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## ACTIVATION OF RETINOIC ACID RECEPTORS BY DIHYDRORETINOIDS

Alexander R. Moise, Susana Alvarez, Marta Domínguez, Rosana Alvarez, Marcin Golczak,  
Glenn P. Lobo, Johannes von Lintig, Angel R. de Lera, and Krzysztof Palczewski

(ARM, MG, GPL, JVL, KP) Department of Pharmacology, Case School of Medicine, Case Western Reserve University, Cleveland, Ohio 44106-4965;

(SA, MD, RA, ARdL) Departamento de Química Orgánica, Facultad de Química, Universidade de Vigo, 36310 Vigo, Spain

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**Running title:** Nuclear Receptor Activated by Dihydroretinoids

**\*Correspondence to:** Krzysztof Palczewski, PhD., Department of Pharmacology, Case School of Medicine, Case Western Reserve University, Cleveland, Ohio 44106-4965, USA; phone: 216-368-1284; fax: 216-368-1300; email: kxp65@case.edu.

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**ABBREVIATIONS:** atRA, all-*trans*-retinoic acid; atROL, all-*trans*-retinol; C/EBP, cytidine-cytidine-adenosine-adenosine-thymidine enhancer-binding proteins; CRABP, cellular retinoic acid binding protein; 7,8-DRA, all-*trans*-7,8-dihydroretinoic acid; DRA, all-*trans*-13,14-dihydroretinoic acid; DROL, all-*trans*-13,14-dihydroretinol; HPLC, high performance liquid chromatography; IBMX, 3-isobutyl-1-methylxanthine; NCoA3, nuclear receptor coactivator 3; PPAR, peroxisome proliferator-activated receptor; PPRE, peroxisome proliferator-response element; (*R*)-DRA, (*R*)-all-*trans*-13,14-dihydroretinoic acid; (*R*)-DROL, (*R*)-all-*trans*-13,14-dihydroretinol; RALDH, retinaldehyde dehydrogenase; RAR, retinoic acid receptor; RBP4, retinol-binding protein 4; RDH, retinol dehydrogenase; REH, retinyl ester hydrolase; RE, retinyl esters; RetSat, all-*trans*-(13,14)-retinol saturase; ROL, all-*trans*-retinol; RXR, retinoid X receptor; (*S*)-DRA, (*S*)-all-*trans*-13,14-dihydroretinoic acid; (*S*)-DROL, (*S*)-all-*trans*-13,14-dihydroretinol; TTNPB, 4-[(*E*)-2-(5,6,7,8-tetrahydro-5,5,8,8-tetramethyl-2-naphthalenyl)-1-propenyl]benzoic acid.

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## ABSTRACT

Vitamin A derived metabolites act as ligands for nuclear receptors controlling the expression of a number of genes. Stereospecific saturation of the C<sub>13</sub>-C<sub>14</sub> double bond of all-*trans*-retinol by the enzyme, retinol saturase (RetSat), leads to the production of (*R*)-all-*trans*-13,14-dihydroretinol. In liver and adipose tissue, expression of RetSat is controlled by peroxisome proliferator-activated receptors (PPAR)  $\alpha$  and  $\gamma$ , respectively. Expression of RetSat in adipose tissue is also required for PPAR $\gamma$  activation and adipocyte differentiation, but the involved mechanism is poorly understood. In this study, we examined the potential of (*R*)-all-*trans*-13,14-dihydroretinol and its metabolites to control gene transcription via nuclear receptors. Using a cell-based transactivation assay to screen 25 human nuclear receptors for activation, we found that dihydroretinoids have a narrow transcriptional profile limited primarily to activation of retinoic acid receptors (RARs). While (*R*)-all-*trans*-13,14-dihydroretinoic acid exhibited comparable potency to retinoic acid in promoting the interaction of RARs with a coactivator peptide *in vitro*, its potency in activating RAR-controlled genes in cell-based assays was much lower than that of retinoic acid. As an explanation for the weak RAR agonist activity of dihydroretinoids in cell-based assays, we propose that both delivery of ligand to the nucleus and RAR activation favor retinoic acid over dihydroretinoids. Discrimination between the cognate ligand, retinoic acid, and close analogs such as dihydroretinoids, occurs at multiple levels and may represent a mechanism to modulate retinoid-dependent physiological processes.

## Introduction

Vitamin A and its derivatives, commonly referred to as retinoids, play a critical role in vision and the regulation of embryonic development, cell differentiation, proliferation and apoptosis. Visual function is conferred by photoreceptor molecules composed of rod and cone opsin proteins coupled to 11-*cis*-retinaldehyde (Palczewski, 2006; Wald, 1965). The all-*trans*-retinoic acid (atRA) metabolite is a potent signaling molecule during embryogenesis, regulating tissue development and homeostasis. atRA exerts its actions by regulating the expression of specific subsets of genes within target tissues via ligand-activated transcription factors known as retinoic acid receptors (RARs), which work as heterodimers with retinoid X receptors (RXRs) (Altucci and Gronemeyer, 2001; Giguere et al., 1987; Petkovich et al., 1987).

Major efforts have been made to establish whether retinol is converted to other bioactive metabolites besides atRA or 11-*cis*-retinaldehyde. These studies led to the discovery of several retinoids with potential biological activity, but only a few enzymes have been identified that could produce them *in vivo* (Buck et al., 1991; Heyman et al., 1992; Schuchardt et al., 2009). We originally identified and characterized the enzyme known as retinol saturase (RetSat) that catalyzes the saturation of all-*trans*-retinol (atROL) through a stereospecific reaction that generates (*R*)-all-*trans*-13,14-dihydroretinol ((*R*)-DROL) (Moise et al., 2008; Moise et al., 2007; Moise et al., 2004). The homologue of RetSat in zebrafish, zRetSatA, has an additional specificity, generating all-*trans*-7,8-dihydroretinol (7,8-DROL) in addition to (*R*)-DROL (Moise et al., 2008; Moise et al., 2007). (*R*)-DROL is converted *in vivo* to DRA through the same metabolic pathway involved in atRA formation (Moise et al., 2005). The dihydroretinoid pathway is depicted in Fig. 1. DRA, as well as all-*trans*-7,8-dihydroretinoic acid (7,8-DRA) produced in zebrafish, are selective agonists for RAR but not RXR (LeMotte et al., 1996; Moise

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et al., 2008; Moise et al., 2005). Similar to atRA, levels of DRA are controlled *in vivo* in both a temporal and spatial manner through enzymes and other factors involved in their synthesis and breakdown (Moise et al., 2005). Thus, high levels of DRA, atRA, or 7,8-DRA have been shown to induce the expression of CYP26A1-C1 enzymes that catalyze ring oxidation reactions of these compounds (Moise et al., 2007; Moise et al., 2005).

Several observations suggest that RetSat, and enzymatic products of RetSat, play an important role in adipocyte differentiation and/or responses mediated by the peroxisome proliferator-activated receptors (PPAR)  $\alpha$  and  $\gamma$ . Expression of RetSat in the liver and kidney is dramatically upregulated during fasting (Sun et al., 2008) whereas in adipose tissue it is highly upregulated during adipocyte differentiation (Schupp et al., 2009). Both observations can be explained by the fact that RetSat expression is controlled by PPAR $\alpha$  in liver and kidney and by PPAR $\gamma$  in fat through a peroxisome proliferator-response element (PPRE) found in intron 1 of the RETSAT gene (Schupp et al., 2009; Sun et al., 2008). Receptors PPAR $\alpha$  and PPAR $\gamma$  are key participants in the regulation of lipid metabolism and adipocyte differentiation, respectively, and are pharmacological targets for hypolipidemic (fibrate) and antidiabetic (thiazolidinedione) drug classes. That RetSat is a fibrate/thiazolidinedione-sensitive gene suggests that its products could be involved in insulin sensitivity. Indeed, RetSat expression is modulated by a high-fat diet (Lopez et al., 2004; Lopez et al., 2005; Schupp et al., 2009) and suppressed in insulin-resistant states, as noted in obese patients and genetically obese (*ob/ob*) mice (Schupp et al., 2009). Additionally, ablation of RetSat expression in the NIH 3T3-L1 cell culture model of adipogenesis blocks adipocyte differentiation while ectopic expression of enzymatically active RetSat promotes it (Schupp et al., 2009). However, exogenous supplementation with the product of RetSat, DROL, does not promote adipocyte differentiation so it does not compensate for the

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effect of ablating RetSat expression (Schupp et al., 2009). In fact, treatment of 3T3-L1 cells with (*R*)-DROL leads to inhibition of adipogenesis through activation of RARs (Moise et al., 2008). These results suggest that in addition to DROL there could be other dihydroretinoids responsible for the pro-adipogenic effects of RetSat. Indeed, other dihydroretinoid species beside DROL can be detected in various tissues of animals maintained on a normal diet (Moise et al., 2004; Schmidt et al., 2003 ) but it is not clear if these compounds exert pro-adipogenic effects.

