knock down or small molecule inhibition of HSP70 expression enhanced OSU toxicity and over-expression of HSP70 suppressed OSU-induced low pH vesicle formation and lethality. Our data demonstrate that OSU-03012 causes cell killing that is dependent on PERK-induced activation of multiple toxic proteases. OSU-03012 also increased expression of HSP70 in a PERK-dependent fashion, arguing that OSU-03012-induced PERK signaling promotes both cell survival and cell death processes.

### Introduction.

Inhibitors of cyclooxygenase 2 (COX2) were originally developed to inhibit inflammatory immune responses, with a primary intention to use such agents clinically in the treatment of chronic diseases e.g. rheumatoid arthritis (Hawkey et al., 2005; , Kiefer et al., 2004). It was also noted that COX2 was over-expressed in many tumor cells and that agents which inhibited COX2 e.g. Celecoxib<sup>TM</sup> (Celebrex<sup>TM</sup>) could suppress tumor cell growth in vitro and when grown as xenografts in animals (Klenke et al., 2006; Koehne et al., 2004; Cui et al., 2005; Kang et al., 2006). Studies in patients demonstrated that individuals with prolonged exposure to COX2 inhibitors as part of an anti-inflammatory therapeutic regimen also had a lower incidence of developing cancer, suggestive that COX2 inhibitors were cancer preventative (Kashfi and Rigas, 2005; Narayanan et al., 2006). However, as the sensitivity of tumor cells to COX2 inhibitors was investigated in greater detail, it became apparent that expression of COX2 did not *per se* correlate with tumor cell sensitivity to COX2 inhibitor treatment (Patel et al., 2005; Kulp et al., 2004). The agent OSU-03012 was developed as an anti-cancer agent, with Celecoxib as the chemical backbone (Zhu et al., 2004). In vitro OSU-03012 has an order of magnitude greater anti-tumor activity than Celecoxib, but lacks COX2 inhibitory activity. Based on these preliminary observations, OSU-03012 was approved for development by the National Cancer Institute RAID program, with likely initiation of a Phase I drug trial in 2007. Studies by our laboratory recently argued that OSU-03012 caused cell death through mechanisms which involved a form of endoplasmic reticulum (ER) stress signaling and mitochondrial dysfunction but that were a caspase-independent form of cell death, as initially judged by a lack of effect of the caspase inhibitor zVAD and expression of dominant negative caspase 9. Instead, our findings argued in HCT116 cells that knock down of apoptosis inducing factor (AIF) expression significantly attenuated OSU-03012 lethality (Yacoub et al., 2006).

In the last 5-10 years, multiple growth factor receptors and downstream signal transduction pathways have been linked to the advantage tumor cells have over non-transformed cells in terms of increased rates of proliferation and cell survival following exposure to toxic stresses (reviewed in Dent et al., 2003; Valerie et al., 2007). The

toxicity of OSU-03012 in tumor cells was initially argued to be due to inhibition of the enzyme PDK-1, part of the PI3 kinase pathway, in as much as OSU-03012 can suppress AKT phosphorylation and showed measurable inhibition of PDK-1 activity in the 5-50  $\mu$ M range in vitro (Dent et al., 2003). OSU-03012 has also been shown to interact in a synergistic fashion with BCR-ABL inhibitors and with the ERBB2 inhibitor Herceptin to suppress tumor cell viability and to kill in a manner that is in many cell types at least partially caspase independent (Johnson et al., 2005; Tseng et al., 2005; Tseng et al., 2006; To et al., 2007). In our previous studies, we also noted that inhibition of either MEK1/2 or PI3K enhanced the toxicity of OSU-03012 in glioma, colon cancer and transformed rodent fibroblast cell types (Yacoub et al, 2006). However, while OSU-03012 has been noted to suppress PDK-1 function and AKT activity, other data has also strongly argued that OSU-03012 toxicity, and its radiosensitizing effects, could not attributed to suppression of AKT signaling (Yacoub et al, 2006, Caron et al., 2004).

In the present studies, we have again utilized established and primary human glioma and established colon cancer cell lines, and transformed fibroblasts lacking expression of pro-apoptotic proteins, and examined the impact of OSU-03012 on cell viability, and further defined the molecular mechanisms by which OSU-03012 enhances tumor cell death (Yacoub et al, 2006).

**Materials and Methods.**

*Materials.* Phospho-/total- (ERK1/2; JNK1/2; p38 MAPK) antibodies, phospho-/total-AKT (T308; S473) and the total and cleaved caspase 3 antibodies were purchased from Cell Signaling Technologies (Worcester, MA). Anti-PERK, anti-BID, anti-caspase 2, anti-caspase 4, anti-cathepsin B, pan-anti-HSP70, anti-HSP90, anti-eIF2 $\alpha$  and anti-eIF2 $\alpha$  S51 antibodies were purchased from Cell Signaling Technologies (Worcester, MA). All the secondary antibodies (anti-rabbit-HRP, anti-mouse-HRP, and anti-goat-HRP) were purchased from Santa Cruz Biotechnology (Santa Cruz, CA). Enhanced chemi-luminescence (ECL) and TUNEL kits were purchased from NEN Life Science Products (NEN Life Science Products, Boston, MA) and Boehringer Mannheim (Mannheim, Germany), respectively. Trypsin-EDTA, DMEM, RPMI, penicillin-streptomycin were purchased from GIBCOBRL (GIBCOBRL Life Technologies, Grand Island, NY). HCT116 and U251 cells were purchased from the ATCC. BID  $-/-$  fibroblasts were kindly provided by Dr. S. Korsmeyer (Harvard University, Boston, MA). Transformed PKR like endoplasmic reticulum (PERK)  $-/-$  cells were a kind gift from the Ron Laboratory, Skirball Institute, NYU School of Medicine. Immortalized cathepsin B  $-/-$  fibroblasts and matched wild type fibroblasts were kindly supplied by Christoph Peters, Thomas Reinheckel (Medizinische Universitaetsklinik Freiburg, Freiburg, Germany) and Paul Saftig (Christian-Albrechts-Universitaet Kiel, Kiel, Germany). Short hairpin RNA plasmid constructs targeting ATG5 (pLVTHM/Atg5) was a generous gift from Dr. Yousefi, Department of Pharmacology, University of Bern, Bern Switzerland and targeting Beclin-1 (pSRP-Beclin 1), kindly provided by Dr. Yuan, Department of Cell Biology, Harvard Medical School, Boston, MA (Yang et al., 2005; Levine et al., 2005; Yousefi et al., 2006; Shibata et al., 2008). The level of knock-down of these autophagy related proteins in the tumor cells was determined by western blotting with an anti-ATG5 and an anti-Beclin-1 antibody (both from Santa Cruz Biotechnology). Commercially available validated short hairpin RNA molecules to knock down RNA / protein levels were from Qiagen (Valencia, CA): ATG5 (SI02655310); Beclin 1 (SI00055573, SI00055587); AIF (SI02662114, SI02662653); caspase 4 (SI02225692); HSP70A/B (SI00442967, SI03128370, SI00442988, SI00442995). Primary human GBM cells and information on the genetic background of such cells were very kindly supplied for our use by Dr. C. David James, University of

California San Francisco, CA. The plasmids to express green fluorescent protein (GFP)- tagged human LC3; (his)<sub>6</sub> tagged hamster Grp78/BiP; dominant negative PERK (Myc-tagged PERK $\Delta$ C); human HSP70 promoter linked to luciferase were kindly provided by Dr. S. Spiegel, V.C.U., Dr. A.S. Lee, USC/Norris Cancer Center, Los Angeles, CA, Dr. J.A. Diehl University of Pennsylvania, Philadelphia, PA, and Dr. S. Calderwood respectively. Other reagents and techniques were as described in (Yacoub et al., 2006; Caron et al., 2005, Yacoub et al., 2004; McKinstry et al., 2002; Fehrenbacher et al., 2004).

### *Methods.*

*Culture and in vitro exposure of cells to drugs.* All established cell lines were cultured at 37 °C (5% (v/v CO<sub>2</sub>) *in vitro* using RPMI supplemented with 5% (v/v) fetal calf serum and 10% (v/v) Non-essential amino acids. Primary human glioma cells were cultured in 2% (v/v) fetal calf serum to prevent growth of contaminating rodent fibroblasts during *in vitro* analyses. For short term cell killing assays, immunoblotting and AIF/cathepsin release studies, cells were plated at a density of 3 x 10<sup>3</sup> per cm<sup>2</sup> (~2 x 10<sup>5</sup> cells per well of a 12 well plate) and 48h after plating treated with various drugs, as indicated. *In vitro* OSU-03012 treatment was from a 100 mM stock solution of each drug and the maximal concentration of Vehicle (DMSO) in media was 0.02% (v/v). Cells were not cultured in reduced serum media during any study in this manuscript.

*In vitro cell treatments, microscopy, SDS-PAGE and Western blot analysis.* For *in vitro* analyses of short-term cell death effects, cells were treated with Vehicle or OSU-03012 for the indicated times in the Figure legends. For apoptosis assays where indicated, cells were pre-treated with vehicle (VEH, DMSO), zVAD (50  $\mu$ M), calpain inhibitor (Acetyl-Calpastatin (aa184-210)) (5  $\mu$ M) or Cathepsin B inhibitor ([L-3-*trans*-(Propylcarbamoyl)oxirane-2-carbonyl]-L-isoleucyl-L-proline Methyl ester) (1  $\mu$ M); cells were isolated at the indicated times, and either subjected to trypan blue cell viability assay by counting in a light microscope or fixed to slides, and stained using a commercially available Diff Quick (Geimsa) assay kit (Yacoub et al., 2006; Caron et al., 2005, Yacoub et al., 2004). OSU-03012 lethality, as judged in trypan blue exclusion assays or by

Geimsa assays, was first evident ~12h after drug exposure (data not shown). Alternatively, the Annexin V/propidium iodide assay was carried to determine cell viability out as per the manufacturer's instructions (BD PharMingen) using a Becton Dickinson FACScan flow cytometer (Mansfield, MA).

*Lysotracker visualization of lysosomes / acidic vacuoles.* The Lysotracker red dye was added at 50 nM (or 75 nM depending on cell type) and incubated ~20 min. Cells were fixed in 3.4% paraformaldehyde and visualized on an Axiovert 200 fluorescent microscope under the 40x objective. For 3-methyladenine inhibition of vacuole formation, 5 mM of 3MA was added to the cells for 30 minutes before OSU-03012 was added. Cells were stained with lysotracker red as explained above.

For SDS PAGE and immunoblotting, cells were plated at  $5 \times 10^5$  cells /  $\text{cm}^2$  and treated with drugs at the indicated concentrations and after the indicated time of treatment, lysed in whole-cell lysis buffer (0.5 M Tris-HCl, pH 6.8, 2%SDS, 10% glycerol, 1%  $\beta$ -mercaptoethanol, 0.02% bromophenol blue), and the samples were boiled for 30 min. The boiled samples were loaded onto 10-14% SDS-PAGE and electrophoresis was run overnight. Proteins were electrophoretically transferred onto 0.22  $\mu\text{m}$  nitrocellulose, and immunoblotted with various primary antibodies against different proteins. All immunoblots were visualized by ECL. For presentation, immunoblots were digitally scanned at 600 dpi using Adobe PhotoShop 7.0, and their color removed and Figures generated in MicroSoft PowerPoint.

*Infection of cells with recombinant adenoviruses.* Cells were plated at  $3 \times 10^3$  per  $\text{cm}^2$  in each well of a 12 well, 6 well or 60 mm plate. After plating (24h), cells were infected (at a multiplicity of infection of 50) with a control empty vector virus (CMV) and adenovirus to express HSP70, dominant negative AKT or dominant negative MEK1 (Vector Biolabs, Philadelphia, PA). Twenty four hours after infection cells were treated with the indicated concentrations of OSU-03012 and/or drugs, and cell survival or changes in expression / protein phosphorylation determined 0-48h after drug treatment by trypan blue assay and immunoblotting, respectively.

*Transfection of cells with siRNA or with plasmids.*

For Plasmids: Cells were plated as described above and 24h after plating, transfected. For mouse embryonic fibroblasts (2-5 $\mu$ g) or other cell types (0.5 $\mu$ g) plasmids expressing a specific mRNA (or siRNA) or appropriate vector control plasmid DNA was diluted in 50 $\mu$ l serum-free and antibiotic-free medium (1 portion for each sample). Concurrently, 2 $\mu$ l Lipofectamine 2000 (Invitrogen), was diluted into 50 $\mu$ l of serum-free and antibiotic-free medium (1 portion for each sample). Diluted DNA was added to the diluted Lipofectamine 2000 for each sample and incubated at room temperature for 30 min. This mixture was added to each well / dish of cells containing 200 $\mu$ l serum-free and antibiotic-free medium for a total volume of 300  $\mu$ l, and the cells were incubated for 4 h at 37 °C. An equal volume of 2x medium was then added to each well. Cells were incubated for 48h, then treated with OSU-03012. For analysis of cells transfected with GFP-LC3 constructs, the GFP-LC3-positive vacuolated cells were examined under the 40x objective of a Zeiss Axiovert fluorescent microscope. Forty LC3-GFP-positive cells were analyzed per condition. Each vacuole was counted and the average number of vacuoles per cell for each, including cells that did not exhibit vacuolization, was calculated.

For HSP70 promoter-luciferase assays: Cells, MEFs or U251, were plated as described above and 24h after plating, transfected with either a control luciferase plasmid (1 $\mu$ g) +  $\beta$ -galactosidase ( $\beta$ -Gal) plasmid (15ng), or Luciferase plasmid (1 $\mu$ g) +  $\beta$ -Gal plasmid (15ng) were incubated for 5 min in serum-free medium, then added to Gene Juice (EMD Biosciences, 2 $\mu$ l / condition), and incubated 15 min together at room temperature (Yacoub et al., 2004). This mixture was added to cells and incubated at 37 °C for 24 h after which cells were treated with OSU-03012 for 0-24h, then washed 2x with PBS, and harvested in cell lysis buffer (25mM Tris phosphate pH 7.8, 2mM DTT, 2mM CDTA (trans-1,2-diaminocyclohexane-N,N,N',N'-tetra-acetic acid), 10% glycerol and 1% (v/v) Triton X-100). The lysate was centrifuged for 5 min at 13,000 x g at 4 °C to pellet debris. The luciferase assay was performed according to the manufacturer's instructions (Promega, Madison, WI). Briefly, luciferase substrate was brought to room temperature, then added to 20 $\mu$ l lysate and measured immediately on a Perkin Elmer luminometer. The luciferase measurement was normalized to  $\beta$ -Galactosidase measurement to

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control for transfection efficiency; 50 $\mu$ l 2x  $\beta$ -Galactosidase reagent (200 mM Na<sub>2</sub>HPO<sub>4</sub>/NaH<sub>2</sub>PO<sub>4</sub>, pH7.4, 2mM MgCl<sub>2</sub>, 200mM  $\beta$ -mercaptoethanol, 1.34 mg/mL O-nitrophenyl-  $\beta$ -D-Galactopyranoside) was added to 50 $\mu$ l cell lysate and incubated at 37 °C for 10 min. The product of the assay was measured at OD<sub>405</sub>.

Transfection with siRNA: Cells were plated in 60 mm dishes from a fresh culture growing in log phase as described above, and 24h after plating transfected. Prior to transfection, the medium was aspirated and 1 ml serum-free medium was added to each plate. For transfection, 10 nM of the annealed siRNA targeting AIF, ATG5, Beclin 1, caspase 4 or HSP70, the positive sense control doubled stranded siRNA targeting GAPDH or the negative control (a “scrambled” sequence with no significant homology to any known gene sequences from mouse, rat or human cell lines) were used (predominantly Qiagen, Valencia, CA; occasional alternate siRNA molecules were purchased from Ambion, Inc., Austin, Texas). Ten nM siRNA (scrambled or experimental) was diluted in serum-free media. Four  $\mu$ l Hiperfect (Qiagen) was added to this mixture and the solution was mixed by pipetting up and down several times. This solution was incubated at room temp for 10 min, then added dropwise to each dish. The medium in each dish was swirled gently to mix, then incubated at 37 °C for 2h. One ml of 10% (v/v) serum-containing medium was added to each plate, and cells were incubated at 37 °C for 48h before re-plating (50 x 10<sup>3</sup> cells each) onto 12-well plates. Cells were allowed to attach overnight, then treated with OSU-03012 (0-48h). Trypan blue exclusion assays and SDS PAGE / immunoblotting analyses were then performed at the indicated time points (Yacoub et al., 2004, Caron et al., 2004).

*Manipulation of drug treated cells to isolate a crude cytosolic fraction.* A crude membrane fraction was prepared from treated cells as described in (Yacoub et al., 2006). Briefly, cells were washed twice in ice cold isotonic HEPES buffer (10 mM HEPES pH 7.5, 200 mM mannitol, 70 mM sucrose, 1  $\mu$ M EGTA, 10  $\mu$ M protease inhibitor cocktail (Sigma, St. Louis, MO). Cells on ice were scraped into isotonic HEPES buffer and lysed by passing 20 times through a 25 gauge needle. Large membrane pieces, organelles and unlysed cells were removed from the suspension by centrifugation for 5 min at 120 x g. The crude granular fraction and

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cytosolic fraction was obtained from by centrifugation for 30 min at 10,000 x g, leaving the cytosol as supernatant.

*Data analysis.* Comparison of the effects of various treatments was performed following ANOVA using the Student's t test. Differences with a *p*-value of  $< 0.05$  were considered statistically significant. Experiments shown are the means of multiple individual points ( $\pm$  SEM).