Therefore, to gain a better understanding of the physiological role of dihydroretinoids and vitamin A in general, we used a large non-biased screen to determine the capability of dihydroretinoids to activate nuclear receptors.

## Materials and Methods

**Materials and Chemical Syntheses.** atRA was purchased from Sigma and all other ligands were obtained from Phenex Pharmaceuticals AG (Ludwigshafen, Germany). Chemical syntheses of (*R*)-DROL, (*S*)-DROL, (*R*)-DRA, and (*S*)-DRA were performed and the enantiomeric purity of dihydroretinoids was verified by chiral HPLC as previously described (Moise et al., 2008). Compounds were delivered to the assays in dimethylsulfoxide (DMSO).

**Cellular-Based Assays of Nuclear Receptor Activation.** The receptor screen was accomplished with reagents and assay services provided by Phenex Pharmaceuticals AG as previously reported (Albers et al., 2006 ). The reporter plasmid, pFR-Luc, contained a synthetic promoter with five tandem repeats of yeast GAL4-binding sites that control the expression of the *Photinus pyralis* (American firefly) luciferase gene. Individual nuclear receptors, expressed as

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fusions of the ligand-binding domain of the nuclear receptor and the DNA-binding domain of the yeast GAL4 protein, were cloned into a pCMV-BD vector (Stratagene La Jolla, CA). In the case of estrogen related receptor (ERR)  $\alpha$  and  $\gamma$  and the androgen receptor (AR), the nuclear receptor fusion protein and reporter constructs were co-expressed with the peroxisome proliferator-activated receptor gamma coactivator 1 (PGC1) as a pTRex construct (Invitrogen Carlsbad, CA). This was done to enhance the constitutive nuclear receptor activity of these receptors while still allowing it to be modulated by interacting compounds. In the case of constitutive androstane receptor (CAR), a FRET-based assay was performed to monitor the interaction between the activated nuclear receptor and nuclear receptor coactivator 3 (NCoA3). NCoA3 was fused to the pCMV-BD vector and the nuclear receptor ligand-binding domain to the pCMV-AD vector and assayed as described previously (Albers et al., 2006). Experiments were performed with 11 dilutions of (*R*)-DROL compound or known agonist plus a solvent control, all in triplicate. *Renilla reniformis* luciferase, driven by a constitutive promoter, was included as an internal control to improve experimental accuracy. To find the most appropriate initial range of concentrations for dose-response experiments, a preliminary experiment was performed to detect non-specific reduction of *Renilla* luciferase activity, e.g. by cytotoxicity, inhibition of *Renilla* luciferase enzyme activity, or an inhibitory effect on cellular enzyme production. A clear reduction of *Renilla* luciferase reporter activity was observed at 25  $\mu$ M for (*R*)-DROL and at 1.5  $\mu$ M for (*R*)-DRA. Because, test compound concentrations that reduced *Renilla* luciferase reporter activity by more than 30% might be cytotoxic, we chose 25  $\mu$ M as the highest test concentration for (*R*)-DROL in our screen of 25 nuclear receptors. Similarly, we chose 1.5  $\mu$ M as the highest concentration for (*R*)-DROL, (*S*)-DROL, (*R*)-DRA, (*S*)-DRA, atRA and TTNPB in screens involving human and rat RARs. All transient transfections were done in



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HEK 293 cells at >90% confluency by a polyethylene-imine-based procedure. HEK 293 cells were cultured in Dulbecco's modified Eagle's medium, 10% fetal calf serum, and maintained at 37 °C, 5% CO<sub>2</sub>, and 100% humidity. Stock compounds, originally dissolved in DMSO, were prediluted in medium and added 4 h after addition of the transfection mixture (final vehicle concentration < 0.1%). Cells were incubated for another 16 h before firefly luciferase and *Renilla* luciferase activities were measured sequentially in the same cell extract as described previously (Dyer et al., 2000). Data points at the extreme left of all plotted curves represent values generated by the control vehicle in the assay.

**Cell-free Cofactor Binding Assays.** Cell-free cofactor binding assays were carried out with reagents and assay services provided by Phenex Pharmaceuticals AG. These consisted of a GST fusion of an individual human RAR $\beta$  or RAR $\gamma$  ligand-binding domain with an N-terminally biotinylated peptide from the cofactor SRC-1 (amino acid residues between 676 and 700), designed around the nuclear receptor-binding LXXLL-motif. The RAR ligand-binding domain was expressed as a GST fusion by using a recombinant baculovirus in SF9 cells. Cells were lysed by sonication, and the fusion proteins purified over glutathione-Sepharose (Pharmacia Corp.) according to manufacturer's instructions. Assays were performed in a 384-well plate, each well containing a final volume of 25  $\mu$ L consisting of 10 mM Tris/HCl, pH 6.8, 5 mM MgCl<sub>2</sub>, 400 mM KCl, and 0.9  $\mu$ g/ $\mu$ L bovine serum albumin. Detection was achieved with an europium-labeled anti-GST antibody AD0064 (PerkinElmer Life Science) and streptavidin fused to allophycocyanin (Prozyme) as previously described (Albers et al., 2006). Assay components were mixed and then equilibrated for 1 h at room temperature. Measurements were obtained by using an EnVision (Perkin-Elmer) multiplate reader set at 320 nm for excitation and at 615 nm

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(acceptor signal) and 665 nm (donor signal) for emission readout wavelengths as described previously (Albers et al., 2006; Otte et al., 2003). For analysis of dose-response curves, ratios were plotted against logarithms of concentrations and 50% effective concentrations (EC<sub>50</sub>) were calculated by Prism (GraphPad Software Inc.).

**Data Evaluation and Threshold Definition.** Primary readouts of the assay results were loaded into assay evaluation software (Screener, Genedata). Quality control was done in the module ‘Assay Analyser’, and outliers were masked and excluded from further analysis. FRET data were calculated by using the following equation:  $Y = 1000(\text{measurement value at } 655 \text{ nm})/(\text{measurement value at } 615 \text{ nm})$ , where Y represents the interaction of coactivator and receptor as measured by FRET. We also used a linear transformation of the y-values after which the vertical axis for all assays ranged from 0 to 100%, where 0% represents the vehicle control and 100% represents the maximal stimulation control at saturating concentrations of the reference compound. The linearly transformed FRET assay results were transferred to the GraphPad Prism program to generate graphs and dose-response curves. For cell-based assays, data obtained from measuring GAL4-driven firefly luciferase activity were normalized to the absorbance of the transfection control, constitutively-expressed *Renilla* luciferase. Data derived from cell-based assays were first expressed as relative luciferase units (RLUs) and then transferred to GraphPad Prism to generate graphs and dose-response curves. Curves were fitted onto the data with a four parameter logistics model, according to the following formula:  $Y = (\text{baseline response}) + ((\text{maximum response}) - (\text{baseline response})) / (1 + 10^{(\log \text{EC}_{50} - X)(\text{Hill slope})})$ , where X is the logarithm of the concentration and Y is the activation response. The threshold for an

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agonist activity as well as for an antagonist activity at  $ERR\alpha$  and  $ERR\gamma$  was defined as 15% of the efficacy of the appropriate reference compound.

**Retinoid Analyses.** All experimental procedures related to extraction, derivatization, and separation of retinoids and dihydroretinoids were carried out under dim red light. HEK cells were incubated with retinoids, washed twice with ice cold phosphate-buffered saline (PBS) containing 137 mM NaCl, 2.7 mM KCl, and 10 mM sodium phosphate, pH 7.4, and then harvested by scraping. The cell pellet was homogenized in 3 volumes (v/wt) of PBS and 6 volumes of ethanol for 30 s in a dounce homogenizer. Alternatively, nuclear and cytoplasmic extracts were prepared by using the mammalian nuclear protein extraction reagent (NPER, Pierce) and following the manufacturer's instructions. The organic extraction protocol for polar retinoids from cell pellets or cell extracts was described previously (Moise et al., 2005). Polar retinoids were deprotonated by adding NaOH to 1 mL of each ethanolic extract (NaOH, 75 mM final concentration), and non-polar retinoids were extracted with 5 mL of hexane. The extraction was repeated once, and the combined organic phases were dried under vacuum, resuspended in hexane, and examined by normal-phase HPLC with a Beckman Ultrasphere Si 5  $\mu$ m, 4.6 x 250 mm column. Elution was carried out with an isocratic solvent system consisting of 10% ethyl acetate in hexane (v/v) for 25 min at a flow rate of 1.4 mL/min at 20 °C with detection at 325 and 290 nm for nonpolar retinoids and dihydroretinoids, respectively. For polar retinoids, the aqueous phase was acidified with 12 N HCl, and extracted with 5 mL of hexane. The organic phases of the polar retinoid extractions were combined, dried, resuspended in a solvent composed of 80%  $CH_3CN$ , 10 mM ammonium acetate, 1% acetic acid, and examined by reverse phase HPLC. Analysis of polar retinoids from tissues was performed by reverse phase HPLC

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with a narrow bore, 120-Å, 5-µm, 2.1 x 250 mm, Denali C18 column (Grace-Vydac, Hesperia, CA). The solvent system was composed of buffer A (80% CH<sub>3</sub>CN, 10 mM ammonium acetate, 1% acetic acid), and buffer B (60% methanol, 40% *tert*-butyl methyl ether). HPLC elution conditions were 0.3 mL/min at 20 °C, using 100% buffer A for 20 min, 100% buffer B for the next 10 min followed by equilibration in buffer A for 10 min. Elution of atRA and DRA was monitored by an online diode array detector of an Agilent 1200 Series LC with detection set at 350 and 290 nm for atRA and DRA, respectively. Non-polar retinoids were identified by comparing their spectra and elution times with those of authentic standards.

**Assays of CRABP II Nuclear Translocation.** A construct encoding human cellular retinoic acid binding protein II (CRABP II) with a C-terminal green fluorescent protein (GFP) tag expressed under the control of a CMV promoter was obtained from Origene (RG200221 OriGene Technologies, Inc. Rockville, MD). HEK 293 cells were cultured in Dulbecco's modified Eagle's medium, 10% fetal calf serum, and maintained at 37 °C, 5% CO<sub>2</sub>, and 100% humidity. HEK 293 cells were transfected with CRABP II-GFP by using Lipofectamine 2000 (Invitrogen) and 24 h later they were induced with either DRA or atRA at 10<sup>-9</sup> M, 10<sup>-8</sup> M, and 10<sup>-7</sup> M, or with DMSO vehicle alone. Two hours post induction, cells were rinsed in PBS, fixed in 4% paraformaldehyde, counterstained with nuclear stain 4',6-diamidino-2-phenylindole (DAPI) and visualized by fluorescence microscopy.

**Competition Assays.** RAR transactivation assays were performed as previously described (Moise et al., 2008; Moise et al., 2005). The atRA-responsive F9 cell line was transfected with a reporter construct composed of an atRA response element (RARE) derived from the human

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RAR $\beta$  promoter placed upstream of the *Escherichia coli* lacZ gene. This reporter cell line, designated SIL15-RA (Wagner et al., 1992), was a gift from Dr. Michael Wagner (State University of New York Downstate Medical Center) and Dr. Peter McCaffery (University of Massachusetts Medical School, E. K. Shriver Center). Cells were grown in L15-CO2 media containing N-3 supplements and antibiotics and stimulated for 24 h in the dark at 37 °C and 100% humidity with indicated concentrations of atRA alone or combined with either 10<sup>-7</sup> M (*R*)-DRA or 10<sup>-7</sup> M (*S*)-DRA. Cells then were lysed and assayed for expression of  $\beta$ -galactosidase with the  $\beta$ -galactosidase enzyme assay system (Promega, Madison WI).

**Expression and Purification of Human Serum Retinol-Binding Protein 4 (RBP4).** Human RBP4 cDNA cloned into a pET3a expression vector was a kind gift from Dr. J. W. Kelly (Scripps Research Institute, La Jolla, CA). RBP4 expression in *Escherichia coli* was carried out as previously described (Golczak et al., 2008). Briefly, RBP4 was expressed in BL-21 DE3 cells by using a standard protocol. Bacterial cells were harvested and lysed by osmotic shock. Insoluble material was pelleted, washed three times with 20 mM Tris/HCl buffer, pH 8.0, and solubilized in 7 M guanidine hydrochloride and 10 mM dithiothreitol. Buffer (25 mM Tris/HCl, pH 8.8) then was added to dilute the guanidine hydrochloride concentration to 5.0 M. After overnight incubation at 4 °C, insoluble material was removed by ultracentrifugation (120,000 g, 1 h, 4 °C), and the collected supernatant was used to implement RBP4 refolding. RBP4 was refolded by dropwise addition of solubilized material to a mixture containing 25 mM Tris/HCl, pH 8.8, 0.3 mM cystine, 3.0 mM cysteine, 1 mM EDTA, followed by 1 mM of atROL delivered in ethanol at 4 °C. The reaction was carried out for 5 h at 4 °C with vigorous mixing. The precipitate was removed by ultracentrifugation (120,000 x g, 1 h at 4 °C), and the supernatant

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was dialyzed against 10 mM Tris/HCl buffer, pH 8.0, at 4 °C overnight, filtered, and loaded on a DE53 cellulose chromatography column. Refolded holo-RBP4 was eluted by a linear gradient of NaCl (0–300 mM) in 10 mM Tris/HCl buffer, pH 8.0. Collected fractions were examined by SDS-PAGE and UV-visible spectroscopy. Fractions containing RBP4 with an absorbance ratio at 280 nm/330 nm of 0.9 or higher were pooled, concentrated, and stored at -80 °C until further use. Apo-RBP was prepared according to a previously described procedure (Cogan et al., 1976) by extracting refolded holo-RBP with 3 volumes of diethylether for 2 h at room temperature. The organic phase containing atROL was separated by centrifugation for 5 min, at 4000g. The efficiency of atROL extraction was monitored by recording the absorption spectra of the aqueous phase. Apo-RBP was repurified on Sephadex G-200 gel filtration column equilibrated with 20 mM Tris/HCl buffer, pH 7.4, 150 mM NaCl.

**Fluorescence Binding Assays.** A spectrofluorometric technique was used to study the binding of (*R*)-DROL or (*S*)-DROL to RBP4. All measurements were performed with a PerkinElmer Life Sciences LS55 model fluorometer (Waltham, MA). Binding of (*R*)-DROL or (*S*)-DROL was evaluated by monitoring the quenching of protein fluorescence by increasing concentrations of ligand. With the excitation wavelength set at 285 nm, emission spectra were recorded from 300 to 520 nm with bandwidths for excitation and emission fixed at 10 nm. Titrations were carried out at 20 °C in 20 mM Tris/HCl buffer, pH 7.6, containing 50 mM NaCl and 5% glycerol (v/v). (*R*)-DROL or (*S*)-DROL were delivered in dimethylformamide (DMF) so that the final volume of DMF did not exceed 0.5% of each sample's total volume. All binding data were corrected for background and self-absorption of excitation and emission light. Using Prism 3.02 (GraphPad Software, Inc., San Diego, CA), we calculated the apparent  $K_d$  values by nonlinear regression for

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a single-binding site with the equation  $Y = (B_{\max})(X/(K_d + X))$ , where  $B_{\max}$  is the maximal binding, and  $K_d$  is the concentration of ligand required to achieve half-maximal binding.

## Results

**DROL is a ligand for serum retinol-binding protein RBP4.** A crucial factor that governs the delivery of retinoids *in vivo* is the serum protein complex of RBP4 and transthyretin. Secretion of RBP4 from the liver and its excretion by the kidney depends on the presence of ligand (Kanai et al., 1968; Ronne et al., 1983; Soprano and Blaner, 1994). RBP4 interacts with a membrane protein stimulated by retinoic acid gene 6 (STRA6) expressed in target tissues (Isken et al., 2008; Kawaguchi et al., 2007). RetSat is expressed at high levels in liver and adipose tissue, the two major tissue stores of atROL and major secretors of RBP4. To examine if DROL could be a ligand of RBP4 we used fluorescence titration. This method employs the well established energy transfer known to exist between tryptophan residues which are located in the vicinity of the binding site on RBP and bound retinol (Goodman and Leslie, 1972). As shown in Fig. 2A, incubation of (*R*)-DROL with RBP4 led to an exponential decay in protein fluorescence. This decay displayed a saturable binding isotherm (Fig. 2B), providing average binding  $K_d$ s of  $110 \text{ nM} \pm 11 \text{ nM}$  and  $140 \text{ nM} \pm 14$  for (*R*)-DROL and (*S*)-DROL, respectively. These  $K_d$  values also indicate a similar affinity of DROL and atROL for RBP4 based on atROL's reported  $K_d$  of  $150 \text{ nM}$  for human RBP4 (Cogan et al., 1976). Therefore RBP4 could act as a carrier of (*R*)-DROL in blood, transporting it from biosynthetic tissues to target organs.