## **Results.**

Treatment of HCT116 and U251 human tumor cells with OSU-03012 caused a dose-dependent induction of cell death that was suppressed by use of multiple transient or stable siRNA molecules to knock down AIF expression; and inhibition of cathepsin function but was not altered by incubation with the “pan”-caspase inhibitor zVAD (Figures 1A and 1B) (Yacoub et al, 2006, Hart et al., 2007). Previously we demonstrated that OSU-03012 lethality correlated with increased release of cathepsin B into the cytosol as well as its cleavage into active forms, as judged using a small molecule cathepsin inhibitor (Yacoub et al, 2006). One potential mechanism by which OSU-03012 could cause AIF release into the cytosol via cathepsin B activation was by promoting the cleavage of BID and previously we noted that the lethality of OSU-03012 was suppressed in transformed BID  $-/-$  transformed fibroblasts (Yacoub et al, 2006). In the present studies we demonstrate that OSU-03012 lethality was also suppressed in cathepsin B  $-/-$  fibroblasts as judged in trypan blue and Annexin-PI staining assays that correlated with reduced OSU-03012 –stimulated cleavage of BID and reduced release of AIF into the cytosol (Figures 1C and 1D).

Over-expression of either BCL-XL or BCL-2 can protect mitochondria, as well as the endoplasmic reticulum (ER), from toxic stresses and the parental compound of OSU-03012, Celecoxib, has been proposed to utilize ER stress to kill malignant cells (Fehrenbacher et al., 2004). Previously, we noted that over-expression of BCL-XL modestly suppressed the toxicity of OSU-03012 in human glioma cells whilst others noted over-expression of BCL-2 in malignant hematologic cells did not suppress OSU-03012 toxicity (Yacoub et al, 2006; Tseng et al., 2005; Tseng et al., 2006; To et al., 2007). We also found in PKR-like endoplasmic reticulum kinase null (PERK  $-/-$ ) fibroblasts that the ability of OSU-03012 to cause cell death was significantly reduced, whereas the toxicity of thapsigargin was enhanced in PERK  $-/-$  cells (Figure 2A, data not shown (Yacoub et al, 2006)). OSU-03012 –induced release of AIF into the cytosol and cleavage of cathepsin B were PERK-dependent (Figure 2A, upper immunoblotting panels). Identical cell survival data were obtained when a truncated dominant negative PERK protein was stably expressed in K562 leukemic cells treated with OSU-03012 (data not shown). In contrast to

loss of PERK function, expression of a dominant negative eIF2 $\alpha$  protein (eIF2 $\alpha$  S51A) did not significantly alter the toxicity of OSU-03012 in 3T3 fibroblasts (data not shown (Yacoub et al, 2006)).

Pro-apoptotic ER stress signaling downstream of PERK – eIF2 $\alpha$  - CHOP and IRE – CHOP has been linked in a variety of cell types to changes in the activities of pro-caspase 12, pro-caspase 2 and pro-caspase 4, enzymes that can also directly promote BID cleavage / mitochondrial dysfunction and that can thus also directly activate the intrinsic apoptosis pathway (reviewed in Yeung et al., 2006, Hitomi et al., 2004). Caspases 2 and 4 have been noted to be relatively refractory to the protective actions of the “pan”-caspase inhibitor zVAD (Ekert et al., 1999). OSU-03012 promoted pro-caspase 4 degradation which was suppressed in PERK -/- MEFs, and caused pro-caspase 2 degradation that was largely PERK-independent (Figure 2B, upper inset panel (i)). Transient knock down of caspase 4 expression using a short hairpin RNA in U251 cells suppressed OSU-03012 toxicity; of note, following OSU-03012 treatment, loss of caspase 4 expression suppressed cathepsin B cleavage and inhibition of cathepsin B activity did not alter OSU-03012 –induced cleavage of pro-caspase 4; indeed, inhibition of cathepsin B appeared to promote caspase 4 activation (Figures 2B and 2C, lower inset panel (ii)). Similar data were obtained following OSU-03012 treatment in cathepsin B -/- fibroblasts and in HCT116 cells, and in U251 and U937 cells with knock down of pro-caspase 4 expression using a plasmid expressed siRNA molecule (data not shown; Rahmani et al., 2007).

Cathepsin B is localized in endosomes in resting glioma cells and this enzyme plays a role in cell migration, angiogenesis as well as cell death processes (Lakka et al., 2005). As OSU-03012 caused cathepsin B release into the cytosol and proteolytic cleavage of cathepsin B, and endosomal dysfunction has been linked to cell death processes we determined whether endosome function was altered by OSU-03012 treatment. OSU-03012 caused vacuolization of acidic endosomes in transformed MEFs within 6h of exposure, as judged by intense lysotracker red staining that was almost an all or nothing effect (Figure 3A). OSU-03012 did not cause vacuolization of acidic endosomes in PERK -/- MEFs or in wild type MEFs treated with a non-specific

autophagy inhibitor, 3 methyl adenine (3MA) (Figure 3A, data not shown). Similar data were also obtained in U251, GBM6 and GBM12 human cancer cells (Figure 3B, data not shown).

Vacuolization of the protein LC3 is one recognized marker for autophagy, and transfection of U251 glioma cells with a construct to express a green fluorescent protein (GFP) tagged LC3 protein demonstrated that OSU-03012 treatment induced vacuolization of GFP tagged LC3 within 3h (Figure 3C); the appearance of LC3-GFP positive vacuoles preceded lysotracker red staining acidic endosomes by ~3h (Figure 3C) (20-23, 32-34). Expression of dominant negative PERK in glioma cells or treatment of these cells with 3MA significantly suppressed OSU-03012 –induced vacuolization of the LC3-GFP protein as well as the induction of acidic endosomes (Figure 3D, Table 1, data not shown).

The ATG12-ATG5 and the ATG8 (LC3)-PE conjugation systems are interdependent and a disruption in one system has a direct negative effect on the autophagic process (Yang et al., 2005; Levine et al., 2005; Yousefi et al., 2006; Shibata et al., 2006). Beclin-1 is a functional component of the lipase signaling complex which is essential for the induction of autophagy (Yang et al., 2005; Levine et al., 2005; Yousefi et al., 2006; Shibata et al., 2006). Therefore, perturbation of the levels of ATG5 or Beclin-1 should result in reduced autophagy and the attenuation of the biological effects of OSU. To test this, differing short hairpin and plasmid expressed RNA interference approaches were used to specifically suppress ATG5 and Beclin-1 protein levels in tumor cells. Cells were transiently transfected with short hairpin RNA constructs targeting ATG5 or Beclin-1. OSU-03012 treatment rapidly increased the expression of ATG5, and caused rapid complete cleavage of endogenous LC3 protein in U251 and in wild type MEFs; the OSU-03012-stimulated elevation of ATG5 expression and LC3 cleavage were not present in PERK <sup>-/-</sup> MEFs (Figures 3E and 3F). Knock down of ATG5 or Beclin 1 expression in U251 cells significantly suppressed the appearance of LC3 positive vacuoles in glioma cells following OSU-03012 treatment (Figure 3E, Table 2, data not shown). Knock down of Beclin 1 or ATG5 expression suppressed the toxicity of OSU-03012 in glioma cells (Figure 3G, data not shown).

We next attempted to place the activation of cathepsin B within the context of OSU-03012 –induced vacuolization. Loss of cathepsin B expression abolished OSU-03012 –induced acidic endosome vacuolization, but did not alter the ability of OSU-03012 to cause LC3-GFP vacuolization. This data argues that the apparent secondary lysotracker red staining / acidic endosome vacuolization after OSU-03012 exposure was a cathepsin B dependent process (Figure 4). Collectively, our data demonstrate that OSU-03012 causes a PERK-dependent induction of ATG5 and Beclin 1 expression that are causal in the formation of vacuoles that contain LC3; this data suggests OSU-03012 causes an autophagic response in glioma cells and in rodent fibroblasts.

Based on the observation that OSU-03012 caused cell death, in part, via endosomal dysfunction, as well as via AIF release into the cytosol (Yacoub et al., 2006), we examined whether any of these effects correlated with any parallel compensatory alterations in the expression of a protein whose functions could ameliorate the proapoptotic actions of such events i.e. HSP70 (Nylandsted et al., 2004; Ravagnan et al., 2001 Demidenko et al., 2006; Mosser et al., 2000; Gurbuxani et al., 2003; Mambula and Calderwood, 2006). Treatment of transformed MEFs with OSU-03012 rapidly increased HSP70 expression and decreased HSP90 expression, effects that were PERK-dependent (Figure 5A, sections (i) and (ii), and Figure 5B). After ~6-9h of OSU-03102 exposure, expression of BiP/Grp78 surprisingly began to decline, contrary to a “classical” ER stress response, whereas expression of Grp94 and CHOP variably changed from experiment to experiment (Figure 5A, data not shown) (Rutkowski and Kaufman, 2004; Ron, 2002). The increase in HSP70 protein expression in cells was not due to altered rates of transcription; in fibroblasts and U251 cells OSU-03012 treatment caused a modest albeit significant reduction in the activity of the HSP70 promoter (Figure 5C).

Based on data in Figure 5A, we determined whether changes in HSP70 function altered the toxicity of OSU-03012 using an established small molecule inhibitor of HSP70 function, NZ28. Treatment of U251 glioma cells and transformed rodent fibroblasts with NZ28 enhanced the toxicity of OSU-03012 suggesting that inhibition of HSP70 function could promote OSU-03012 toxicity (Figure 5D). As HSP70 inhibits AIF function, and in order to determine one potential protective site of HSP70 action, we made further use of U251 cells lacking AIF

expression (Ravagnan et al., 2001; Gurbuxani et al., 2003; Hart et al., 2007). In a dose-dependent fashion, inhibition of HSP70 with NZ28 enhanced OSU-03012 toxicity in vector control siRNA expressing U251 cells but did not enhance OSU-03012 toxicity in U251 cells lacking AIF expression (Figure 5E (see also Figure 1B)). This suggests at least one site of HSP70 action in protecting cells from OSU-03012 toxicity is by blocking the pro-apoptotic actions of AIF.

To extend our findings, we performed further studies using molecular approaches, and determined that transient knock down of HSP70 expression increased, and over-expression of HSP70 decreased, the toxicity of OSU-03012 in HCT116 and U251 tumor cells (Figures 6A-6D, data not shown). Similar data were obtained using an additional siRNA molecule to knock down HSP70 expression (data not shown). We next determined whether over-expression of HSP70 altered OSU-03012 –induced acidic endosome vacuolization (Nylandsted et al., 2004; Mambula and Calderwood, 2006). Over-expression of HSP70 suppressed the low pH endosome vacuolization response of OSU-03012 treated HCT116 and U251 cells as judged microscopically (Figure 6C, inset panel). Over-expression of Grp78/BiP, a PERK binding protein, whose expression declined after OSU-03012 treatment, was noted to be protective against OSU-03012 toxicity (data not shown) (Lee, 2005). Over-expression of HSP70 suppressed the GFP-LC3 vacuolization response of OSU-03012 treated U251 cells as judged microscopically (Figure 6D, inset panel). Thus OSU-03012 causes cell death in a PERK-dependent fashion as well as increases expression of a protective protein, HSP70, in a PERK-dependent fashion, arguing that OSU-03012-induced PERK signaling promotes both cell survival and cell death processes.

In prior studies by Yacoub et al. we noted that inhibition of both the ERK1/2 and PI3K pathways enhanced OSU-03012 toxicity (Yacoub et al., 2006). A drug that could potentially mediate simultaneous inhibition of ERK1/2 and PI3K signaling is the geldanamycin 17AAG; however, geldanamycins also increase expression of HSP70 which could act to protect cells from OSU-03012 toxicity (Nylandsted et al., 2004; Ravagnan et al., 2001; Demidenko et al., 2006). Simultaneous exposure of transformed wild type MEFs to 17AAG and OSU-

03012 resulted in a greater than additive increase in cell killing (Figure 7A). This effect was abolished in PERK -/- cells. In wild type MEFs, 17AAG modestly increased the expression of HSP70 whereas in PERK -/- cells, 17AAG-induced HSP70 levels were very much greater (Figure 7A, inset panel). Identical data were obtained in U251 glioma cells when dominant negative PERK was expressed (Figure 7B). These findings argue that PERK signaling acts to suppress the induction of HSP70 expression after geldanamycin exposure and further emphasize the protective role of HSP70 in maintaining viability.

## **Discussion**

Previous studies have demonstrated that the novel Celecoxib derivative OSU-03012 at concentrations an order of magnitude below those stably achievable in mouse plasma, and that are without observable normal tissue toxicity, killed hematopoietic, glioblastoma, lung and colon cancer cells in vitro. In these studies, OSU-03012 toxicity was variously linked to inhibition of PDK-1 and to the induction of a form of ER stress signaling with activation of a cell death / cathepsin B – AIF pathway (Yacoub et al, 2006; Tseng et al., 2005; Tseng et al., 2006; To et al., 2007). The present studies were initiated to further elucidate the molecular mechanisms by which OSU-03012 kills transformed cells in vitro.

OSU-03012 promoted a dose-dependent induction of transformed cell killing that was significantly reduced in U251 cells in which AIF expression was stably suppressed. Genetic deletion of Cathepsin B suppressed OSU-03012 –induced cleavage of BID, AIF release into the cytosol and fibroblast cell killing. Loss of PERK function suppressed OSU-03012 –induced activation of cathepsin B. OSU-03012 also promoted a PERK-dependent processing of pro-caspase 4 and knock down of caspase 4 expression protected cells against OSU-03012 toxicity; cathepsin B activation was dependent upon caspase 4 in glioma cells. Collectively, these findings provide additional support to those previously reported by our laboratory and argue that OSU-03012 causes activation of multiple pro-apoptotic proteases downstream of PERK, but independently of eIF2 $\alpha$  and CHOP, to cause cell death (Yacoub et al., 2006).

OSU-03012 treatment caused a rapid ~3h-6h PERK-dependent induction of intracellular vesicles in human cancer cells and in rodent fibroblasts. The vacuolization effects included the appearance of low pH vesicles that stained for lysotracker red and also vesicles that were associated with a transfected GFP-tagged LC3 protein. OSU-03012 increased the expression of ATG5 and Beclin 1, and promoted cleavage of endogenous LC3 protein, in a PERK-dependent fashion. Knock down of either ATG5 or Beclin 1 expression significantly reduced the PERK-dependent induction of vesicles that were associated with a transfected GFP-tagged LC3

protein. Collectively, these findings together with our prior work, strongly argue that OSU-03012 exposure causes an early autophagic response in transformed cell types that precedes the apparent AIF release into the cytosol, or morphological i.e. trypan blue positive, manifestation of cell death (Yacoub et al., 2006).

Our prior findings with OSU-03012 were consistent with the hypothesis that OSU-03012 promotes lysosomal dysfunction and cathepsin protease translocation into the cytosol which catalyzes BID cleavage that in turn promotes AIF release into the cytosol (Yacoub et al., 2006). In the present studies we found that loss of PERK function blocked the vacuolization of low pH vesicles as well as those containing LC3, and the release of cathepsin B and AIF into the cytosol. Loss of cathepsin B function also suppressed low pH vesicle vacuolization arguing that the physical manifestation of the low pH vesicles was a secondary response after cathepsin B activation. In contrast, loss of cathepsin B function did not alter the induction of LC3 containing vacuoles, arguing that OSU-03012 induced true autophagic vesicles prior to causing either cathepsin B activation or to causing the induction of low pH vesicle vacuolization. It has been observed by others that during an autophagic response LC3 concentration into vacuoles increases at an earlier time point than the low pH vacuolization effects observed using lysotracker red dye. The first step in autophagy has been shown to be the envelopment of an organelle by the isolating membrane (Yang et al., 2005; Levine and Yuan, 2005; Yousefi et al., 2006; Shibata et al., 2006). This compartment is called an autophagosome, which is marked by the appearance of LC3 (Yang et al., 2005; Levine and Yuan, 2005; Yousefi et al., 2006; Shibata et al., 2006). The autophagosomes are then enveloped by the lysosomal compartment, forming autophagosomes, which are, in the case of our present studies, likely detected by the acidic vacuole stain lysotracker red. Thus, collectively, this data demonstrates that OSU-03012 causes a form of ER stress response that leads to activation of a PERK and lysosomal vacuolization -dependent cathepsin B / BID / AIF –dependent cell death pathway.

Celecoxib-induced apoptosis has been argued to be ER stress dependent, with loss of GADD153 (CHOP) function preventing cell killing (Tsutsumi et al., 2006; Tsutsumi et al., 2004). In this model, simplistically, Celecoxib –induced apoptosis would be impeded in cells lacking PERK expression, which is the opposite of our

findings with OSU-03012 treatment wherein transformed fibroblasts were more resistant to drug toxicity when PERK was not expressed. Indeed, parallel studies in wild type and PERK  $-/-$  cells using OSU-03012 and thapsigargin demonstrate diametrically opposite effects in drug sensitivity. Knock down of CHOP expression modestly reduced OSU-03012 toxicity in transformed MEFs but had no effect on survival in HCT116 or U251 cells (Park and Dent, Unpublished observations). OSU-03012 decreased Grp78/BiP expression and had no effect on CHOP expression whereas in a “classical” ER stress response the expression of these proteins would be expected to rise (Rutkowski and Kaufman, 2004; Ron, 2002). Recently, we noted that the novel drug Sorafenib, which has multiple intracellular targets, caused an ER stress response that also correlated with a reduction in the expression of Grp78/BiP, suggesting that the response pattern we observed with OSU-03012 may be a characteristic of drugs which inhibit both “*signaling pathways*” and that also cause ER stress responses (Rahmani et al., 2007). Of additional note with respect to the actions of OSU-03012, some novel agents e.g. the proteasome inhibitor Velcade (Bortezomib) have been shown to kill tumor cells via increased expression of ER stress markers such as CHOP whilst inhibiting PERK activity and the phosphorylation of eIF2 $\alpha$  (Nawrocki et al., 2005).