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**Dihydroretinoids are selective agonists of RAR.** Since DROL is a ligand for RBP4 that serves as the major transport mode for atROL, it is likely that DROL will be delivered *in vivo* to the same target tissues as atROL. To examine if dihydroretinoids can activate nuclear receptors, we first used a heterologous expression system for nuclear receptors and luciferase-based reporter assay to implement a cell-based pharmacological screen for 25 of the 48 known human nuclear receptors. We tested whether (*R*)-DROL activated nuclear receptors to the same extent as some of the most effective known agonists available for each receptor. HEK 293 cells were transiently transfected with a construct containing the ligand-binding domain of the nuclear receptor fused to the DNA-binding domain of the yeast GAL4 protein and a reporter construct consisting of firefly luciferase driven by a GAL4-controlled promoter. Cells were treated with a test compound and monitored for firefly luciferase expression. Results of the screen of (*R*)-DROL versus 25 human nuclear receptors are listed in Table 1. The screen revealed that (*R*)-DROL or its metabolites activated RAR $\beta$  and RAR $\gamma$  at the same maximal level as the control agonist TTNPB, but, as expected, with much higher EC<sub>50</sub> values of just below 2  $\mu$ M (compared to EC<sub>50</sub> = 0.6 nM and 0.4 nM for TTNPB with RAR $\beta$  and RAR $\gamma$ , respectively). (*R*)-DROL showed low efficacy in activating pregnane X receptor (PXR) and RAR $\alpha$  and in antagonizing the PPAR $\alpha$  activity. (*R*)-DROL antagonized PPAR $\alpha$  at a minimal effective concentration of 3  $\mu$ M and low potency, 1.8-fold repression of basal activity. Repression of PPAR $\alpha$  was not observed using DRA (not shown). Using the highest concentration of (*R*)-DROL that did not elicit cellular toxicity, we observed RAR $\alpha$  and PXR activation at 40% and 20%, respectively, of the maximal response induced by reference compounds. All other combinations of test compounds with nuclear receptors, including PPARs, did not show activity above threshold. The results of this nuclear receptor screen fit well with previously published studies that focused on the activity of



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dihydroretinoids in activating RARs (Moise et al., 2008; Moise et al., 2005). Therefore, by examining a much larger set of nuclear receptors we showed that dihydroretinoids have a very narrow transcriptional profile in activating RARs.

**DRA is a potent activator of RAR *in vitro*.** Because data from the nuclear receptor screen suggested that (*R*)-DROL or its metabolites can activate RAR $\beta$  and RAR $\gamma$ , we next employed an *in vitro* biochemical assay to monitor directly the interaction between test ligands and nuclear receptor. Binding of agonists to nuclear receptors induces a conformational change in a helical motif, referred to as AF-2, which allows RARs to couple to coactivator proteins that mediate their transcriptional effects. Members of the steroid receptor coactivator (SRC-1) family are among the best molecularly characterized nuclear receptor coactivators. SRC-1 is required for ligand-dependent transcription of transiently transfected and chromosomally integrated reporter genes by the estrogen receptor (ER) and RAR (Llopis et al., 2000). Coactivators interact with liganded nuclear receptors through a central domain that contains three copies of a conserved recognition motif with the consensus sequence LXXLL (Ding et al., 1998). In the absence of ligand, RARs can bind to co-repressors, such as nuclear receptor corepressor (N-CoR) proteins and silencer proteins, like silencing mediator for retinoid and thyroid receptor (SMRT), which lead to condensation of chromatin and sequestration of promoter elements that inhibits transcriptional activity.

We used (*R*)-DROL, (*S*)-DROL, (*R*)-DRA (*S*)-DRA, atRA and TTNPB to study the interaction between the ligand-binding domain of human RAR $\alpha$  and RAR $\beta$  with SRC-1. As RetSat and dihydroretinoids are found at the highest levels in the liver (Moise et al., 2005; Moise et al., 2004), we focused our study on the RAR $\alpha$  and RAR $\beta$  isoforms which are more

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predominant in this organ. Results of the FRET screen of the interaction of RAR $\alpha$  or RAR $\beta$  with SRC-1 in the presence of dihydroretinoids are depicted in Figure 3 and Table 2. Interestingly, both (*R*)-DRA and (*S*)-DRA were comparable to atRA in their potency to activate RAR $\alpha$  and RAR $\beta$  *in vitro*. The EC<sub>50</sub> values of (*R*)-DRA and (*S*)-DRA for RAR $\beta$  were 13.1 nM and 5.6 nM, respectively. For comparison, the EC<sub>50</sub> of atRA and TTNPB for RAR $\beta$  were 3.1 nM and 3.7 nM, respectively. In addition, both (*R*)-DRA and (*S*)-DRA approached full efficacy in activating RAR $\alpha$  and RAR $\beta$  at 84-94% of the level of induction seen with reference compounds atRA and TTNPB. Instead, (*R*)-DROL and (*S*)-DROL activated RAR $\alpha$  and RAR $\beta$  at 51-69% of the level of induction seen with reference compounds (Fig. 3).

**DRA weakly activates RAR *in vivo*.** Previous studies of RAR activation by (*R*)-DRA or (*S*)-DRA did not distinguish between different RAR isoforms, as these studies employed a modified F9 teratocarcinoma reporter cell line which expresses all three RARs (Zelent et al., 1989). Given the potent activation of RAR $\alpha$  and RAR $\beta$  by (*R*)-DRA and (*S*)-DRA seen *in vitro*, we reexamined whether (*R*)-DROL, (*S*)-DROL, (*R*)-DRA or (*S*)-DRA would show potency and selectivity for human or rat RAR $\alpha$ , RAR $\beta$  or RAR $\gamma$  in cell-based assays. We used all three isoforms of RAR from these two distant species to allow us to distinguish if any effects seen were subtype-specific. The results of the cell-based assay of activation of RARs are shown in Figure 4 and Table 3. Both (*S*)-DRA and (*R*)-DRA act as full agonists of RAR activation with maximal efficacies ranging from 98 to 138% of the activity manifested by atRA reference compounds. However, the potency of both (*S*)-DRA and (*R*)-DRA for RARs in cell-based assays was far lower than atRA or TTNPB. For example, (*S*)-DRA had an EC<sub>50</sub> = 43.4 nM, and (*R*)-DRA an EC<sub>50</sub>  $\pm$  101 nM for human RAR $\gamma$ . Meanwhile, atRA and TTNPB had an EC<sub>50</sub> of 0.6 nM

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and 0.1 nM, respectively. We also found that (*S*)-DRA is a more potent activator of RARs than the naturally occurring form, (*R*)-DRA. Conversely, the precursor, (*S*)-DROL, evidenced more potency than the (*R*)-DROL precursor. The greater potency of (*S*)-DRA than (*R*)-DRA in activating RARs is in agreement with previous observations (Moise et al., 2008). Treatment with (*R*)- or (*S*)-DRA had no effect on the activation of RAR by atRA, which rules out antagonism of atRA activation of RARs by these compounds (Fig. S1).

**atRA, but not (*R*)-DRA, accumulates in the nucleus.** To explain the low potency of dihydroretinoids in activating RARs in cell culture transactivation assays as compared with *in vitro* cofactor recruitment assays, we first assessed whether (*R*)-DRA was cleared more rapidly than atRA from the culture system employed in the cell-based assay. We incubated HEK 293 cells with exogenous atRA or DRA and assayed the levels of compounds before and after the 16 h incubation period. Our results suggest that (*R*)-DRA was actually more metabolically stable than atRA in the cell culture system used to study RAR activation. Initial concentrations of atRA and DRA were equal, but (*R*)-DRA was present at  $60.0 \pm 5.5\%$  of its initial levels compared with  $41.6 \pm 5.6\%$  for atRA after 16 h induction (Fig. 5). This suggests that metabolic stability did not influence RAR activation by dihydroretinoids.

We next analyzed the subcellular distribution of atRA versus DRA. HEK 293 cells incubated with equal concentrations of atRA or DRA accumulated substantially more atRA than DRA in the cytoplasm and nucleus (Fig. 6). In fact, we did not detect any DRA in nuclear extracts of cells incubated with DRA. These results suggest that impaired nuclear import could contribute to the weaker activity exhibited by DRA in cell-based versus *in vitro* functional assays.

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In examining the delivery of dihydroretinoids, we focused on their nuclear import by accessory proteins. Delivery of atRA to the nucleus is achieved by accessory proteins CRABPI and CRABPII; soluble proteins that belong to the intracellular ligand-binding protein family (iLBPs) (Ong and Chytil, 1975). Both CRABPI and II remain in the cytosol in the absence of ligand but translocate to the nucleus in its presence. CRABPII delivers the ligand by associating directly with RARs (Delva et al., 1999) and enhancing their activity (Budhu and Noy, 2002). To determine if, like atRA, DRA can induce nuclear translocation of CRABPII, we expressed CRABPII as a GFP fusion protein and then treated cells with several concentrations of agonist. We found that CRABPII-GFP localized predominantly in the cytoplasm before addition of agonist but translocated into the nucleus in the presence of atRA (Fig. 7). Treatment with DRA also resulted in nuclear localization of CRABPII-GFP but to a lesser extent than with atRA (Fig. 7). This finding indicated that DRA was not delivered to the nucleus by CRABPs as efficiently as atRA to activate RAR-dependent gene expression.

## Discussion

**Dihydroretinoids act as selective RAR agonists.** Several retinoids act as potent ligands for nuclear receptors that control gene expression by binding directly to DNA response elements of target genes. *All-trans* and *9-cis*-RA bind to and activate RAR ( $\alpha$ ,  $\beta$ , or  $\gamma$ ) while *9-cis*-atRA also binds to RXR (Giguere et al., 1987; Heyman et al., 1992; Petkovich et al., 1987). DRA shares a similar structure and employs the same synthetic enzymes as atRA (Moise et al., 2005) and its levels are regulated by RAR-controlled genes, such as Cyp26A1 (Moise et al., 2007; Moise et al., 2005). These observations prompted us to examine whether dihydroretinoids could indeed act as ligands of nuclear receptors within concentrations observed *in vivo*.

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As many nuclear receptors share binding site motifs, we employed a fusion construct of the ligand-binding domain of the respective nuclear receptor with the DNA-binding domain of GAL4. Binding and activation of the nuclear receptor-GAL4 fusion protein by tested ligands was assayed based on the expression of firefly luciferase reporter driven by a GAL4-controlled promoter. This approach allowed us to specifically interrogate each receptor and avoid interference from endogenous nuclear receptors expressed in HEK 293 cells that recognize the same site. While cell lines derived from liver and adipose tissue would replicate the metabolism of dihydroretinoids more faithfully, we have previously shown that some of the dihydroretinoid metabolites are secreted in the circulation and, as seen here, these metabolites bind RBP4 and could be taken up via STRA6 by target tissues. At the current stage it is hard to predict which tissue is the most likely target of dihydroretinoids, therefore, we sought to find the most likely nuclear receptor target of dihydroretinoids regardless of target tissue. (*R*)-DROL was used to screen the library because this retinoid, by virtue of being the direct product of RetSat, is the parent compound of all dihydroretinoids derived from RetSat and can be converted in cells to other more active dihydroretinoids (Moise et al., 2008; Moise et al., 2005). The advantage of this method was that it enabled us to screen a larger group of dihydroretinoid compounds than use of a downstream metabolite. However, we also were limited by the ability of the cell to convert (*R*)-DROL to more active metabolites. Though the strategy produced a much weaker response than if we had used the bioactive compound(s) directly, we still were able to obtain a basic profile of dihydroretinoid activity with (*R*)-DROL, which in turn allowed an investigation of specific receptors in more detail.

The screen of human nuclear receptors with (*R*)-DROL revealed that dihydroretinoids exhibit a narrow transcriptional profile in activating primarily RARs. Both (*R*)- and (*S*)-DRA

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displayed potencies comparable to atRA *in vitro* in activating RAR $\alpha$  and RAR $\beta$ ; however, in cell-based assays (*R*)- and (*S*)-DRA showed far less potency than atRA ( $EC_{50}$  was 43 to 168-fold higher for DRA than atRA). The relatively small difference in the potency of DRA versus atRA in activating RARs *in vitro* was unexpected given the large difference seen in cell culture assays. We previously proposed that the weaker agonistic activity of DRA versus atRA could be explained by a weaker affinity for the receptor imparted by unfavorable contacts between ligand and the ligand-binding pocket (Moise et al., 2008). Alternatively, it is also possible that atRA is taken up by cells and delivered to RAR with higher efficiency than DRA.

**Cellular uptake and nuclear import adversely affect RAR activation by DRA.** To explain the enhanced activity of atRA in cell-based assays we show that even though (*R*)-DRA is more metabolically stable than atRA, it does not accumulate in cells or cell nuclear fractions and does not induce nuclear import of CRABP II to the same extent as atRA. Therefore, we propose that receptor binding, cellular uptake and distribution of retinoids enhance the activation of RAR by its cognate ligand, atRA, as opposed to molecules that are very closely related to it, such as DRA. Our previous studies showed that, *in vivo*, after supplementation of large doses of retinyl palmitate, hepatic levels of (*R*)-DRA are 30 to 50-fold lower than those of atRA (Moise et al., 2005). Therefore, it is unlikely that DRA can achieve cellular concentrations sufficient to activate RAR in a physiological setting. The current results argue that conversion of ROL to DROL could act to circumvent the formation of atRA and reduce the activation of RAR in tissues where RetSat is expressed.

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**Physiological relevance of dihydroretinoids during adipocyte differentiation.** Given that RetSat is a direct PPAR target and that ablation of RetSat expression results in impaired activation of PPAR $\gamma$ , we were especially interested in the effect of dihydroretinoids on activation of PPARs (Schupp et al., 2009; Sun et al., 2008). Our screen revealed that dihydroretinoids do not activate PPAR $\gamma$  or PPAR $\beta/\delta$  but do show low antagonistic activity for PPAR $\alpha$  at high concentrations. It is worthwhile noting that the screen was conducted with DROL used as a metabolic precursor of dihydroretinoids. Possibly DROL needs to be metabolized to produce a more bioactive compound that could affect PPAR responses, and this metabolic conversion was inefficient in the HEK cell system employed. However, current results are conclusive in that they do not support a direct role of DRA in the activation of PPARs.

Considering the essential role of RetSat during adipocyte differentiation *in vitro*, the results presented here predict that conversion of atROL to (*R*)-DROL by RetSat does not produce a PPAR $\gamma$  agonist that promotes adipocyte differentiation. Conversely, our results also show that conversion of atROL to (*R*)-DROL does not result in the production of an endogenous RAR activator. Taking into consideration the previous observations that DROL does not compensate for ablation of RetSat expression and that DROL leads to inhibition of adipogenesis through activation of RARs (Moise et al., 2008; Schupp et al., 2009), it is possible that there are other unidentified products of RetSat that have pro-adipogenic effects. An alternate explanation is that RetSat plays a role in converting retinol, a potent inhibitor of adipogenesis, to (*R*)-DROL. (*R*)-DROL is then oxidized to (*R*)-DRA, which is a far less potent agonist of RARs than atRA, and consequently, a weaker inhibitor of adipogenesis. Differentiation between these and other possible scenarios will require further study of RetSat *per se* and effects of its expression on global retinoid levels.

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### Footnotes

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## Figure Legends

Fig. 1. *Dihydroretinoid pathway of vitamin A metabolism.* RetSat catalyzes the key irreversible reaction converting atROL into DROL to initiate the dihydroretinoid pathway shown.

Fig. 2. *Binding of (R)-DROL and (S)-DROL to RBP4 determined by quenching of protein fluorescence.* Purified apo-RBP4 was diluted in 20 mM Tris/HCl buffer, pH 7.6, containing 50 mM NaCl and 5% glycerol (v/v) to a final concentration of 0.05  $\mu$ M. Increasing quantities of (R)-DROL or (S)-DROL were added in 1  $\mu$ L aliquots of DMF to 2 mL of total sample. After each addition, the titrated solution was mixed and incubated for 1 min prior to excitation at 285 nm and recording of emission spectra. A, Fluorescence spectra of RBP4 recorded after addition of increasing concentrations of (R)-DROL. B, Changes in maximum emission in response to increasing (R)-DROL or (S)-DROL concentrations were monitored at 345 nm, corrected for background, dilution, and inner filter effects, and used to estimate the apparent  $K_d$  values shown. a.u., arbitrary units; F, protein fluorescence.

Fig. 3. *Activation of human RAR $\beta$  and RAR $\gamma$  by dihydroretinoids in cell-free cofactor binding assays.* The compounds (R)-DROL, (S)-DROL, (R)-DRA, (S)-DRA, atRA and TTNPB were used to activate human RAR $\alpha$  (top panels) and RAR $\beta$  (bottom panels) *in vitro*. The assay relies on the interaction of a biotinylated peptide derived from SRC-1 with a fusion protein of RAR $\alpha$  or RAR $\beta$  and GST. Association of RARs with SRC-1 was detected by FRET with anti-GST antibody coupled to Europium and streptavidin coupled to allophycocyanin. Assays performed in duplicate employed 12 dilutions of each compound or control vehicle alone.

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Fig. 4. *Effects of dihydroretinoids on the transcriptional activity of the RAR.* The ligand-binding domain of RAR was fused to the GAL4 DNA-binding domain. (*R*)-DROL, (*S*)-DROL, (*R*)-DRA (*S*)-DRA, atRA and TTNPB were used to activate human (top panels) and rat (bottom panels) RAR $\alpha$ -, RAR $\beta$ - and RAR $\gamma$ - GAL4 fusion proteins in cells transfected with a *Photinus* luciferase reporter construct driven by a promoter containing 5xGAL4 sites. A second *Renilla* luciferase reporter driven by a constitutive promoter was used as an internal control and to normalize the intensity signal. Assays were performed in triplicate with 11 dilutions of each compound plus vehicle alone.

Fig. 5. *Retinoid analyses of HEK 293 cells incubated with atRA and (R)-DRA.* HEK 293 cells were incubated for 16 h with 1  $\mu$ M of atRA (top panel) or (*R*)-DRA (bottom panel). Organic extracts of cells and media before (*gray solid line*) and after (*black dashed line*) the 16 h incubation were analyzed by reverse-phase HPLC. Compounds were identified by comparing their spectra and elution characteristics with those of authentic standards.