It has been noted in many studies that tumor cells, when exposed to moderately toxic concentrations of therapeutic agents, exhibit compensatory survival responses by activating survival signaling pathways or by increasing the expression of certain proteins that maintain cell viability (reviewed in Grant and Dent, 2004). “Classical” ER stress signaling has also been noted to either promote a toxic response e.g. elevated CHOP expression, or promote a protective response e.g. enhanced BiP/Grp78 expression, based on the duration or intensity of the stress signal (Rahmani et al., 2007). OSU-03012 treatment of transformed human and rodent cells initially increased expression of HSP70 in parallel to the formation of autophagic vacuoles, which are both putatively protective effects, in a PERK-dependent fashion. However, OSU-03012 treatment of cells then subsequently caused a decrease in HSP90 and BiP/Grp78 expression in parallel to the release of cathepsin B and AIF into the cytosol, all of which are putatively toxic effects, and these effects also occurred in a PERK-

dependent fashion. In HCT116 and U251 cells over-expression of HSP70 suppressed, and knock down of HSP70 levels enhanced, OSU-03012 toxicity. Over-expression of HSP70 blocked acidic endosome vacuolization, in general agreement with the findings of others (e.g. Nylandsted et al., 2004). Collectively these findings support the hypothesis that OSU-03012 causes a form of ER stress which induces a protective PERK-dependent response involving elevated expression of HSP70 and autophagic vesicle formation. This ultimately degenerates however, presumably due to prolonged PERK signaling, into a cytotoxic response with the formation of low pH acidic endosomes, and the release of cathepsin B and AIF into the cytosol, which causes a non-apoptotic form of cell death.

Increased expression of HSP70 has been shown by several groups to stabilize endosomes, to suppress the apoptotic activity of AIF, and collectively to promote cell survival (Nylandsted et al., 2004; Ravagnan et al., 2001; Demidenko et al., 2006; Mosser et al., 2000; Gurbuxani et al., 2003; Mambula and Calderwood, 2006). In our analyses, we demonstrated that over-expression of HSP70 blocked the formation of GFP-LC3 vacuoles and low pH acidic endosomes following OSU-03012 exposure demonstrating that one site at which HSP70 acted to promote survival following OSU-03012 treatment was at the earliest stages of ER stress signaling. However, our data in U251 cells, in which we modified HSP70 function using the pharmacologic agent NZ28, also suggested that HSP70 could act downstream in the cell death pathway at the level of AIF. As OSU-03012 was competent to overcome the protective effects of compensatory increases in HSP70 expression it is tempting to speculate whether this compound may act as a general suppressive agent of “protective” HSP70 function at multiple sites.

Recently, studies in human myeloma cells using approximately one order of magnitude higher concentrations of OSU-03012, reported that cell killing was not inhibited by either caspase or cathepsin inhibitors (Zhang et al., 2007). These studies also noted that BID cleavage occurred in response to OSU-03012 exposure. As both caspase 2 and caspase 4 have been shown to cleave BID, independently of cathepsin protease action, it is possible that some cells may primarily utilize caspases 2 and 4, which are generally insensitive to “pan” caspase

inhibitors such as zVAD, to process BID into its active form after OSU-03012 exposure rather than cathepsin proteases (Yeung et al., 2006; Hitomi et al., 2004; Ekert et al., 1999).

In conclusion, the regulation of transformed cell survival following treatment of cells with low doses of OSU-03012 appears to be complicated and multi-factorial. OSU-03012 activates PERK which: (a) through a yet to be identified eIF2 $\alpha$ -independent mechanism stimulates autophagic vacuolization which plays a role in the activation of cathepsin proteases; (b) caspase 4- and cathepsin-dependent complete vacuolization of LC3 containing vesicles into lysotracker red staining low pH vesicles and a subsequent enhancement in cell killing via BID cleavage (Yeung et al., 2006; Lamparska-Przybysz et al., 2005; Stoka et al., 2001); and (c) promotes increased expression of HSP70 that acts to suppress cell killing potentially by blocking vacuolization processes and the lethal actions of AIF. Further studies beyond the scope of this manuscript will be required to fully define how OSU-03012 regulates PERK, caspase 4 and AIF function.

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**Figure Legends**

**Figure 1. OSU-03012 toxicity in transformed cells is mediated in part via cathepsin B – BID – AIF signaling. Panel A.** HCT116 cells were transiently transfected as described in the Methods 24h after plating with either a scrambled siRNA (siSCR) or an siRNA against AIF (siAIF) (12). Forty eight hours after transfection cells where indicated were treated with the pan-caspase inhibitor zVAD (50  $\mu$ M) and 30 min later cells were treated with vehicle (VEH, DMSO) or with OSU-03012 (OSU, 1  $\mu$ M, 3  $\mu$ M). Cells were isolated by trypsinization 48h after drug treatments. Cell viability was determined using a trypan blue exclusion assay in triplicate. Data are from a representative experiment (n = 3). (\*  $p < 0.05$  less than corresponding siSCR value).

***Inset Panel above bar graph:*** cells were isolated 24h after Vehicle or OSU-03012 treatment and SDS PAGE followed by immunoblotting for AIF performed. **Panel B.** U251 cells were stably transfected with either a scrambled siRNA (siSCR) or an siRNA against AIF (siAIF) (see inset immunoblotting panel). Cells, 24h after plating, were treated with vehicle (VEH, DMSO) or with OSU-03012 (OSU, 1  $\mu$ M, 2  $\mu$ M, 5  $\mu$ M). Cells were isolated by trypsinization 48h after drug treatments. Cell viability was determined using a trypan blue exclusion assay in triplicate. Data are from a representative experiment (n = 3). (\*  $p < 0.05$  less than corresponding siSCR value). Similar data were observed in multiple individual clones of these transfected U251 cells (data not shown). **Panel C.** MEFs were treated with vehicle (DMSO) or with OSU-03012 (1-3  $\mu$ M). Cells were isolated by trypsinization 48h after drug treatment. Cell viability was determined using a trypan blue exclusion assay in triplicate. Data are from a representative experiment (n = 3). (\*  $p < 0.05$  less than corresponding value in wild type fibroblasts). **Panel D. immunoblotting section to the left.** Immortal mouse embryonic fibroblasts (MEFs) either wild type (WT) or cathepsin B  $-/-$  were cultured as in Methods. Twenty four hours after plating cells were treated with vehicle (VEH, DMSO) or with OSU-03012 (OSU, 1  $\mu$ M). Cells were isolated by trypsinization at the indicated times after drug treatments and immunoblotting was performed to determine the cleavage status of BID in each cell line. A representative study is shown (n = 3). ***immunoblotting sections to the right.*** MEFs, either wild type (WT), BID  $-/-$  or cathepsin B  $-/-$  (Cath. B  $-/-$ ) were cultured as in Methods. Twenty four hours after plating cells were treated with vehicle (VEH, DMSO) or with OSU-03012 (OSU, 1  $\mu$ M). Cells were

isolated by trypsinization 6h after OSU-03012 treatment and the cytosolic fraction isolated as described in the Methods section. Immunoblotting was performed to determine the release of AIF into the cytosol following OSU-03012 treatment. A representative study is shown (n = 3).

**Figure 2. OSU-03012 toxicity is reduced in PERK  $-/-$  fibroblasts and in cells lacking caspase 4 function.**

**Panel A.** Transformed wild type (WT) and PKR-like endoplasmic reticulum kinase null (PERK  $-/-$ ) MEFs were cultured as in methods. Twenty four hours after plating cells were treated with vehicle (VEH, DMSO) or with OSU-03012 (OSU, 0-2  $\mu$ M). *Upper blotting section on the left*, six hours after OSU-03012 exposure (1  $\mu$ M), cells were isolated and the total cell lysate immunoblotted to determine the expression and cleavage status of cathepsin B. *Upper blotting section on the right*: six hours after OSU-03012 exposure (1  $\mu$ M), cells were isolated and hours after OSU-03012 exposure, cells were isolated and cytosolic fraction obtained. The release of AIF into the cytosol after OSU-03012 exposure was determined by immunoblotting. *Lower graphical section*: transformed wild type (WT) and PKR-like endoplasmic reticulum kinase null (PERK  $-/-$ ) MEFs were isolated by trypsinization 24h after drug treatment. Cell viability was determined using a trypan blue exclusion assay in triplicate. Data are from a representative experiment (n = 3). (\*  $p < 0.05$  less than value in wild type cells).

**Panel B.** *Upper blotting section (i)*: Transformed wild type (WT) and PKR-like endoplasmic reticulum kinase null (PERK  $-/-$ ) MEFs were cultured as in Methods. Twenty four hours after plating cells were treated with vehicle (VEH, DMSO) or with OSU-03012 (OSU, 1  $\mu$ M). Six h and 12h after drug treatment cells were isolated and the total cell lysate immunoblotted to determine the expression of pro-caspase 2 and pro-caspase 4. Data are from a representative experiment (n = 3). *Lower blotting section (ii): to the left*: U251 cells were cultured as in Methods. Twenty four hours after plating cells were treated with vehicle (DMSO) or the cathepsin B inhibitor (cath. B inhib., 1  $\mu$ M) and 30 min later were treated with vehicle (VEH, DMSO) or with OSU-03012 (OSU, 1  $\mu$ M). Cells were isolated by trypsinization 12h after drug treatment and immunoblotting was performed to determine the expression of pro-caspase 4; *Lower blotting section (ii): to the right*: U251 cells were transiently transfected as described in the Methods 24h after plating with either a scrambled siRNA (siSCR) or an siRNA

against caspase 4 (sicaspase4). Forty eight hours after transfection cells where indicated were treated with the cathepsin B inhibitor (cath. B inhib.) and 30 min later cells were treated with vehicle (VEH, DMSO) or with OSU-03012 (OSU, 1  $\mu$ M). Cells were isolated by trypsinization 12h after drug treatments and the total cell lysate immunoblotted to determine the expression of cathepsin B and pro-caspase 4. Data are from a representative experiment (n = 3). **Panel C.** U251 cells were transiently transfected as described in the Methods 24h after plating with either a scrambled siRNA (siSCR) or an siRNA against caspase 4 (sicaspase4). Forty eight hours after transfection cells where indicated were treated with vehicle (VEH, DMSO) or with OSU-03012 (OSU, 1  $\mu$ M). Cells were isolated by trypsinization 48h after drug treatments and cell viability was determined using a trypan blue exclusion assay in triplicate. Data are from a representative experiment (n = 3). (\*  $p < 0.05$  less than corresponding value in siSCR transfected cells).

**Figure 3. OSU-03012 causes vacuolization of low pH vesicles and LC3 containing vesicles in transformed cells. Panel A.** Transformed wild type mouse embryonic fibroblasts (MEF), wild type (WT) and PERK  $-/-$ , were plated in 4 chamber glass slides and 24h later treated with vehicle (DMSO) or with OSU-03012 (1  $\mu$ M). Cells were fixed 6h after drug exposure and stained with lysotracker red dye. Cells were visualized at 40X using an Axiovert 200 fluorescent microscope under fluorescent light and visible light. Data shown is a representative field from one experiment (n = 3). White arrows denote areas of intense staining, indicative of vacuolization. **Panel B.** U251 cells were plated in 4 chamber glass slides and 24h later treated with vehicle (DMSO) or with OSU-03012 (1  $\mu$ M). Cells were fixed 6h after drug exposure and stained with lysotracker red dye. Cells were visualized at 40X using an Axiovert 200 fluorescent microscope under fluorescent light and visible light. Data shown is a representative field from one experiment (n = 3). White arrows denote areas of intense staining, indicative of vacuolization. **Panel C.** U251, GBM6 and GBM12 cells were plated in 4 chamber glass slides and 24h later transfected with an empty vector plasmid or a plasmid to express a green fluorescent protein (GFP) tagged form of LC3. Twenty four hours after transfection cells were treated with vehicle (DMSO) or with OSU-03012 (1  $\mu$ M). Three and 6h after OSU-03012 treatment, as indicated, cells were stained, where indicated, with

lysotracker red dye and the cells were visualized at 40X using an Axiovert 200 fluorescent microscope under fluorescent light (Lysotracker Red Dye stained cells were visualized immediately after staining on a Zeiss Axiovert 200 microscope using the rhodamine filter). LC3-GFP transfected cells were visualized at the indicated 3h and 6h time points on the Zeiss Axiovert 200 microscope using the FITC filter, and visible light. Data shown is a representative field from one experiment (n = 3). **Panel D.** U251 cells were plated in 4 chamber glass slides and 24h later treated transfected with an empty vector plasmid or a plasmid to express a green fluorescent protein (GFP) tagged form of LC3. In parallel, the cells were transfected with an empty vector plasmid or a plasmid to express a truncated dominant negative form of PERK. Twenty four hours after transfection cells were pre-treated with vehicle (veh, PBS) or 3-methyl adenine (5 mM) followed 30 min later by vehicle (VEH, DMSO) or with OSU-03012 (OSU, 1  $\mu$ M). Six h after OSU-03012 treatment cells were visualized at 40X using an Axiovert 200 fluorescent microscope under fluorescent light on the Zeiss Axiovert 200 microscope using the FITC filter, and visible light. Data shown is a representative field from one experiment (n = 3). **Panel E.** Transformed wild type mouse embryonic fibroblasts, wild type (WT) or PERK  $-/-$ , were plated and 24h later treated with vehicle (DMSO) or with OSU-03012 (1  $\mu$ M). Cells were isolated 6h after drug exposure and the total cell lysate immunoblotted to determine the expression of Beclin 1, ATG5 and LC3. Data shown is a representative study from one experiment (n = 3). **Panel F.** U251 cells were plated in 4 chamber glass slides and 24h later treated transfected with an empty vector plasmid or a plasmid to express a green fluorescent protein (GFP) tagged form of LC3. In parallel, the cells were transfected with an empty vector plasmid expressing a scrambled siRNA sequence (siSCR) or with plasmids to express siRNAs to suppress the expression of Beclin 1 (siBeclin 1) or to suppress expression of ATG5 (siATG5). Forty eight hours after transfection cells were treated with vehicle (VEH, DMSO) or with OSU-03012 (OSU, 1  $\mu$ M). Six h after OSU-03012 treatment cells were visualized at 40X using an Axiovert 200 fluorescent microscope under fluorescent light on the Zeiss Axiovert 200 microscope using the FITC filter, and visible light. Data shown is a representative field from one experiment (n = 3). In parallel studies, inset to right, cells were isolated 6h after drug exposure and total cell lysates immunoblotted to determine the expression of Beclin 1, ATG5 and LC3

after OSU-03012 treatment and in cells transfected with the siBeclin 1 and with the siATG5 constructs. Data shown is a representative field from one experiment (n = 3). **Panel G.** U251 cells were plated in 4 chamber glass slides and 24h later treated transfected with an empty vector plasmid expressing a scrambled siRNA sequence (siSCR) or with plasmids to express siRNAs to suppress the expression of Beclin 1 (siBeclin 1) or to suppress expression of ATG5 (siATG5). Forty eight hours after transfection cells were treated with vehicle (VEH, DMSO) or with OSU-03012 (OSU, 1  $\mu$ M). Cells were isolated by trypsinization 48h after drug treatments and cell viability was determined using a trypan blue exclusion assay in triplicate. Data are from a representative experiment (n = 3). (\*  $p < 0.05$  less than corresponding value in siSCR transfected cells).

**Figure 4. Loss of cathepsin B expression abolishes OSU-03012 –induced acidic endosome formation, but not GFP-LC3 vacuolization.** Immortal mouse embryonic fibroblasts (MEFs) either wild type (WT) or cathepsin B  $-/-$  were cultured as in Methods. Twenty four hours after plating cells were transfected with an empty vector plasmid or a plasmid to express a green fluorescent protein (GFP) tagged form of LC3. Twenty four hours after transfection cells were treated with vehicle (DMSO) or with OSU-03012 (1  $\mu$ M). Six h after OSU-03012 treatment cells were stained where indicated with lysotracker red and the cells were visualized at 40X using an Axiovert 200 fluorescent microscope under fluorescent light (Lysotracker Red Dye stained cells were visualized immediately after staining on a Zeiss Axiovert 200 microscope using the rhodamine filter. LC3-GFP transfected cells were visualized at the indicated time points on the Zeiss Axiovert 200 microscope using the FITC filter), and visual light. Data shown is a representative field from one experiment (n = 3). White arrows denote areas of intense staining, indicative of vacuolization.

**Figure 5. OSU-03012 enhances HSP70 expression and suppresses Grp78/BiP levels in a PERK-dependent fashion. Panel A. section (i):** SV40 transformed wild type (WT) and PERK  $-/-$  mouse embryonic fibroblasts

(MEFs) were cultured as in methods. Cells, 24h after plating were treated with DMSO vehicle or with OSU-03012 (OSU, 1  $\mu$ M). Cells were isolated at the indicated time points and lysed. Cells lysates were subjected to SDS PAGE and immunoblotting to determine the expression of HSP70, HSP90, BiP/Grp78, Grp94, CHOP/GADD153 and ERK2 (n = 5-7); **section (ii)**: SV40 transformed wild type (WT) were cultured as in methods on coated glass chamber slides. Cells, 24h after plating were treated with DMSO vehicle or with OSU-03012 (OSU, 1  $\mu$ M). Cells were fixed 6h after OSU-03012 treatment and stained with an anti-HSP70 antibody followed by a secondary antibody linked to FITC. Cells were visualized under fluorescent and visible light. A representative field is shown (n = 2 independent studies). **Panel B.** The relative expression of HSP70 and HSP90 in OSU-03012 treated WT and PERK -/- MEFs from the time course analyses in *Panel A* was calculated from the digital images in *Panel A* and presented graphically ( $\pm$  SEM, n = 5-7). **Panel C.** Wild type (WT) MEFs and U251 cells were plated and 12h later transfected with a plasmid containing the full length human HSP70 promoter coupled to the production of luciferase. Twenty four hours after transfection, cells were treated with OSU-03012 (1  $\mu$ M). The activity of the promoter was determined by luciferase activity in portions of cell lysate isolated at the indicated times. Data are a representative study in sextuplicate from 3 separate experiments ( $\pm$  SEM). **Panel D.** U251 cells and fibroblasts, 24h after plating, were treated with vehicle (VEH, DMSO) or with the HSP70 inhibitor NZ28 (1  $\mu$ M) as indicated followed 30 min later by vehicle (VEH, DMSO) or with OSU-03012 (1-3  $\mu$ M) as indicated. Cells were isolated 48h after drug treatment by trypsinization. Cell viability was determined using a trypan blue exclusion assay in triplicate, and a representative experiment  $\pm$  SEM is shown from multiple experiments (n = 3). **Panel E.** U251 cells were stably transfected with either a scrambled siRNA (siSCR) or an siRNA to knock down expression of AIF (siAIF) (see also Figure 1). Cells, 24h after plating, were treated with vehicle (VEH, DMSO) or with NZ28 (1  $\mu$ M) followed 30 min later by vehicle (VEH, DMSO) or with OSU-03012 (OSU, 1  $\mu$ M, 2  $\mu$ M, 5  $\mu$ M). Cells were isolated by trypsinization 48h after drug treatments. Cell viability was determined using a trypan blue exclusion assay in triplicate  $\pm$  SEM. Data are from a representative experiment (n = 3).