Fig. 6. *Retinoid analyses of nuclear and cytoplasmic extracts of HEK 293 cells incubated with atRA and (R)-DRA.* HEK 293 cells were incubated for 3 h with 1  $\mu$ M of (*R*)-DRA (left panels) or atRA (right panels). Non-polar retinoids found in the nuclear and cytoplasmic fractions derived from equal numbers of cells incubated with vehicle alone (*gray solid lines*) or after incubation with either atRA or DRA (*black dashed lines*) were analyzed by reverse phase HPLC. Compounds were identified based on comparing their spectra and elution characteristics with



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those of authentic standards (asterisk-labeled peak corresponds to 13-*cis*-RA formed during incubation and subcellular fractionation). (*R*)-DRA was not detected in the nuclear fraction because chromatograms of both (*R*)-DRA- and vehicle alone-treated cells overlapped (bottom right panel).

Fig. 7. *Effects of atRA and DRA on nuclear translocation of CRABP II-GFP.* HEK 293 cells transfected with CRABP II-GFP were treated with vehicle (top panel),  $10^{-7}$ M atRA (middle panel) or  $10^{-7}$ M DRA (bottom panel). Cells were counterstained with DAPI (nuclear stain) and imaged by fluorescence microscopy.

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Table 1

Pharmacological screen of nuclear receptor activation by (*R*)-DROL

The compound (*R*)-DROL was used to screen a panel of human nuclear receptors. Activation was assayed by monitoring the expression of a luciferase reporter in transfected cells. The EC<sub>50</sub> and efficacy of (*R*)-DROL were compared with those of known agonists. Assays were carried out as described in Materials and Methods.

Receptor	Systematic name	Control ligand	EC <sub>50</sub> [nM] control ligand	EC <sub>50</sub> [μM] ( <i>R</i> )-DROL
Thyroid Hormone Receptor α (TRα)	NR1A1	Triiodothyronine	2.7	n/a
TRβ	NR1A2	Triiodothyronine	6.8	n/a
RARα	NR1B1	TTNPB	0.90	6.6
RARβ	NR1B2	TTNPB	0.59	1.9
RARγ	NR1B3	TTNPB	0.55	1.8

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PPAR $\alpha$	NR1C1	GW7647	3..2	3.0 antagonistic
PPAR $\beta$	NR1C2	GW501516	0.94	n/a
PPAR $\gamma$	NR1C3	Rosiglitazone	56	n/a
Liver X Receptor $\beta$ (LXR $\beta$ )	NR1H2	T0901317	257	n/a
LXR $\alpha$	NR1H3	T0901317	105	n/a
Farnesoid X Receptor $\alpha$ (FXR $\alpha$ )	NR1H4	GW4064	143	n/a
Vitamin D Receptor (VDR)	NR1I1	1,25-dihydroxy- cholecalciferol (vitD3)	1.7	n/a
Pregnane X Receptor (PXR)	NR1I2	SR12813	161	6.6
Constitutive Androstane Receptor (CAR)	NR1I3	CITCO	443	n/a
RXR $\alpha$	NR2B1	9- <i>cis</i> -retinoic acid	40	n/a
RXR $\beta$	NR2B2	9- <i>cis</i> -retinoic acid	48	n/a
RXR $\gamma$	NR2B3	9- <i>cis</i> -retinoic acid	38	n/a
Estrogen Receptor $\alpha$ (ER $\alpha$ )	NR3A1	17- $\beta$ -estradiol	0.074	n/a
ER $\beta$	NR3A2	17- $\beta$ -estradiol	0.25	n/a
Estrogen Receptor-related Receptor $\alpha$ (ERR $\alpha$ )	NR3B1	Diethylstilbestrol	11900	n/a

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ERR $\gamma$	NR3B3	4-hydroxy- tamoxifen	3200	n/a
Glucocorticoid Receptor (GR)	NR3C1	Dexamethasone	0.63	n/a
Mineralocorticoid Receptor (MR)	NR3C2	Aldosterone	0.21	n/a
Progesterone Receptor (PR)	NR3C3	Progesterone	3.2	n/a
Androgen Receptor (AR)	NR3C4	Dihydrotestostero ne	0.39	n/a

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Table 2

Activation of RARs by dihydroretinoids in cell-free cofactor binding assays

The compounds (*R*)-DROL, (*S*)-DROL, (*R*)-DRA, (*S*)-DRA, atRA and TTNPB were used to activate human RAR $\alpha$  and RAR  $\beta$  *in vitro*. Activation was assayed by monitoring the interaction of liganded RAR with SRC-1 by FRET. Assays were carried out as described in Materials and Methods.

Ligand	RAR $\alpha$	RAR $\beta$
	EC <sub>50</sub> [nM]	EC <sub>50</sub> [nM]
( <i>S</i> )-DROL	328	81
( <i>R</i> )-DROL	402	157
( <i>S</i> )-DRA	25	5.6
( <i>R</i> )-DRA	61	13.1
atRA	11.2	3.1
TTNPB	11.8	3.7

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Table 3

Cell-based assays of the RAR activation by dihydroretinoids

The compounds (*R*)-DROL, (*S*)-DROL, (*R*)-DRA, (*S*)-DRA, atRA and TTNPB were used to activate human RAR $\alpha$ , RAR $\beta$  and RAR $\gamma$  in cell-based transcription assays. Activation was assayed by monitoring the expression of a luciferase reporter in transfected cells. Assays were carried out as described in Materials and Methods.

Ligand	RAR $\alpha$	RAR $\beta$	RAR $\gamma$
	EC <sub>50</sub> [nM]	EC <sub>50</sub> [nM]	EC <sub>50</sub> [nM]
( <i>S</i> )-DROL	458	80	107
( <i>R</i> )-DROL	1500	425	482
( <i>S</i> )-DRA	156	29.4	43.4
( <i>R</i> )-DRA	210	99	101
atRA	2.96	0.68	0.60
TTNPB	0.23	0.10	0.11

Figure 1

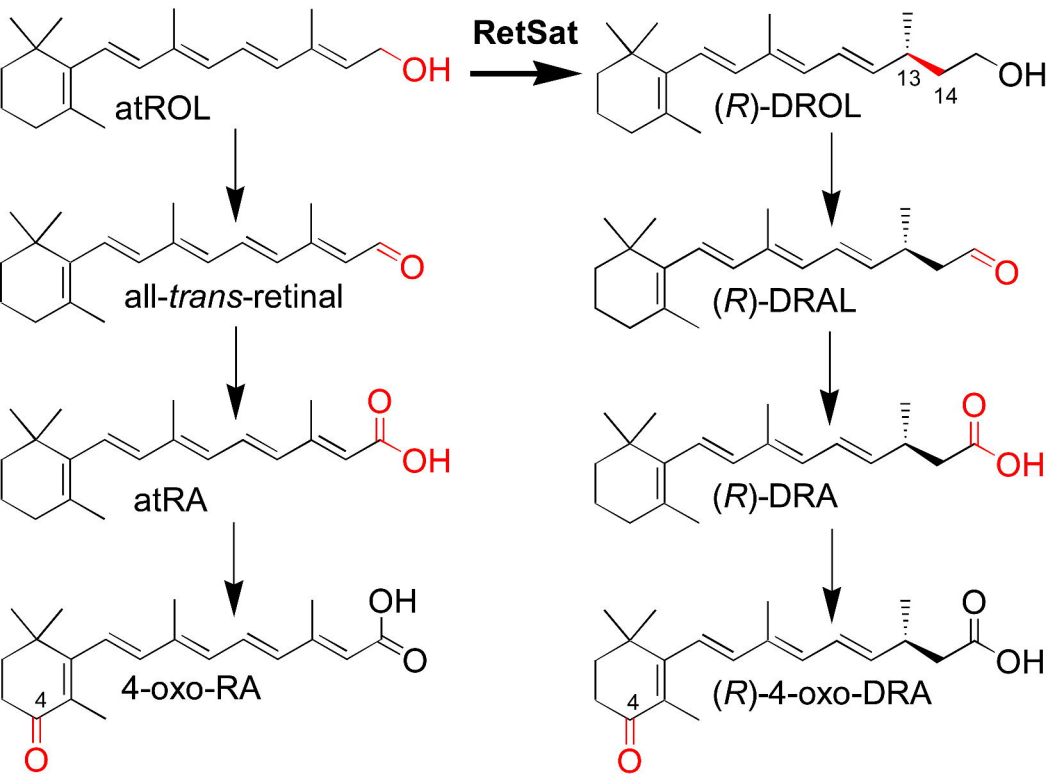
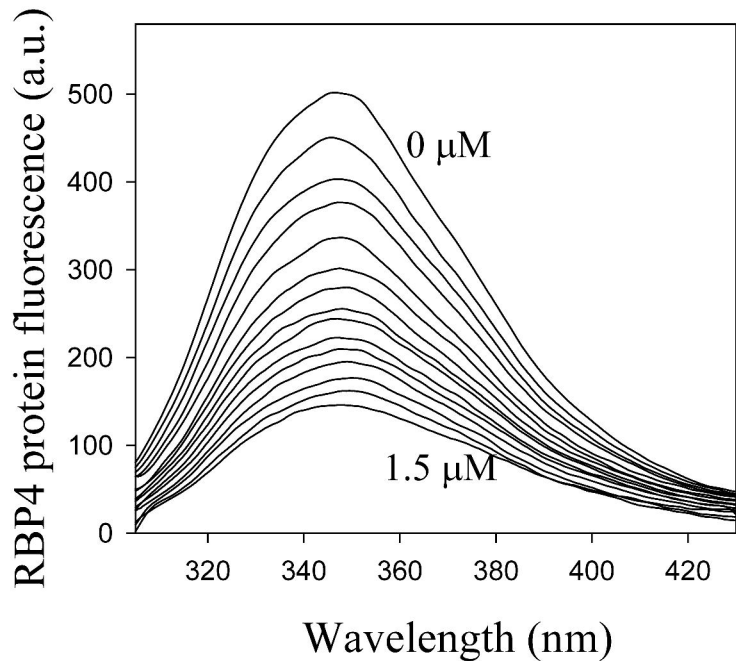