**Figure 6. Modulation of HSP70 expression changes OSU-03012 lethality. Panel A.** HCT116 cells were cultured as in methods and 12h after plating transfected with either a scrambled siRNA (siSCR) or an siRNA to suppress expression of HSP70 (siHSP70). Twenty four hours after transfection cells were treated with vehicle (VEH, DMSO) or with OSU-03012 (OSU, 0-3  $\mu$ M). Cells were isolated by trypsinization 24h after drug treatments. Cell viability was determined using a trypan blue exclusion assay in triplicate. Data are from a representative experiment (n = 3). (#  $p < 0.05$  greater than corresponding value in the siSCR cells). ***Inset blotting Panels:*** *Lower:* HCT116 cells were lysed prior to OSU-03012 addition and the expression of HSP70 determined by immunoblotting. *Upper:* HCT116 cells were treated with OSU-03012 (1 mM), cells isolated at the indicated time points, and the expression of HSP70, BiP/Grp78 and ERK2 determined by immunoblotting.

**Panel B.** U251 cells were cultured as in methods and 12h after plating transfected with either a scrambled siRNA (siSCR) or an siRNA to suppress expression of HSP70 (siHSP70). Twenty four hours after transfection cells were treated with vehicle (VEH, DMSO) or with OSU-03012 (OSU, 0-3  $\mu$ M). Cells were isolated by trypsinization 24h after drug treatments. Cell viability was determined using a trypan blue exclusion assay in triplicate. Data are from a representative experiment (n = 3). (#  $p < 0.05$  greater than corresponding value in the siSCR cells). ***Inset blotting Panel:*** *Lower:* U251 cells were lysed prior to OSU-03012 addition and the expression of HSP70 determined by immunoblotting. *Upper:* U251 cells were treated with OSU-03012 (1 mM), cells isolated at the indicated time points, and the expression of HSP70, BiP/Grp78 and ERK2 determined by immunoblotting.

**Panel C.** HCT116 cells were cultured as in methods and 12h after plating infected with either an empty vector control recombinant adenovirus (CMV) or an adenovirus to express HSP70. Twenty four hours after infection cells were treated with vehicle (VEH, DMSO) or with OSU-03012 (OSU, 2  $\mu$ M, 3  $\mu$ M). Cells were isolated by trypsinization 24h after drug treatments. Cell viability was determined using a trypan blue exclusion assay in triplicate. Data are from a representative experiment (n = 3). (\*  $p < 0.05$  less than corresponding value in the CMV infected cells). ***Inset microscopy section:*** U251 and HCT116 cells were plated in 4 chamber glass slides and 12h after plating infected with either a control recombinant adenovirus (CMV) or a recombinant adenovirus to express HSP70. Twenty four hours after infection cells were treated with vehicle

(DMSO) or with OSU-03012 (1  $\mu$ M). Cells were fixed 6h after drug exposure and stained with lysotracker (Lt) red dye. Cells were visualized at 40X using an Axiovert 200 fluorescent microscope under fluorescent light and visual light. Data shown is a representative field from one experiment (n = 3). White arrows denote areas of intense staining, indicative of vacuolization. ***Inset blotting section:*** HCT116 cells were cultured as in methods. Cells, 24h after infection cells were treated with DMSO vehicle or with OSU-03012 (OSU, 1  $\mu$ M). Cells were isolated at the indicated time points and lysed. Cells lysates were subjected to SDS PAGE and immunoblotting to determine the expression of HSP70, BiP/Grp78 and ERK2 (n = 3). **Panel D.** U251 cells were cultured as in methods and 12h after plating infected with either an empty vector control recombinant adenovirus (CMV) or an adenovirus to express HSP70. Twenty four hours after infection cells were treated with vehicle (VEH, DMSO) or with OSU-03012 (OSU, 1  $\mu$ M, 2  $\mu$ M). Cells were isolated by trypsinization 24h after drug treatments. Cell viability was determined using a trypan blue exclusion assay in triplicate. Data are from a representative experiment (n = 3). (\*  $p < 0.05$  less than corresponding value in the CMV infected cells). ***Inset microscopy section:*** U251 cells were plated in 4 chamber glass slides and 12h after plating infected with either a control recombinant adenovirus (CMV) or a recombinant adenovirus to express HSP70. Twenty four hours after infection cells were transfected with a plasmid to express GFP-LC3. Twenty four hours after transfection, cells were treated with vehicle (VEH, DMSO) or with OSU-03012 (1  $\mu$ M). The appearance of punctuate GFP-LC3 vacuoles was examined 6h after drug exposure (n = 2). ***Inset blotting section:*** U251 cells were cultured as in methods. Cells, 24h after infection cells were lysed and were subjected to SDS PAGE and immunoblotting to determine the expression of HSP70 and ERK2 (n = 3).

**Figure 7. Modulation of HSP70 expression changes OSU-03012 lethality. Panel A.** SV40 transformed wild type (WT) and PERK  $-/-$  mouse embryonic fibroblasts (MEFs) were cultured as in methods. Cells, 24h after plating were treated with DMSO vehicle or with OSU-03012 (OSU, 1  $\mu$ M) and or 17AAG (100 nM; 300 nM). Cells were isolated 24h after drug exposure and cell viability was determined using a trypan blue exclusion assay in triplicate. Data are from a representative experiment (n = 3) (#  $p < 0.05$  greater than cells lacking OSU-03012 treatment; \$  $p < 0.05$  less than corresponding value in wild type MEF cell). ***Inset blotting Panels:*** Cells were lysed 12h after OSU-03012 addition and the expression of ERK2, HSP70 and HSP90 determined by immunoblotting. **Panel B.** U251 cells were cultured as in methods and 12h after plating transfected with either an empty vector control plasmid (CMV) or a plasmid to express dominant negative PERK (dnPERK). Twenty four hours after transfection cells were treated with vehicle (VEH, DMSO) or with OSU-03012 (OSU, 0.5-1  $\mu$ M) and/or 17AAG (100 nM, 300 nM). Cells were isolated by trypsinization 24h after drug treatments. Cell viability was determined using a trypan blue exclusion assay in triplicate. Data are from a representative experiment (n = 3). (#  $p < 0.05$  greater than corresponding value in the CMV cells). ***Inset blotting Panel:*** U251 cells were lysed 6h and 24h after OSU-03012 (1  $\mu$ M) and/or 17AAG (100 nM) addition and the expression of HSP70 and GAPDH determined by immunoblotting.

**Table 1. Expression of dominant negative PERK or treatment with 3 methyl adenine suppresses the formation of GFP-LC3 containing vacuoles after OSU-03012 exposure.**

U251 cells were plated in 4 chamber glass slides and 24h later treated transfected with an empty vector plasmid or a plasmid to express a green fluorescent protein (GFP) tagged form of LC3. In parallel, the cells were transfected with an empty vector plasmid or a plasmid to express a truncated dominant negative form of PERK. Twenty four hours after transfection cells were pre-treated with vehicle (veh, PBS) or 3-methyl adenine (5 mM) followed 30 min later by vehicle (VEH, DMSO) or with OSU-03012 (OSU, 1  $\mu$ M). Six h after OSU-03012 treatment cells were visualized at 40X using an Axiovert 200 fluorescent microscope under fluorescent light on the Zeiss Axiovert 200 microscope using the FITC filter, and visible light. Data shown is from 40 transfected cells from a representative experiment (n = 3).

| CMV+VEH       | CMV+OSU       | dn PERK+VEH   | dn PERK+OSU    | 3MA+VEH       | 3MA+OSU        |
|---------------|---------------|---------------|----------------|---------------|----------------|
| 2.0 $\pm$ 0.7 | 7.5 $\pm$ 1.2 | 1.3 $\pm$ 0.4 | 1.5 $\pm$ 0.3* | 1.1 $\pm$ 0.3 | 1.2 $\pm$ 0.3* |

Mean Autophagic Vesicles per U251 cell 24h after treatment (n = 40 LC3-GFP transfected cells  $\pm$  SEM)

**Table 2. Knock down of Beclin 1 or ATG5 expression suppresses OSU-03012 –induced formation of**

**GFP-LC3 vacuoles in U251 cells.** U251 cells were plated in 4 chamber glass slides and 24h later treated transfected with an empty vector plasmid or a plasmid to express a green fluorescent protein (GFP) tagged form of LC3. In parallel, the cells were transfected with an empty vector plasmid expressing a scrambled siRNA sequence (siSCR) or with plasmids to express siRNAs to suppress the expression of Beclin 1 (siBeclin 1) or to suppress expression of ATG5 (siATG5). Forty eight hours after transfection cells were treated with vehicle (VEH, DMSO) or with OSU-03012 (OSU, 1  $\mu$ M). Six h after OSU-03012 treatment cells were visualized at 40X using an Axiovert 200 fluorescent microscope under fluorescent light on the Zeiss Axiovert 200 microscope using the FITC filter, and visible light. Data shown is from 40 transfected cells from a representative experiment (n = 3).

| <b>siSCR+VEH</b>                | <b>siSCR+OSU</b>                  | <b>siATG5+VEH</b>               | <b>siATG5+OSU</b>                | <b>siBeclin 1+VEH</b>           | <b>siBeclin 1+OSU</b>            |
|---------------------------------|-----------------------------------|---------------------------------|----------------------------------|---------------------------------|----------------------------------|
| <b>1.6 <math>\pm</math> 0.6</b> | <b>9.9 <math>\pm</math> 1.6 #</b> | <b>0.6 <math>\pm</math> 0.2</b> | <b>3.4 <math>\pm</math> 0.7*</b> | <b>1.2 <math>\pm</math> 0.4</b> | <b>3.6 <math>\pm</math> 0.8*</b> |