Figure 2

A



B

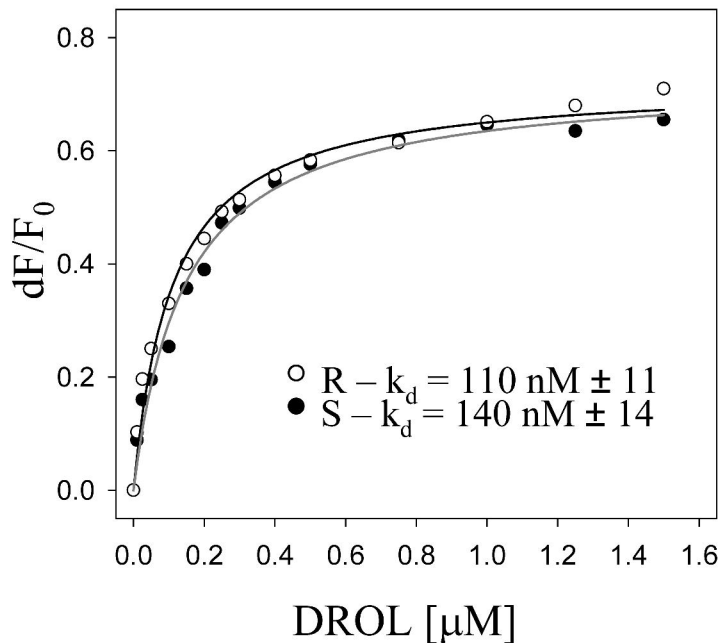




Figure 3

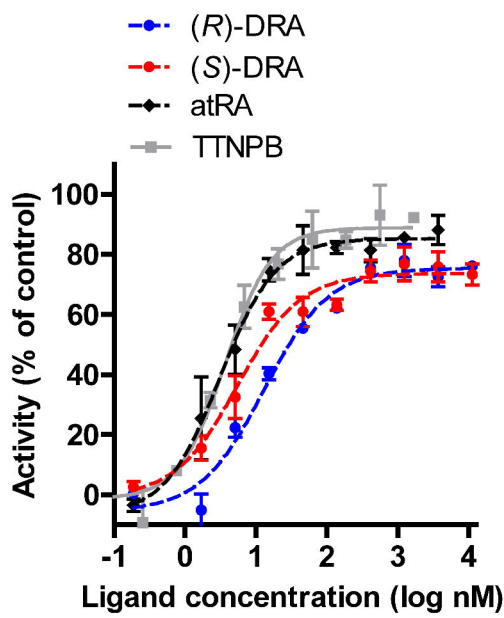
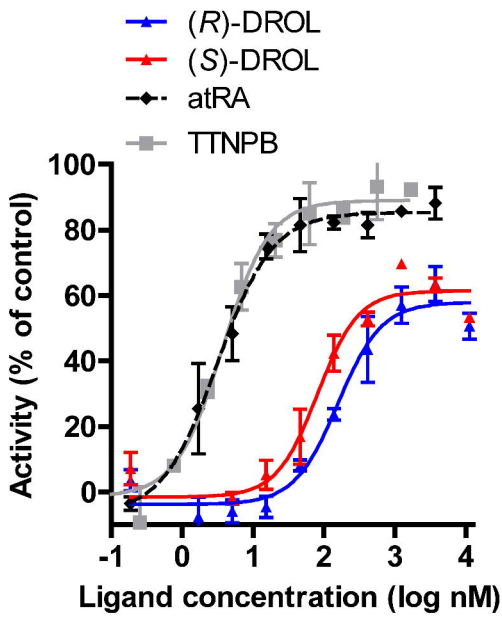
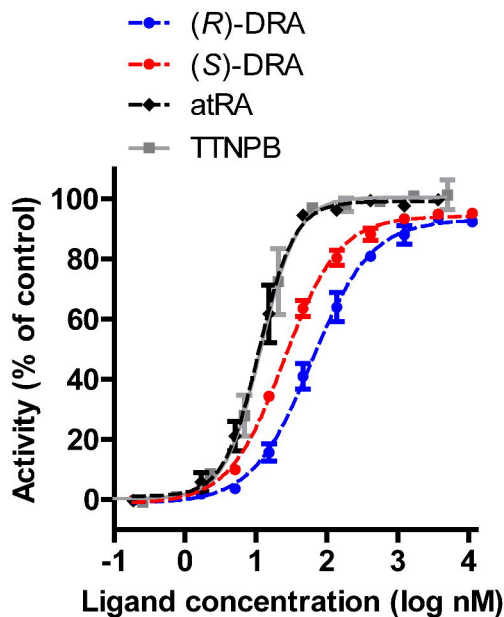
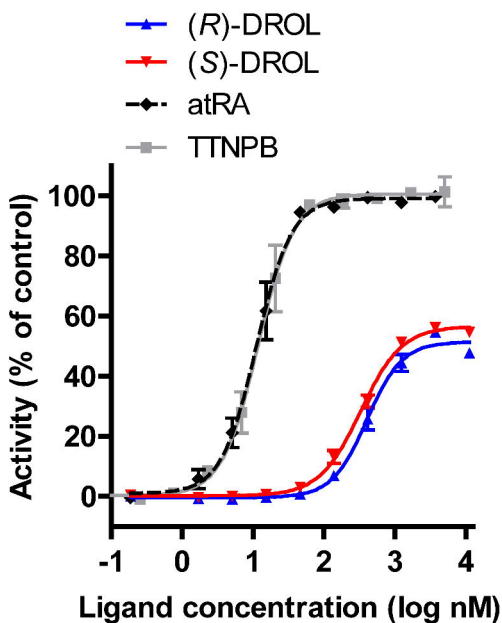