**Mean Autophagic Vesicles per U251 cell 24h after treatment (n = 40 LC3-GFP transfected cells  $\pm$  SEM)**

Figure 1A

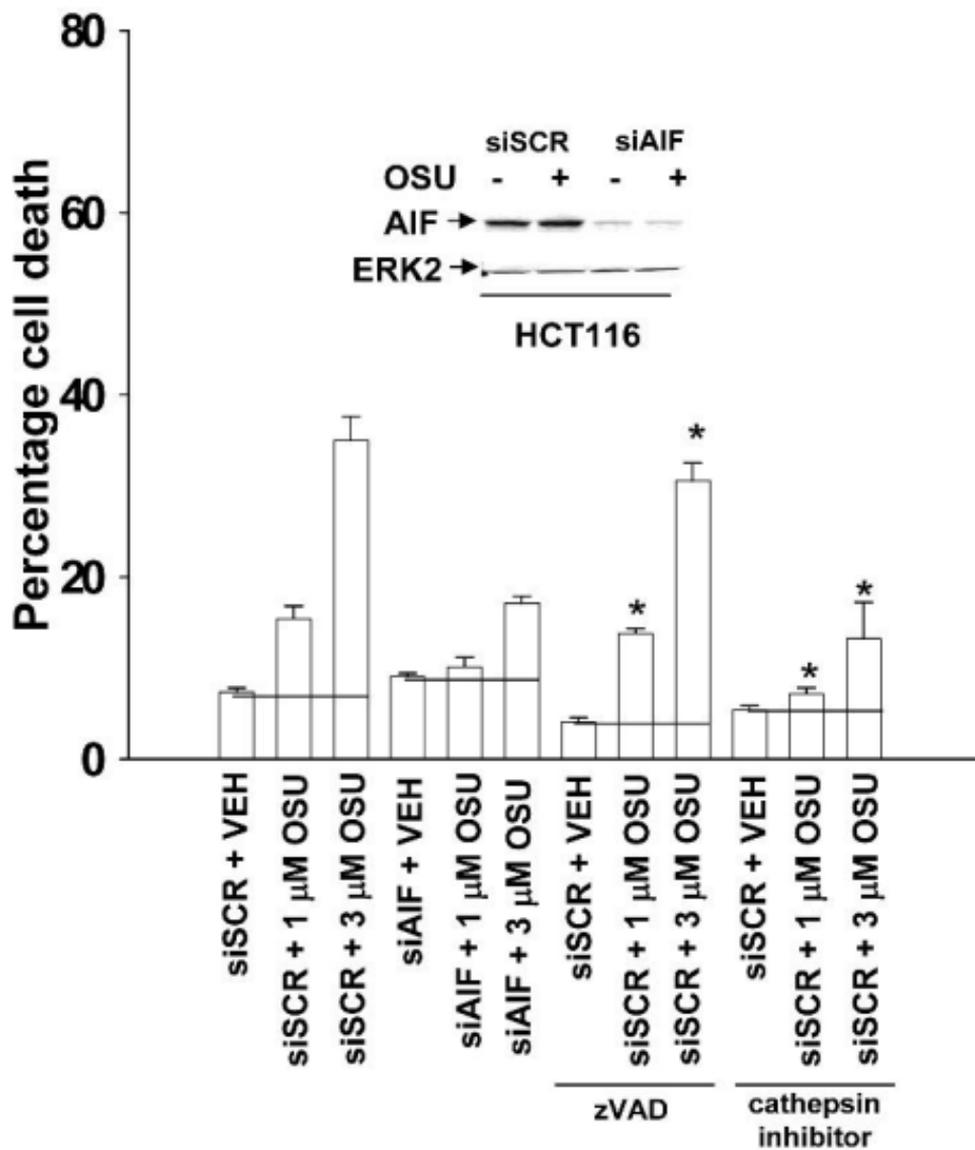


Figure 1B

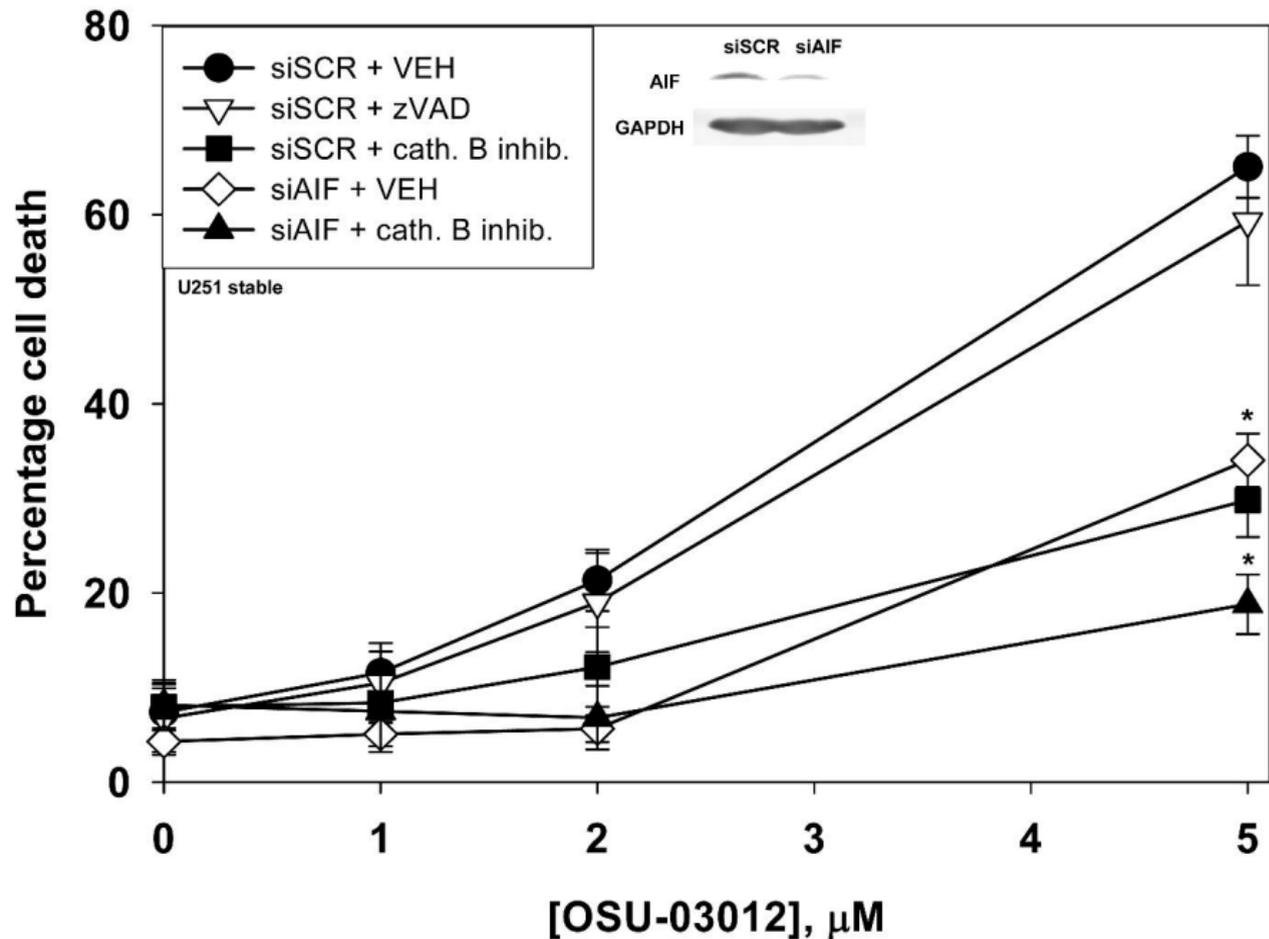


Figure 1C

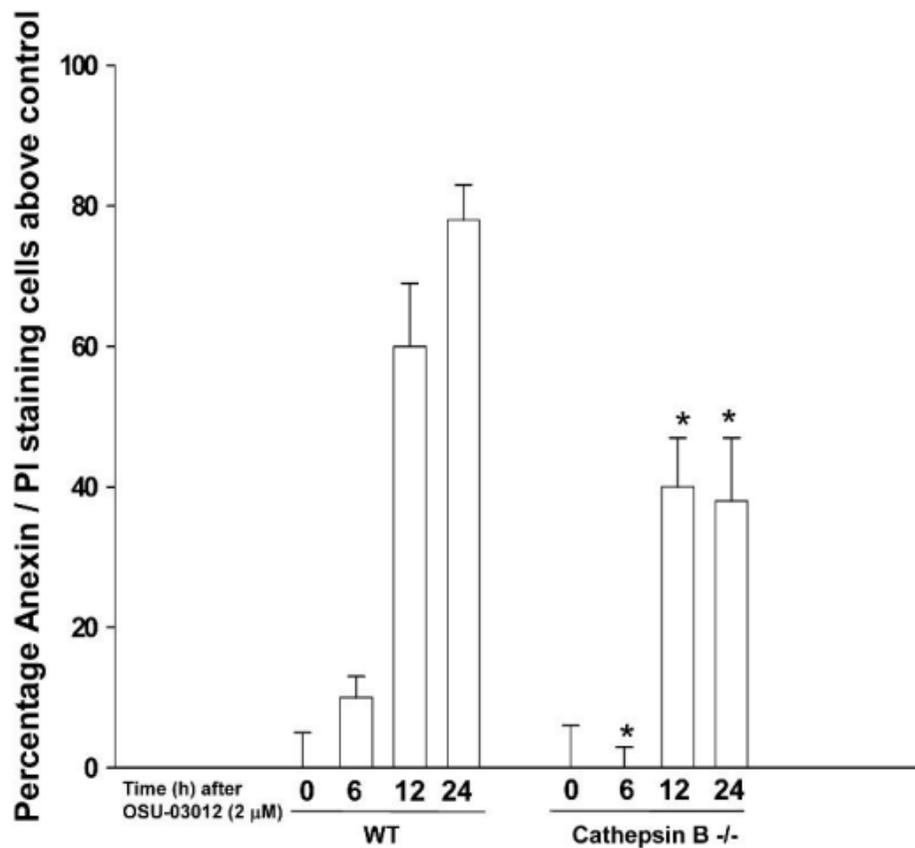
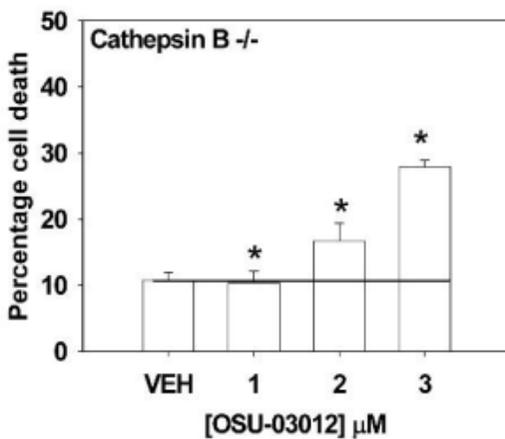
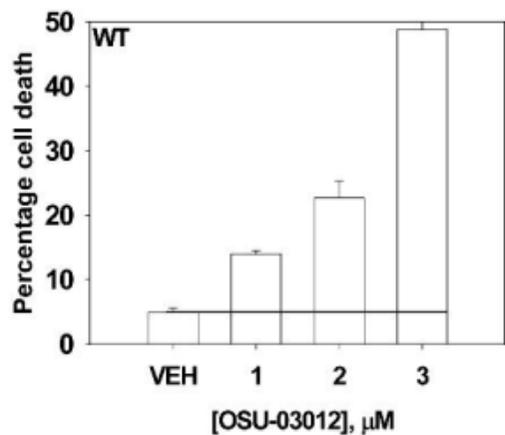


Figure 1D

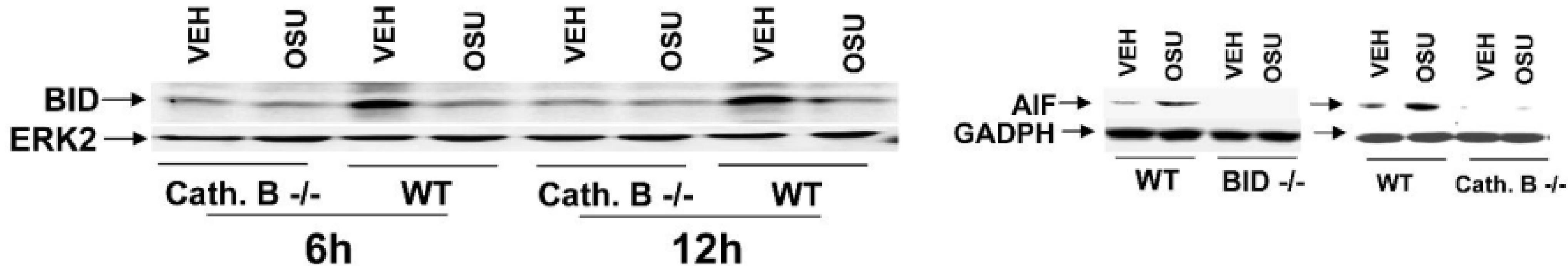


Figure 2A

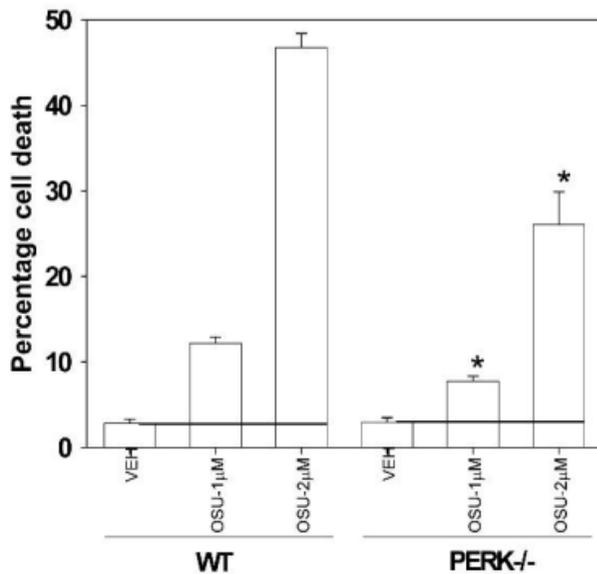
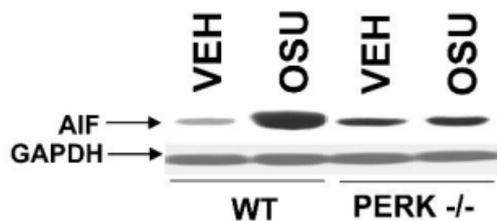
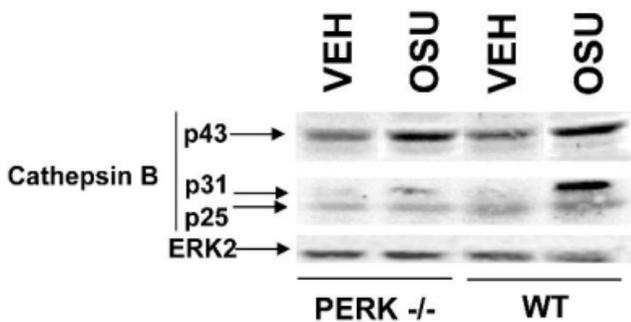
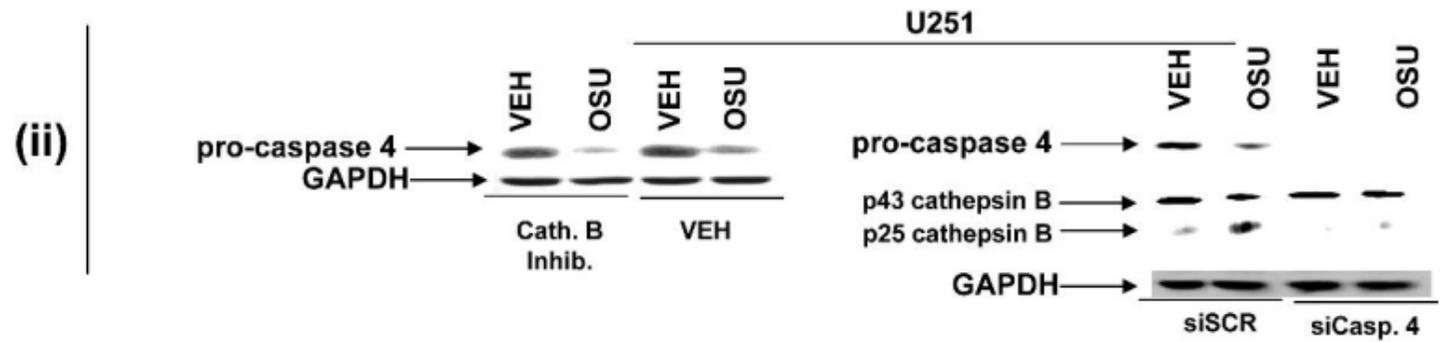
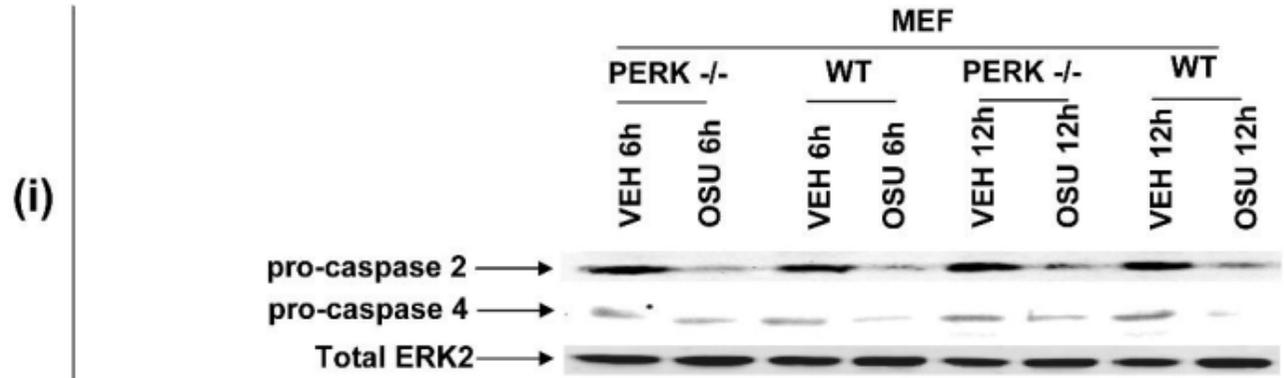
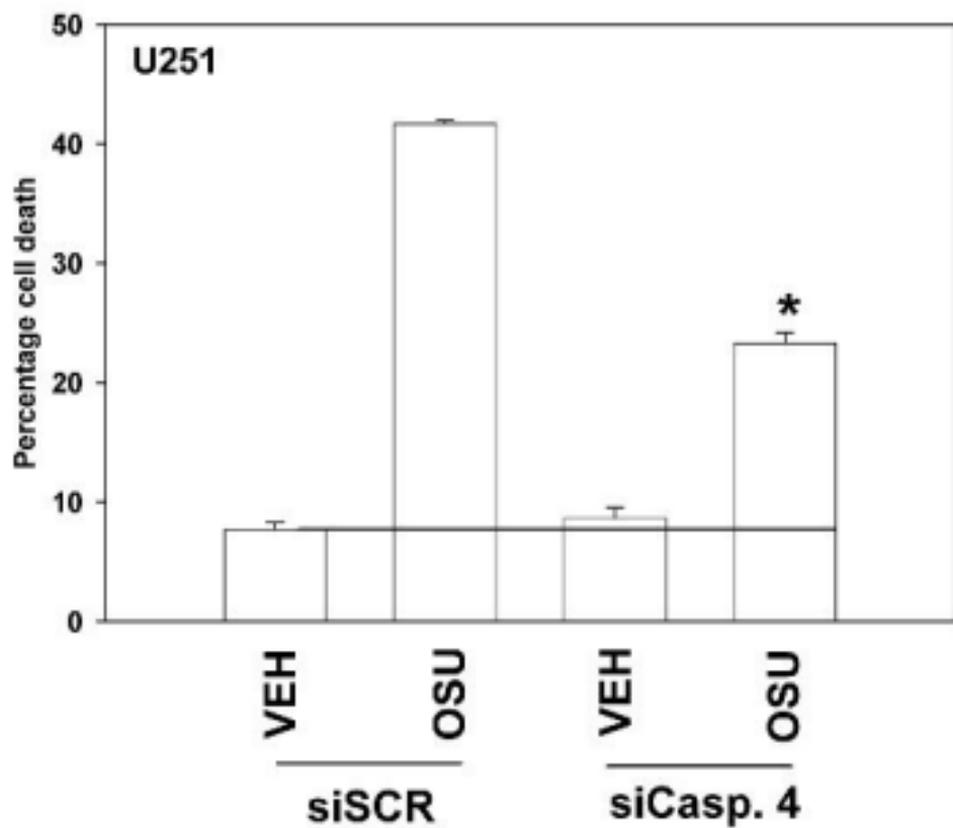


Figure 2B



**Figure 2C**



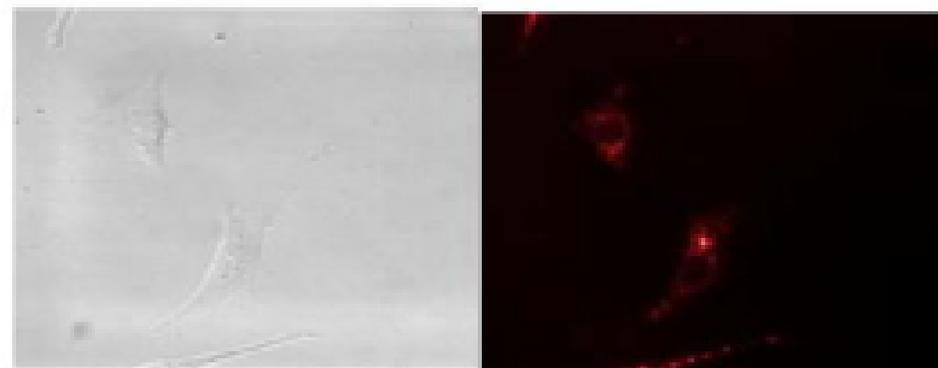
**Figure 3A**



**Visible**

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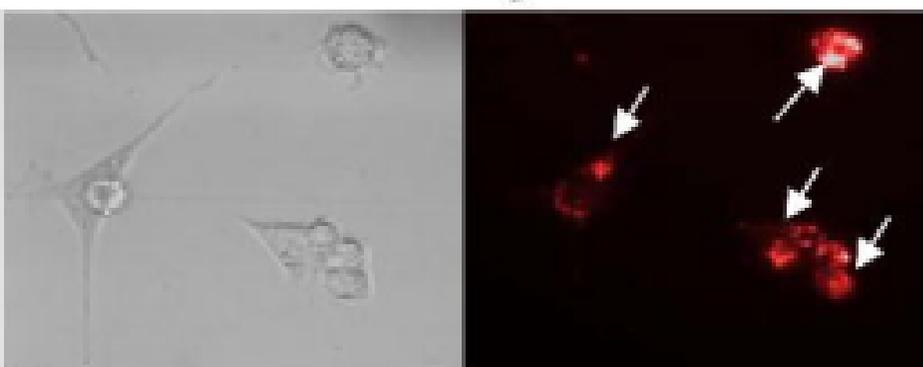
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VEH**



**Visible**

**Lysotracker red**

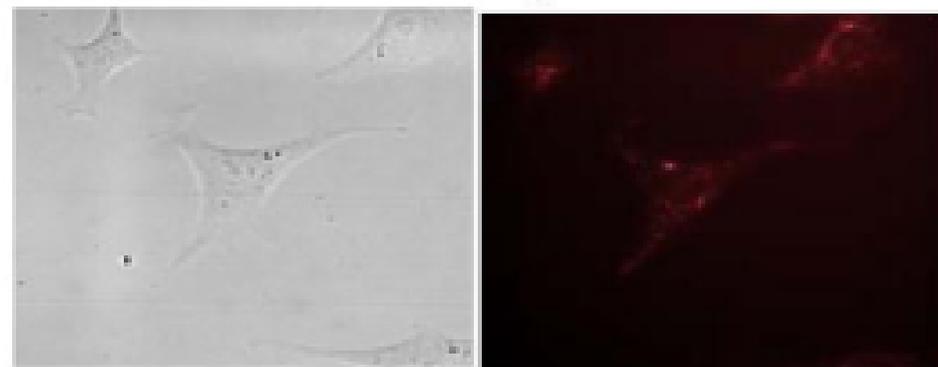
**PERK -/- MEF  
VEH**



**Visible**

**Lysotracker red**

**WT MEF  
OSU-03012**

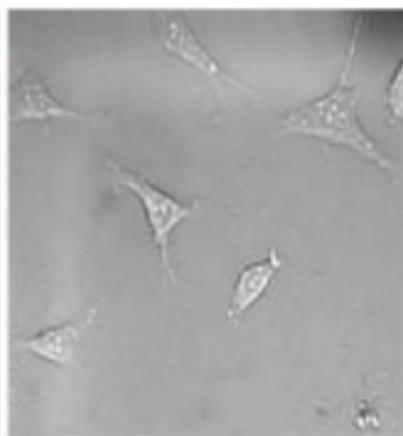
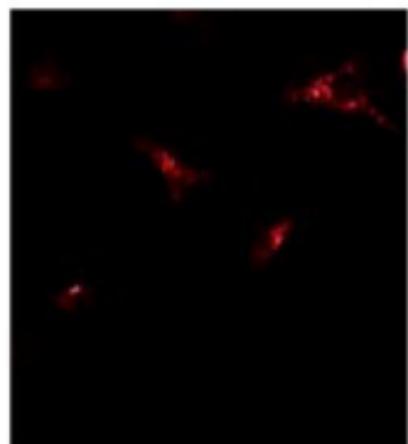


**Visible**

**Lysotracker red**

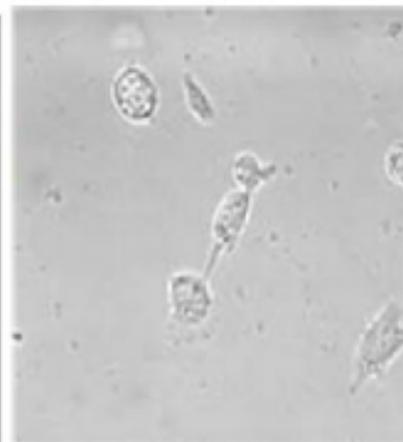
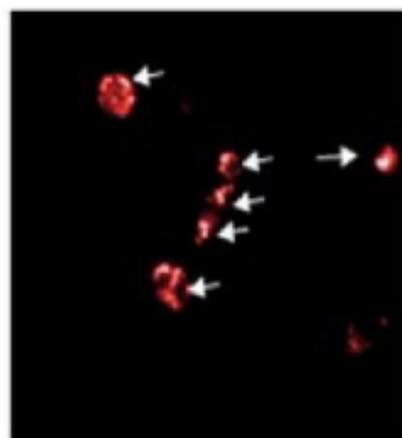
**PERK -/- MEF  
OSU-03012**

**Figure 3B**



**VEH**

**U251**

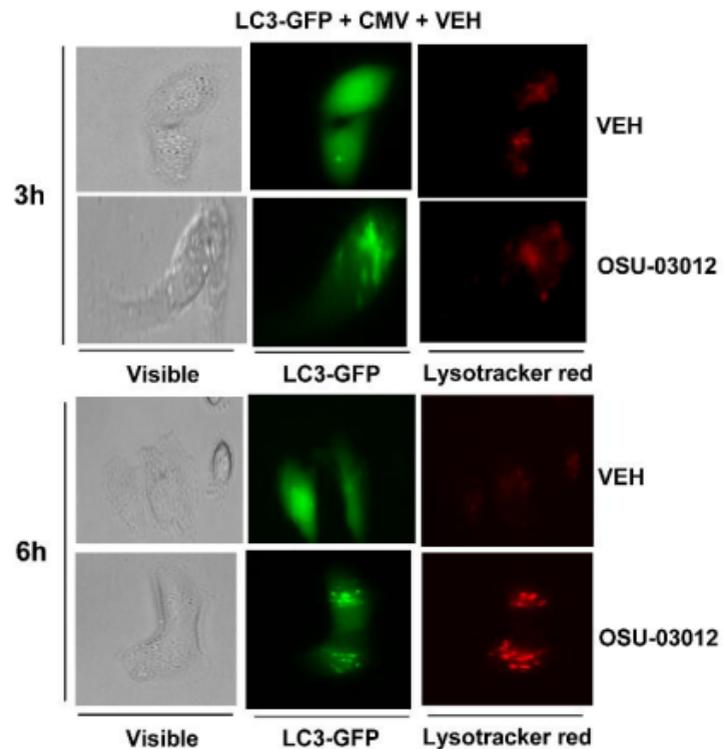
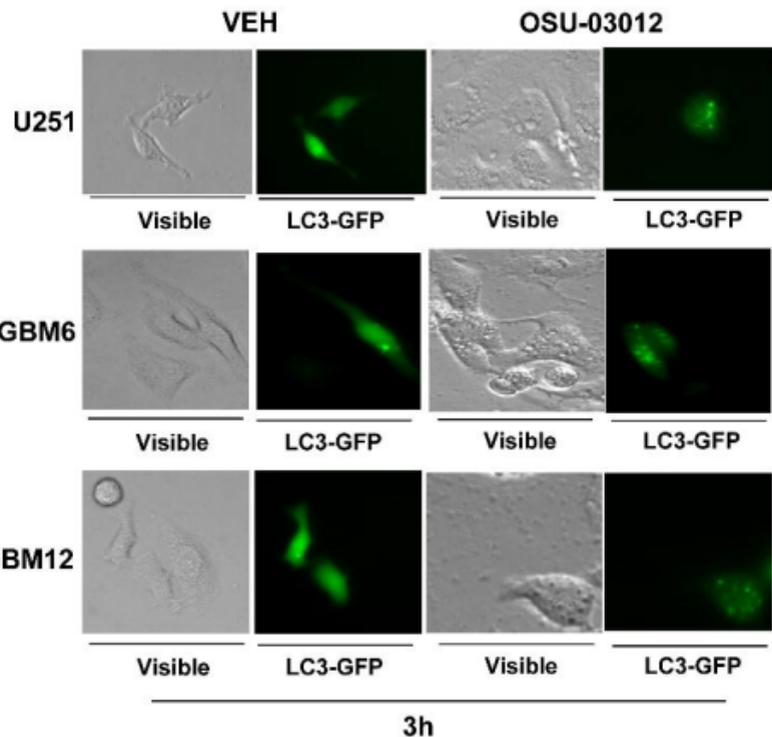


**OSU-03012**

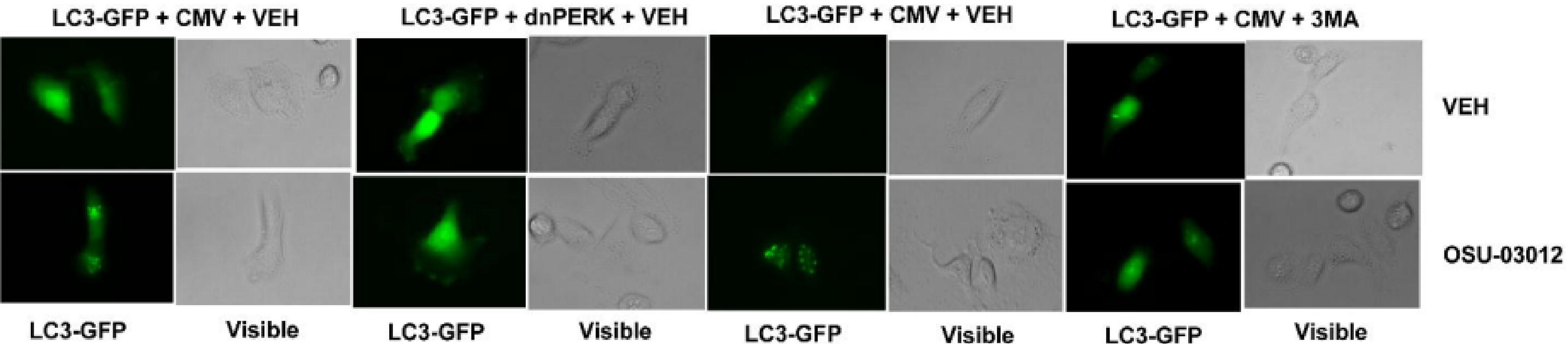
**LysoTracker red**

**Visible**

Figure 3C



**Figure 3D**



**Figure 3E**

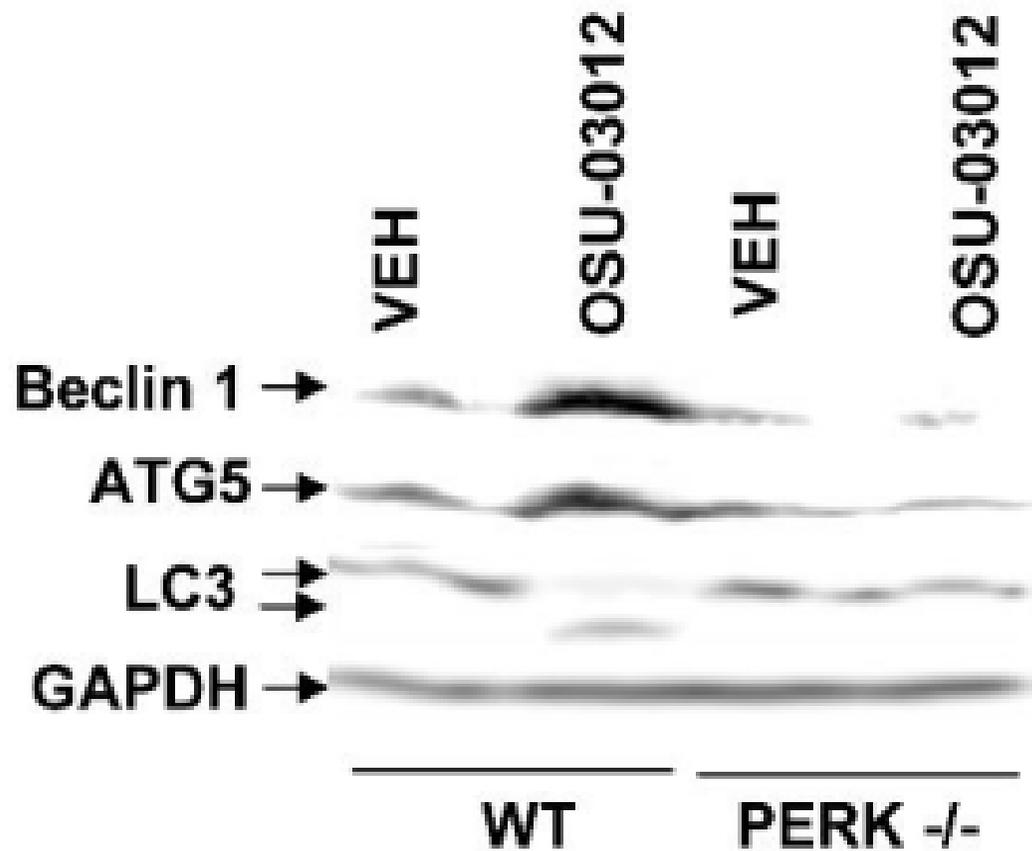


Figure 3F

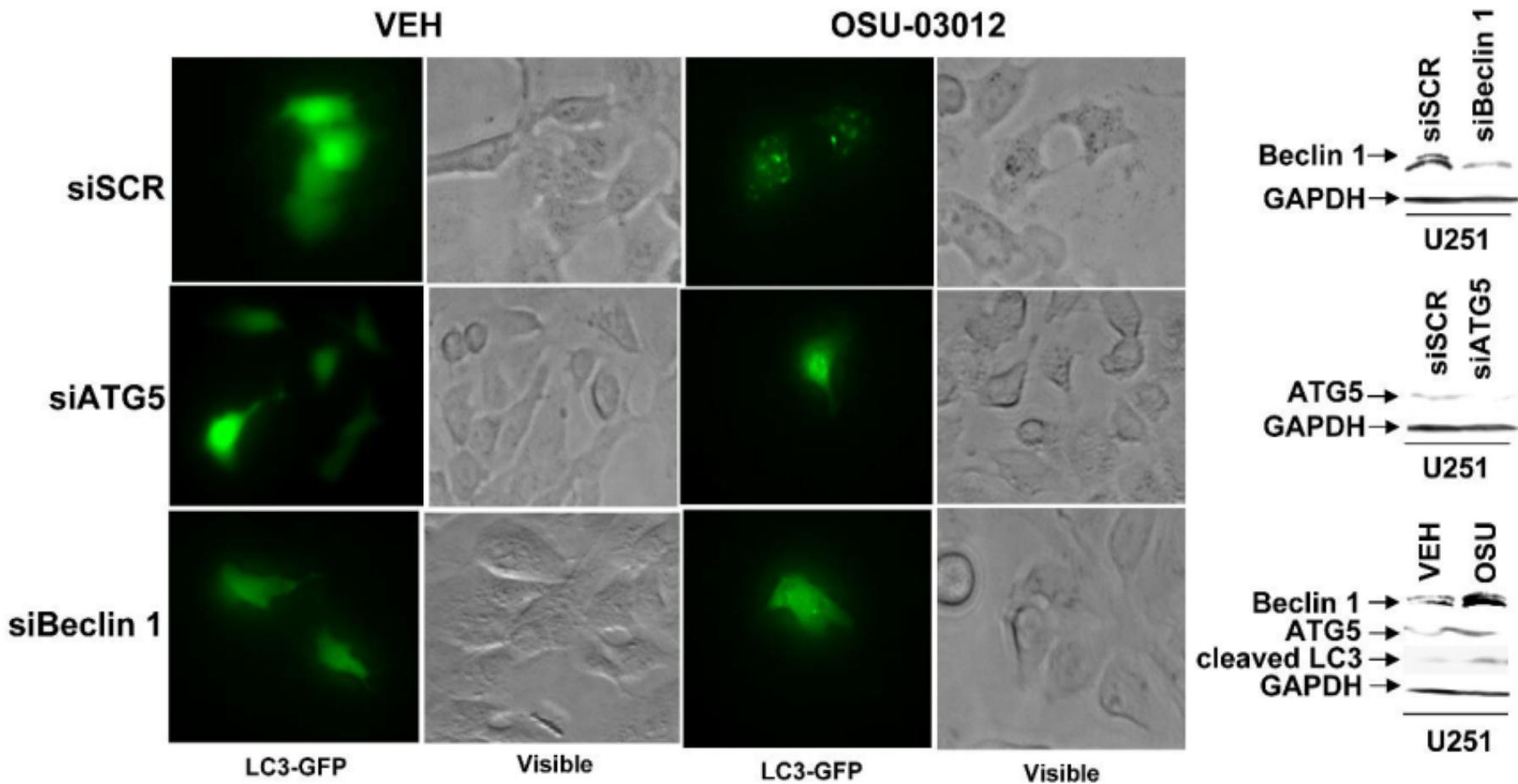
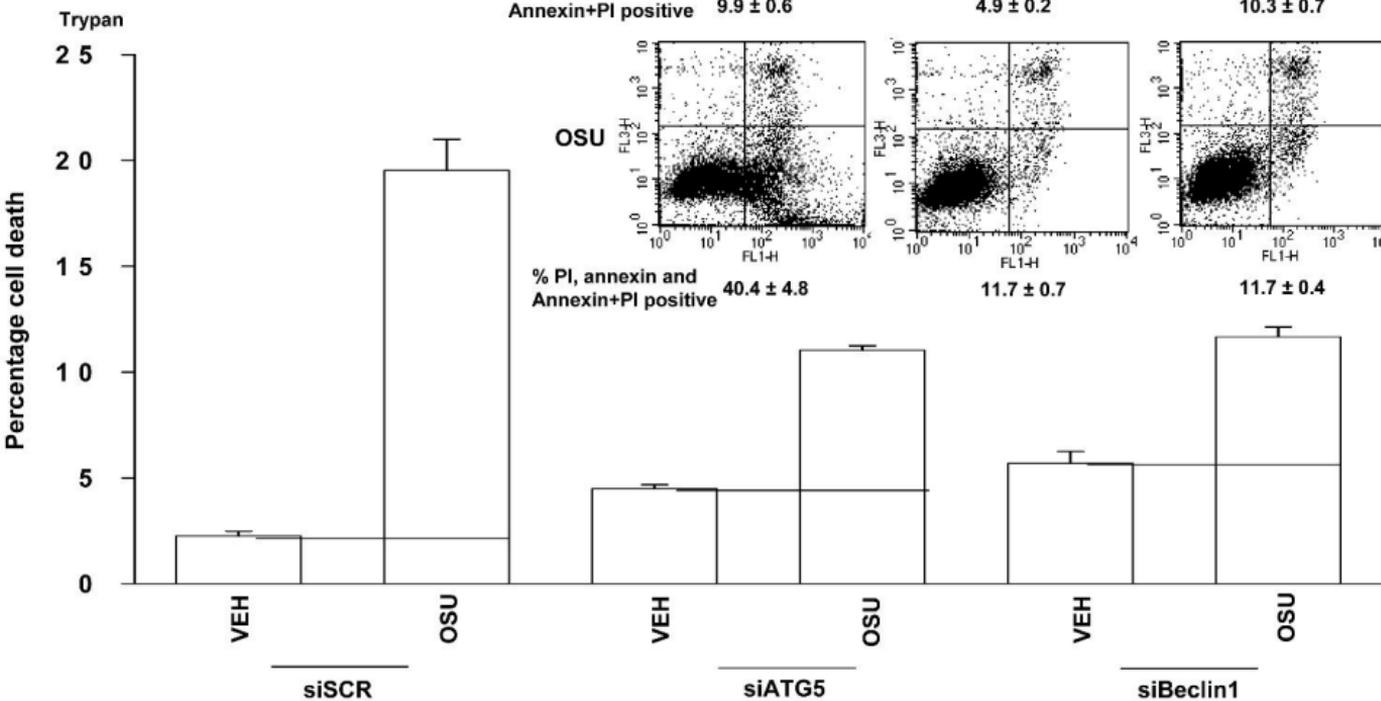


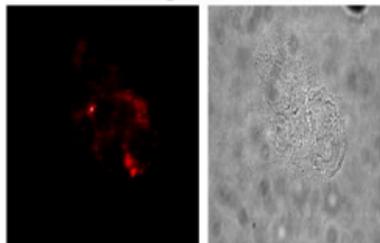
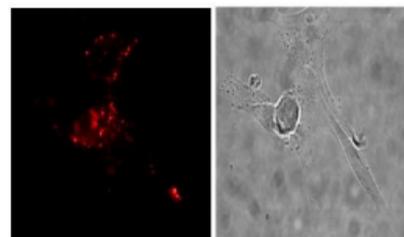
Figure 3G