Figure 4

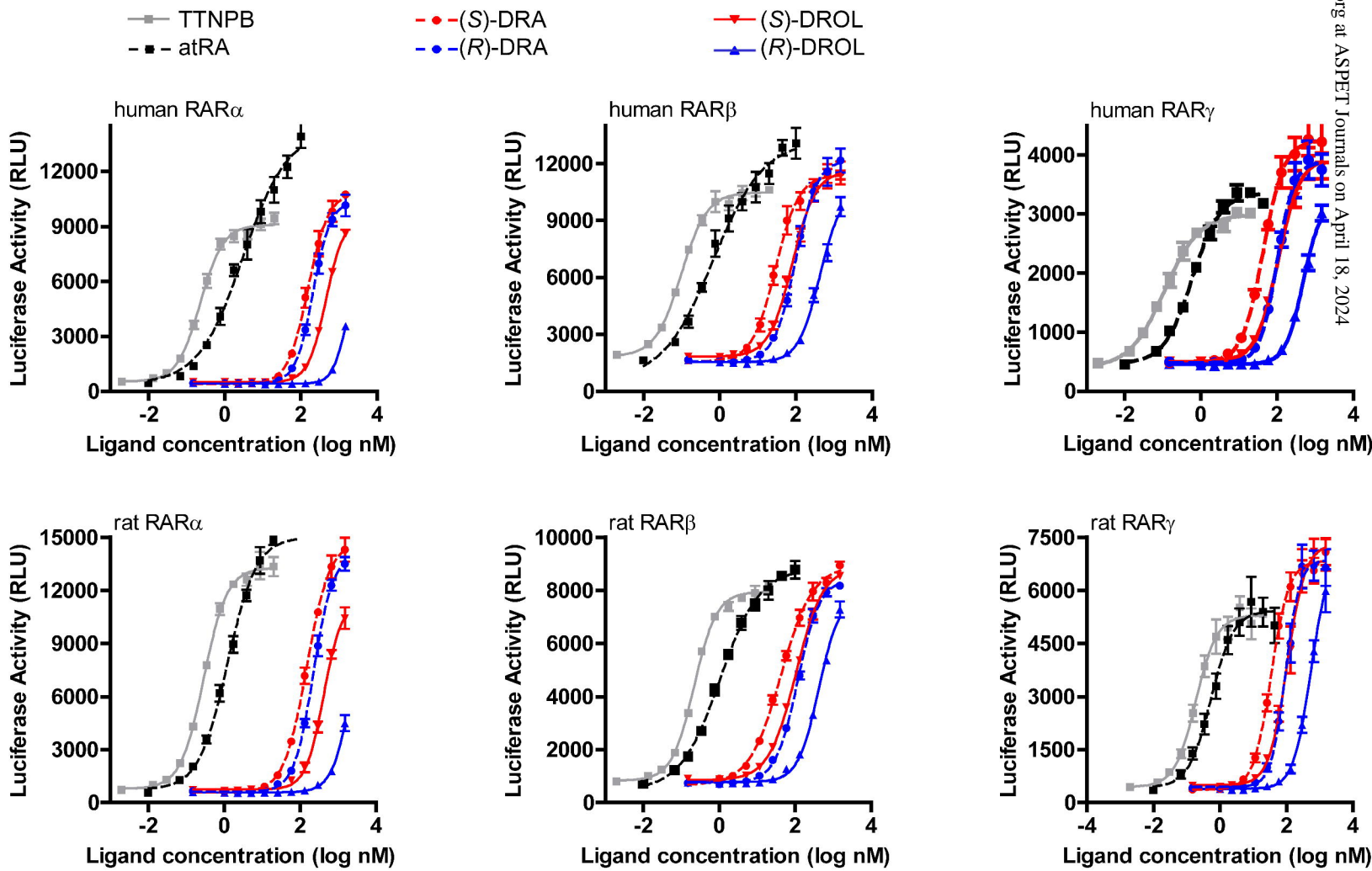


Figure 5

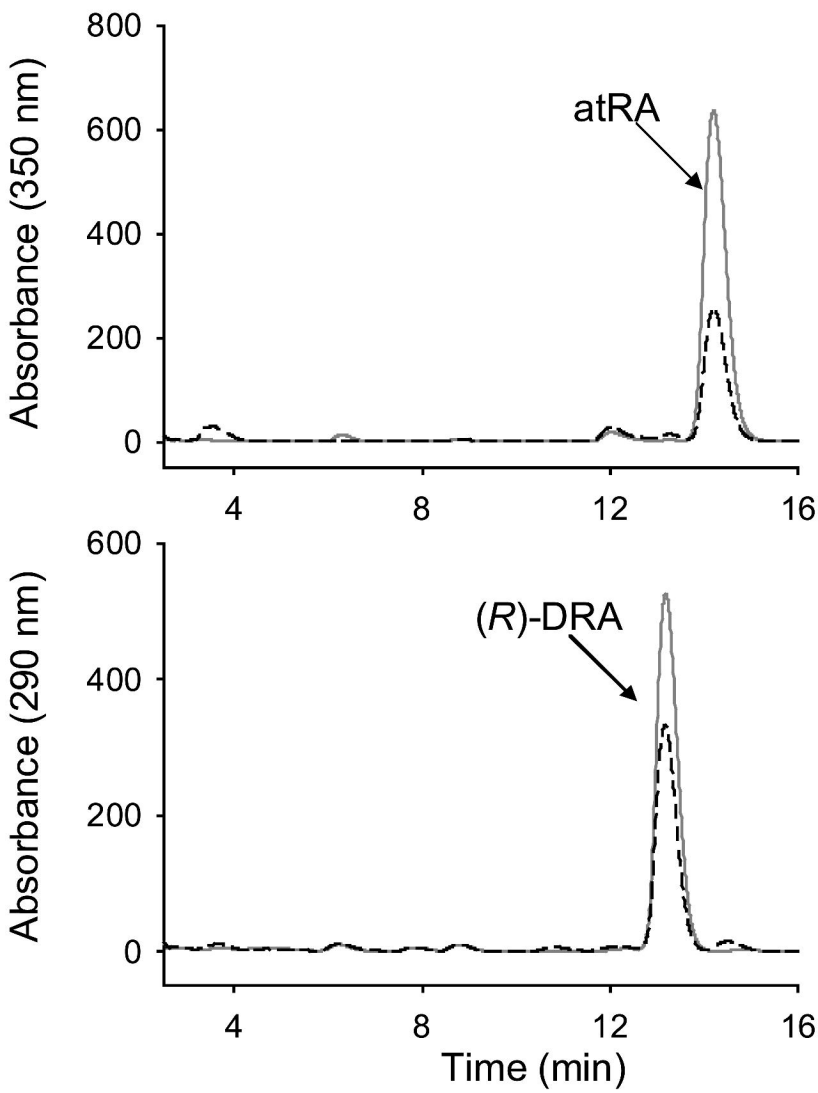


Figure 6

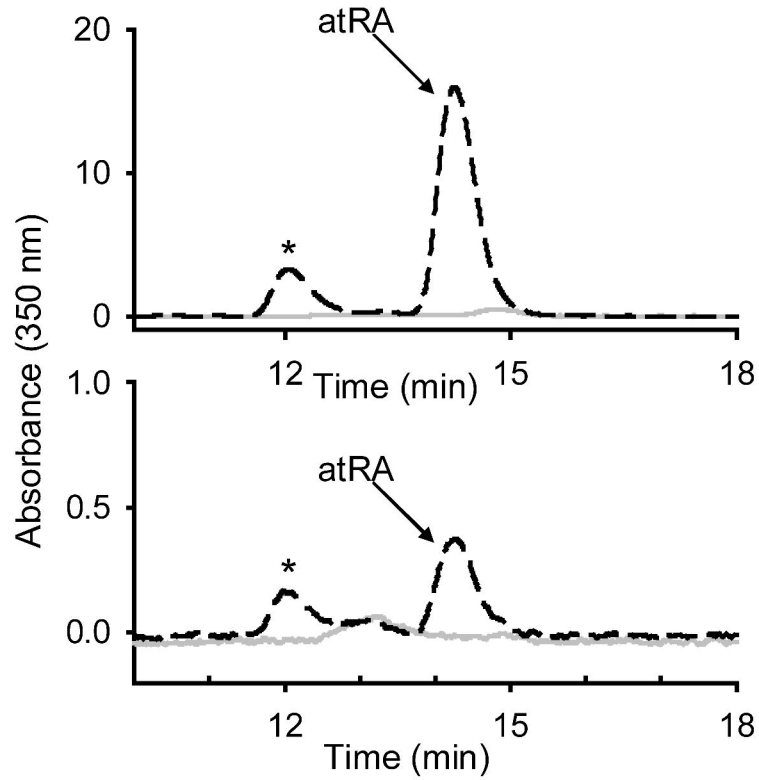
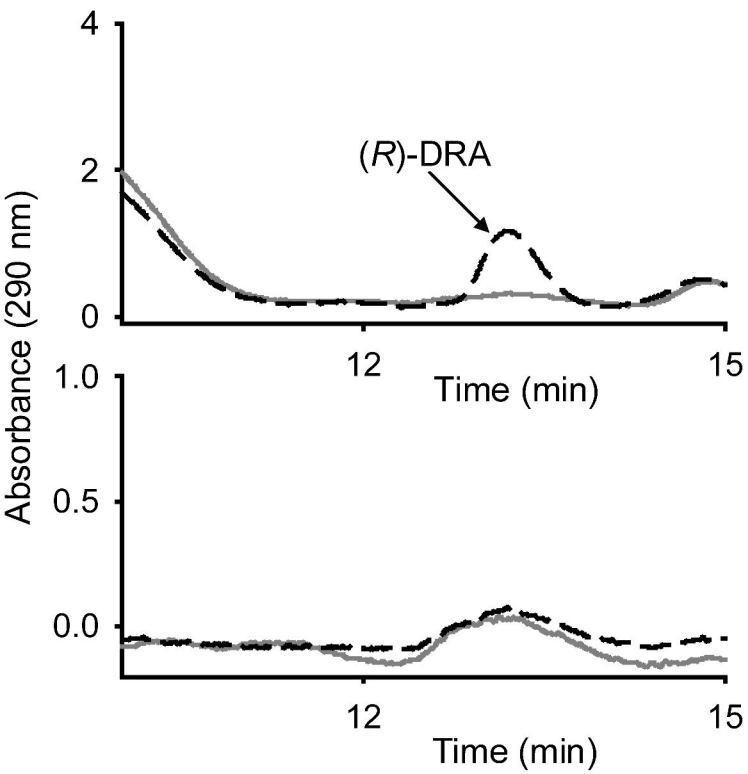


Figure 7