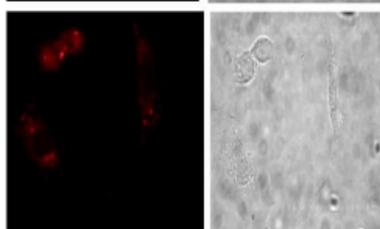
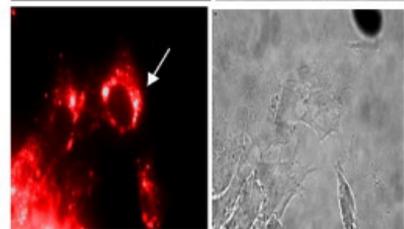
**Figure 4**

**WT MEF**

**Cathepsin B <sup>-/-</sup> MEF**



**VEH**



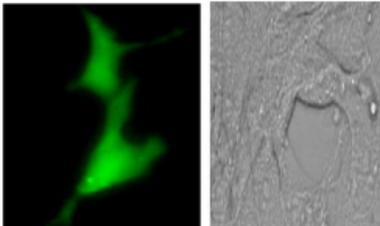
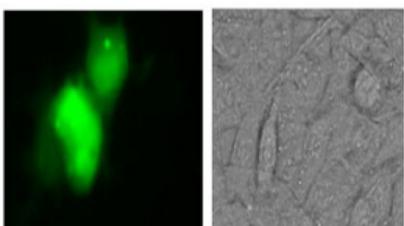
**OSU-03012**

**Lysotracker red**

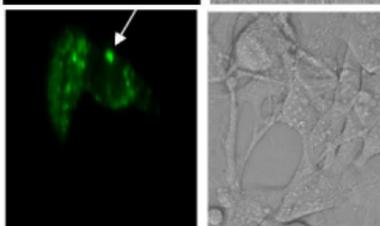
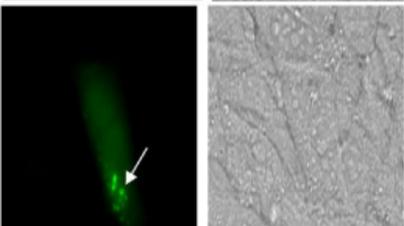
**Visible**

**Lysotracker red**

**Visible**



**VEH**



**OSU-03012**

**LC3-GFP**

**Visible**

**LC3-GFP**

**Visible**

Figure 5A

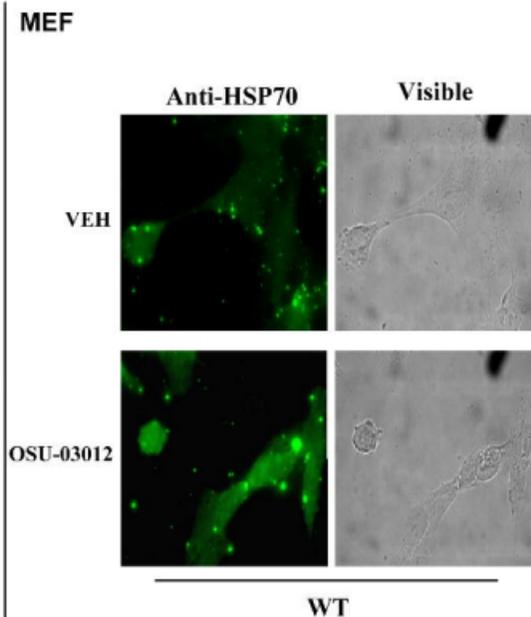
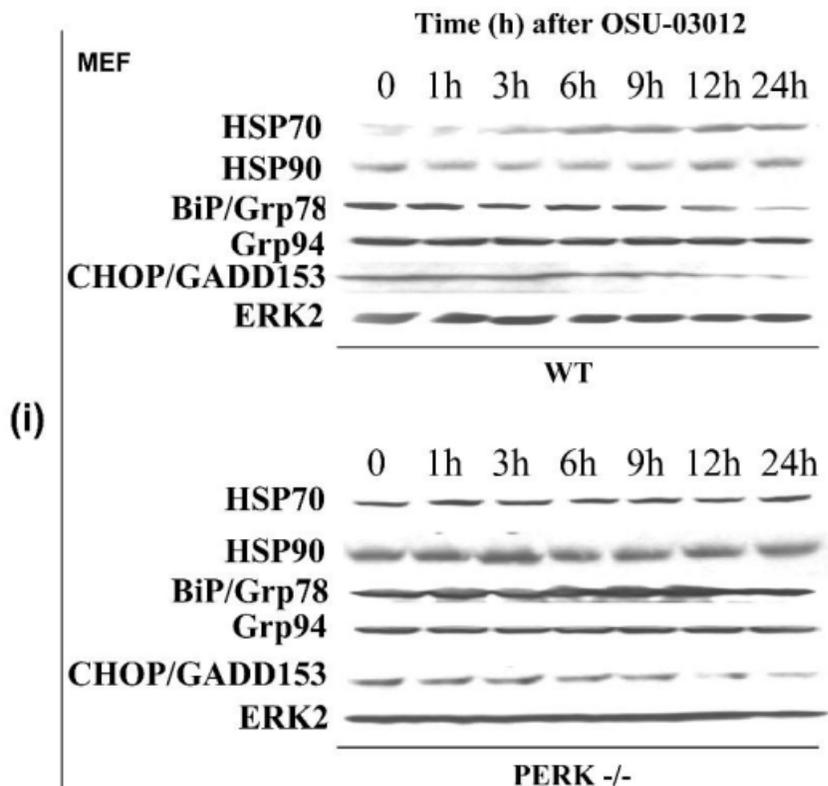


Figure 5B

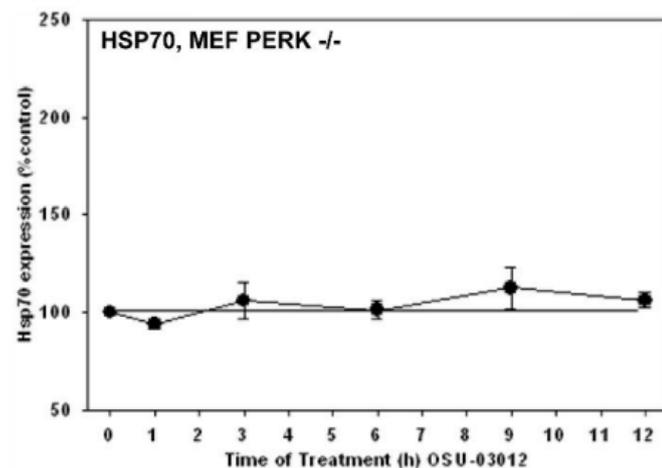
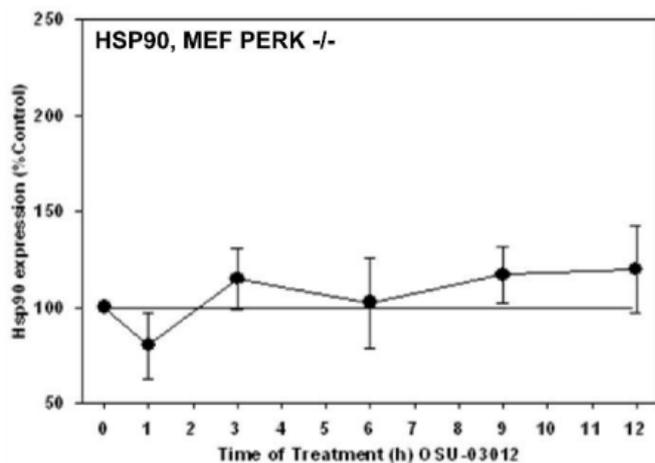
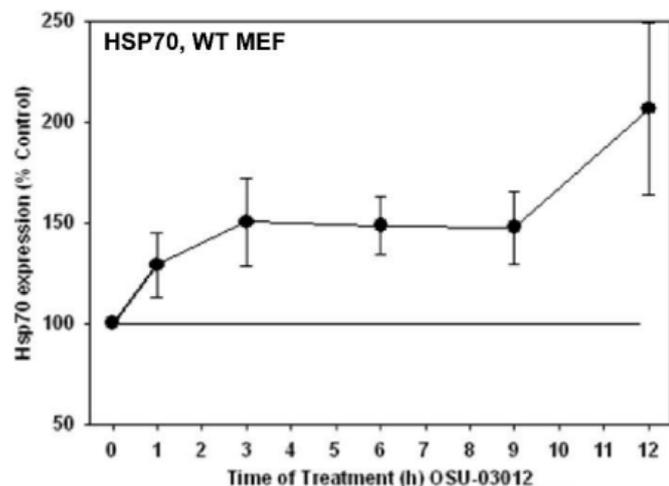
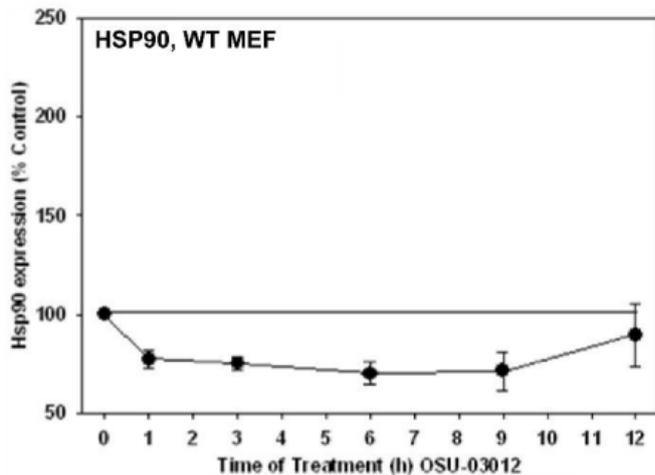


Figure 5C

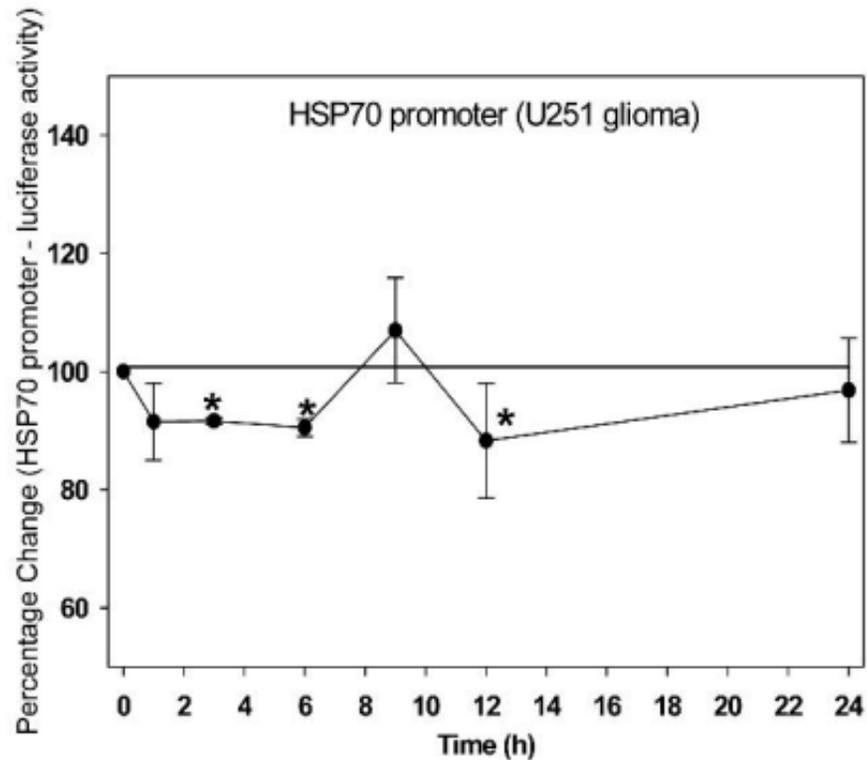
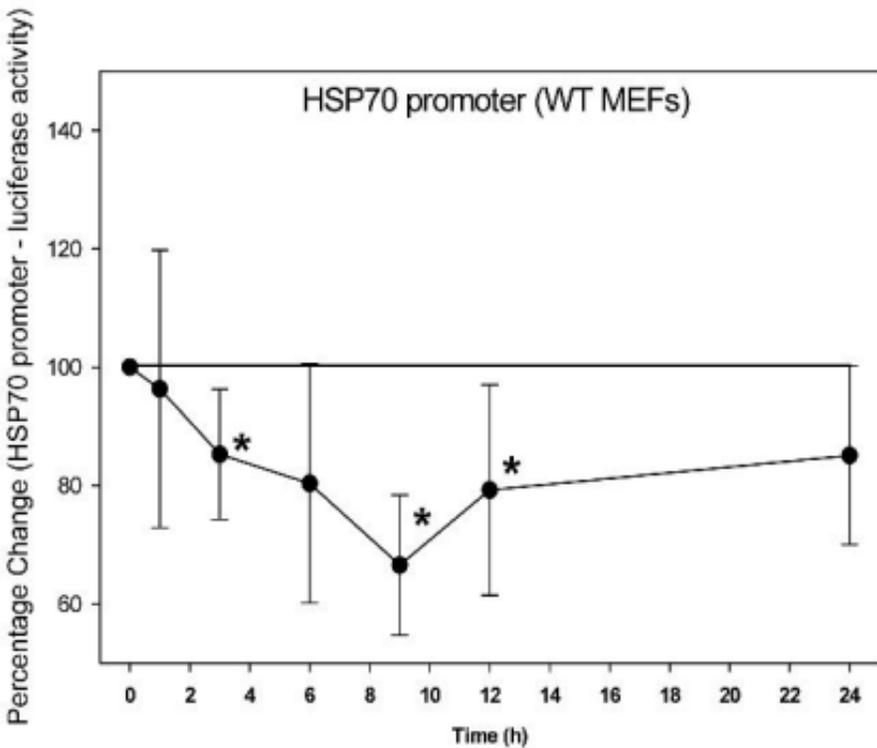


Figure 5D

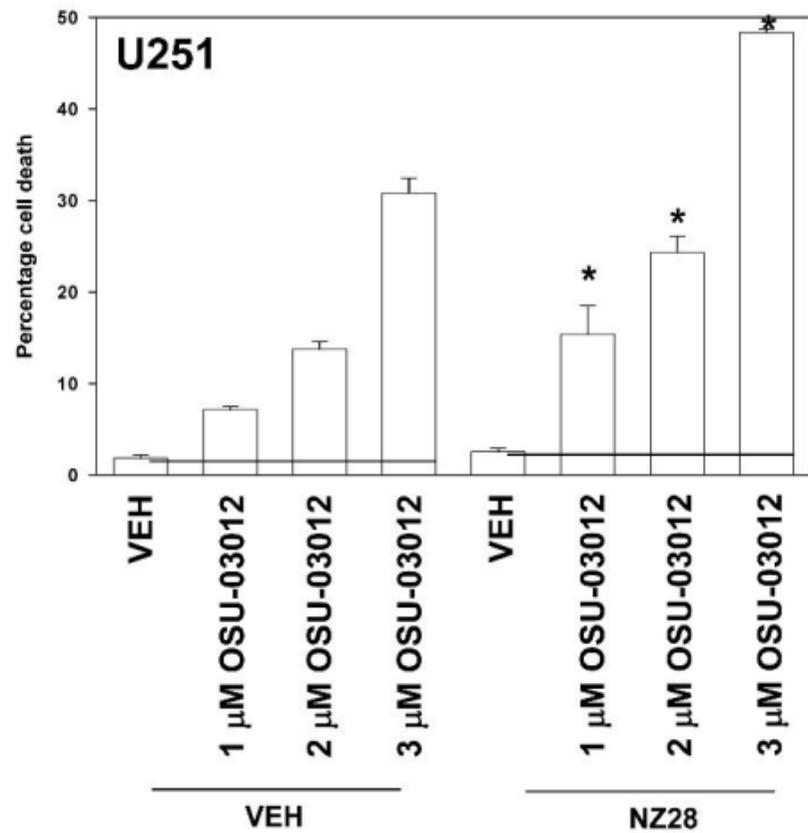
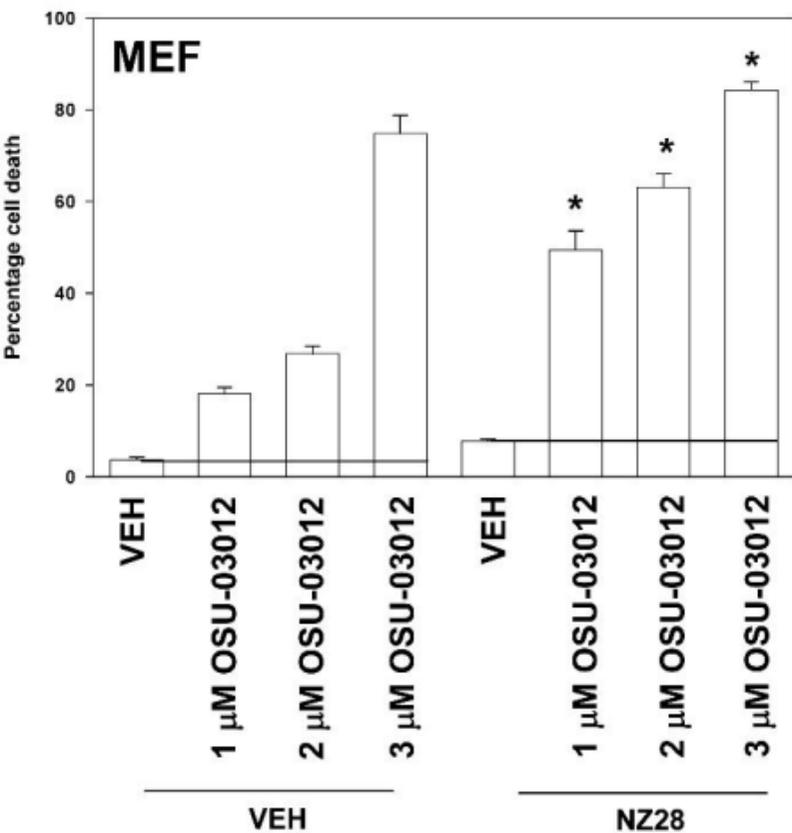


Figure 5E

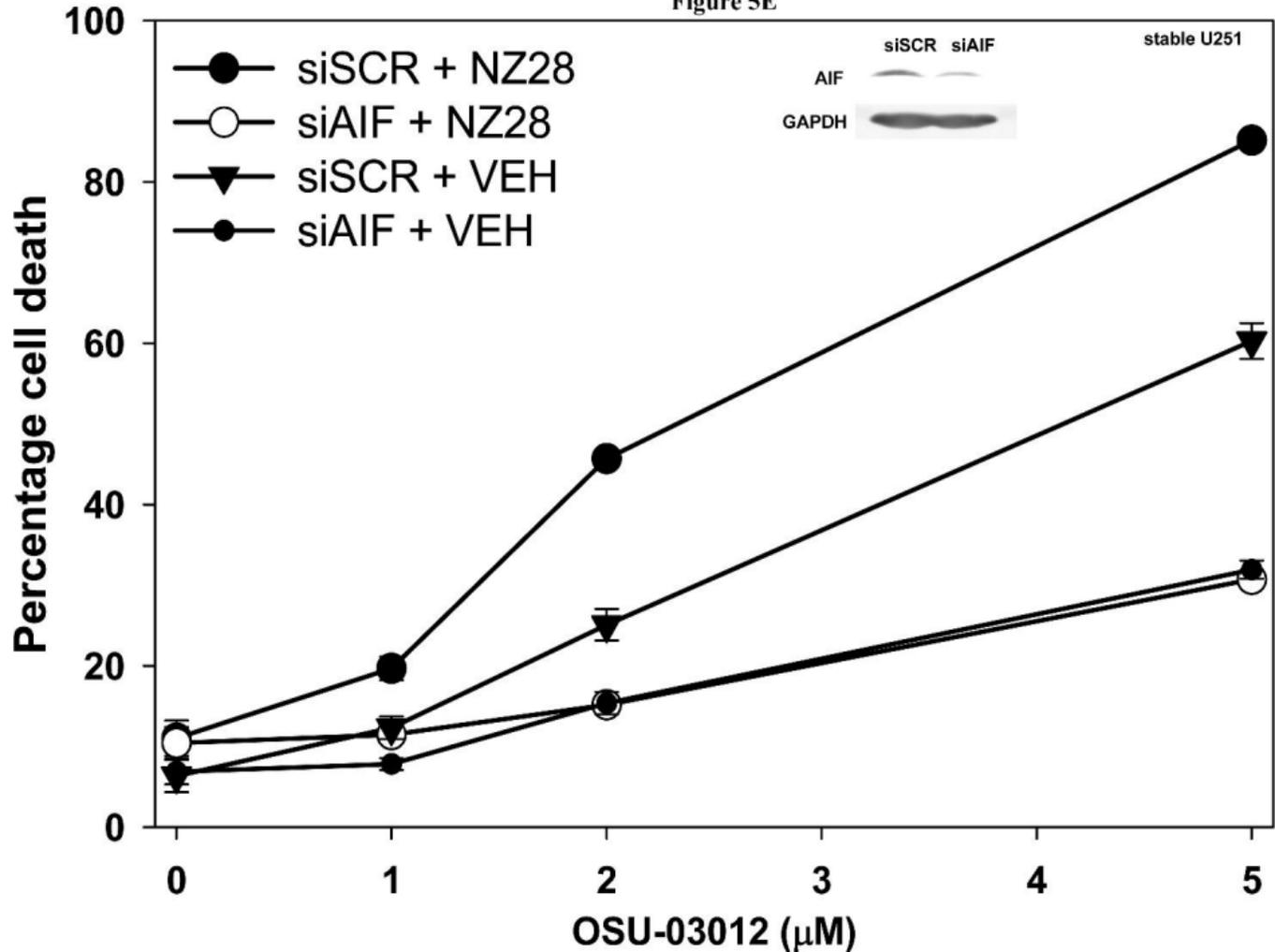




Figure 6B

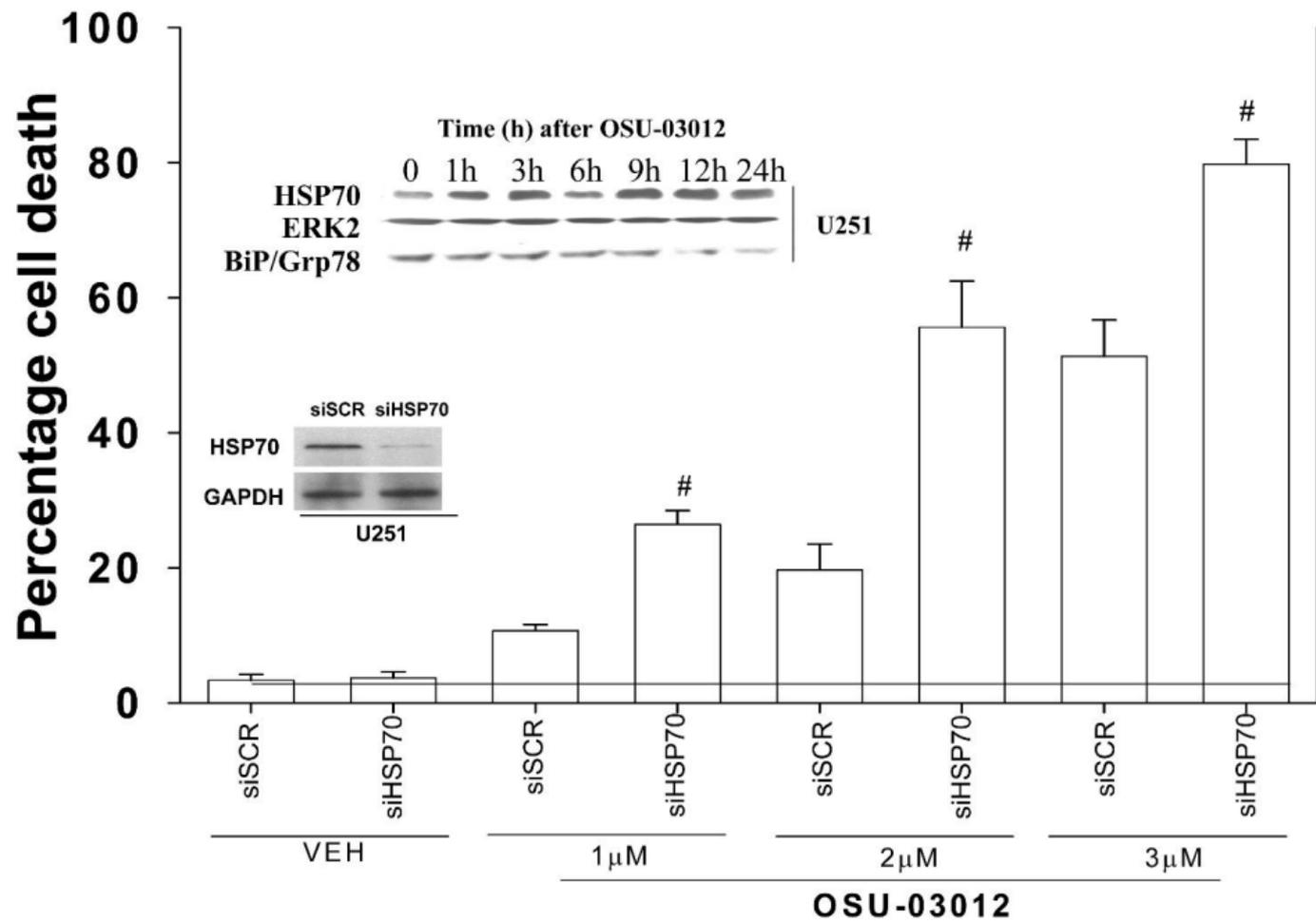


Figure 6C

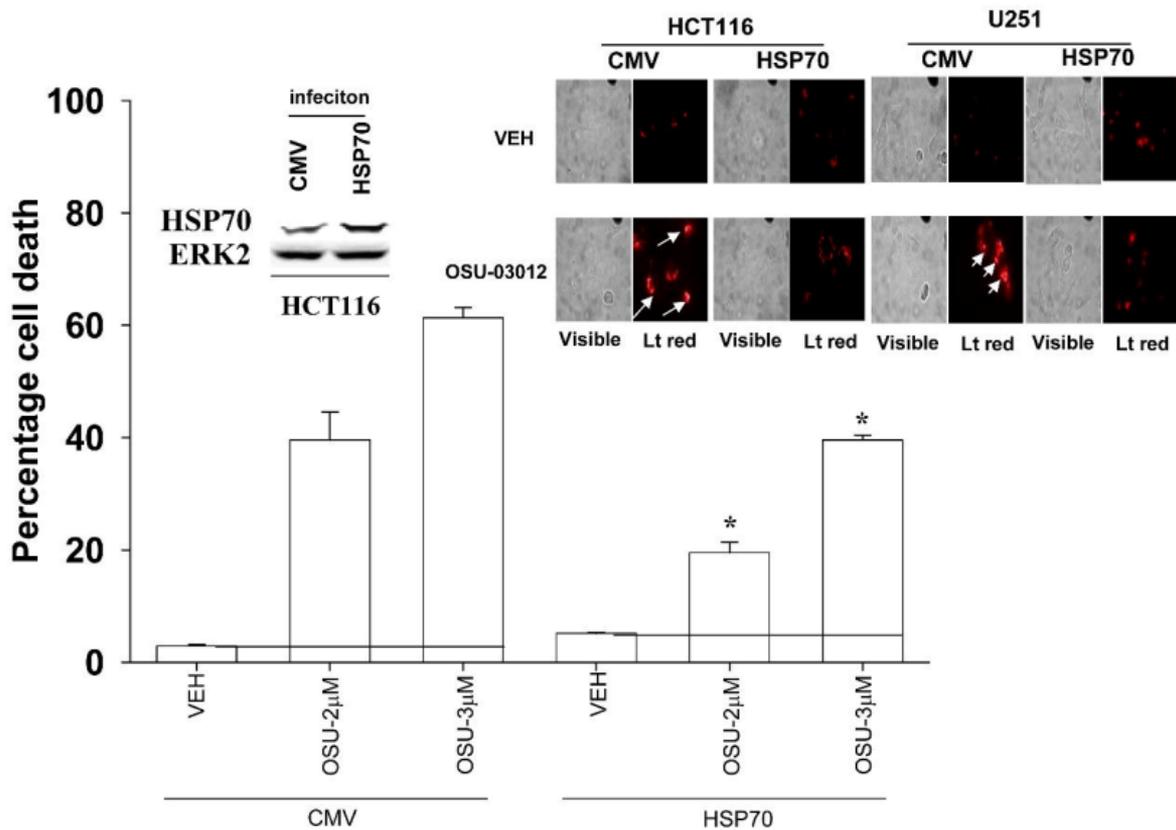


Figure 6D

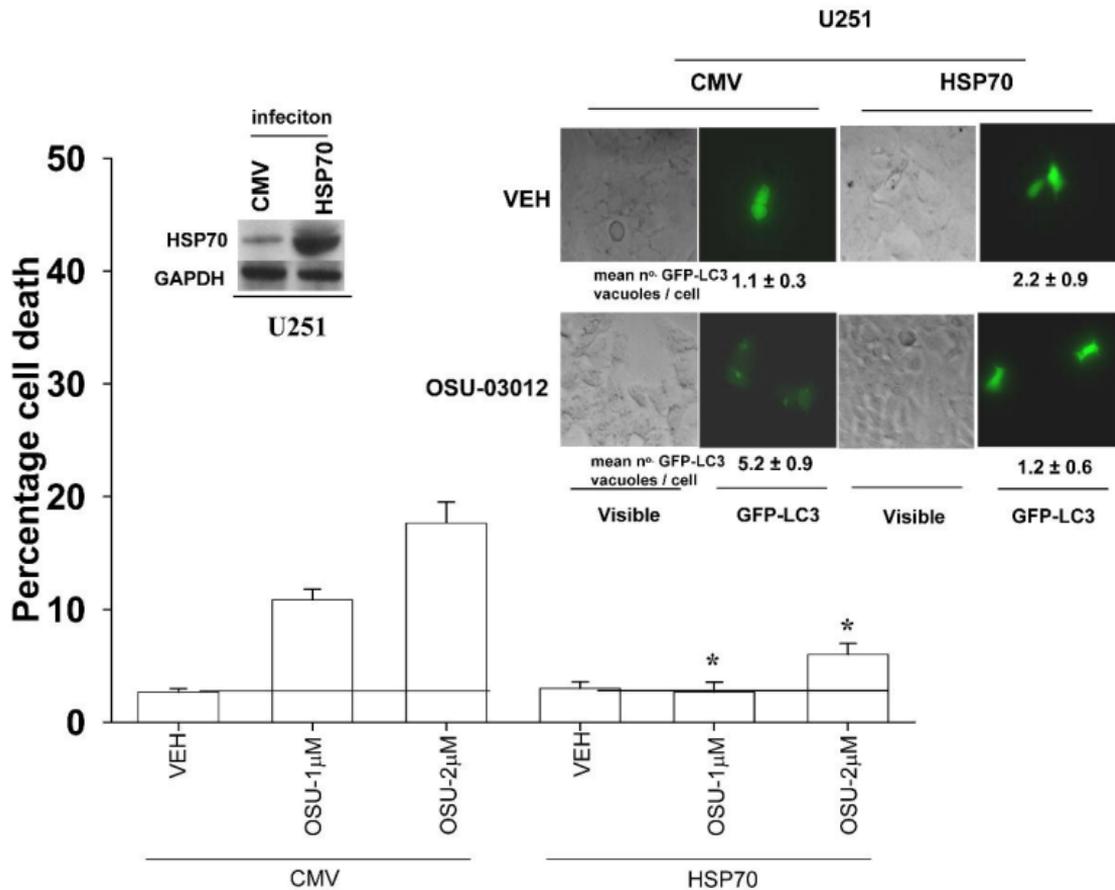


Figure 7A

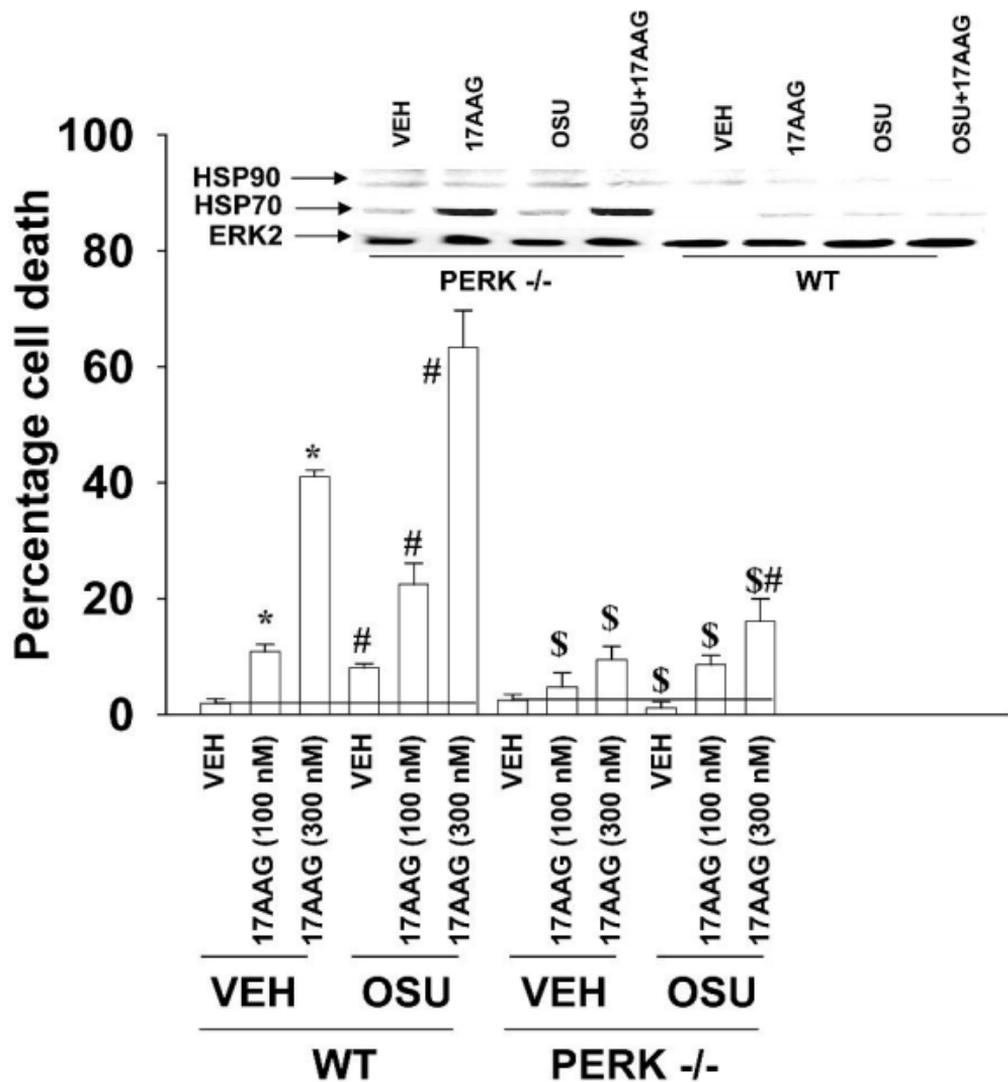


Figure 7B

