

**Targeting the Metastasis Suppressor, N-Myc Downstream Regulated Gene-1 (NDRG1), with Novel Di-2-Pyridylketone Thiosemicarbazones: Suppression of Tumor Cell Migration and Cell-Collagen Adhesion by Inhibiting FAK/Paxillin Signaling**

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**Running title:** NDRG1 inhibits FAK/paxillin pathway in cancer cells.

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**Abbreviations:** DAPI, 4',6-diamidino-2-phenylindole; DFO, desferrioxamine; DMSO, dimethyl sulfoxide; Dp2mT, di-2-pyridylketone 2-methyl-3-thiosemicarbazone; Dp44mT, di-2-pyridylketone 4,4-dimethyl-3-thiosemicarbazone; DpC, di-2-pyridylketone 4-cyclohexyl-4-methyl-3-thiosemicarbazone; FAK, focal adhesion kinase; NDRG1, N-myc downstream regulated gene-1; RTK, receptor tyrosine kinase; PF-562271, N-Methyl-N-[3-[[[2-[(2-oxo-1,3-dihydroindol-5-yl)amino]-5-(trifluoromethyl)pyrimidin-4-yl]amino]methyl]pyridin-2-yl]methanesulfonamide; siRNA, small interfering RNA

## **ABSTRACT**

Metastasis is a complex process that is regulated by multiple signaling pathways, with the FAK/paxillin pathway playing a major role in the formation of focal adhesions and cell motility. NDRG1 is a potent metastasis suppressor in many solid tumor types, including prostate and colon cancer. Considering the anti-metastatic effect of NDRG1 and the crucial involvement of the FAK/paxillin pathway in cellular migration and cell-matrix adhesion, we assessed the effects of NDRG1 on this important oncogenic pathway. In the present study, NDRG1 over-expression and silencing models of HT29 colon cancer and DU145 prostate cancer cells were utilized to examine the activation of FAK/paxillin signaling and the formation of focal adhesions. The expression of NDRG1 resulted in a marked and significant decrease in the activating phosphorylation of FAK and paxillin, while silencing of NDRG1 resulted in an opposite effect. The expression of NDRG1 also inhibited the formation of focal adhesions as well as cell migration and cell-collagen adhesion. Incubation of cells with novel thiosemicarbazones, namely Dp44mT and DpC, that up-regulate NDRG1, also resulted in decreased phosphorylation of FAK and paxillin. The ability of these thiosemicarbazones to inhibit cell migration and metastasis could be mediated, at least in part, through the FAK/paxillin pathway.

## **INTRODUCTION**

N-myc downstream regulated gene 1 (NDRG1) is a predominantly cytoplasmic 43 kDa protein that is up-regulated by cellular iron depletion (Fang et al., 2014; Kovacevic et al., 2008; Le and Richardson, 2004). A number of studies examining the role of NDRG1 *in vivo* and in patient specimens have demonstrated that NDRG1 acts as a potent metastasis suppressor in a number of different tumor-types (Bandyopadhyay et al., 2003; Bandyopadhyay et al., 2004; Chen et al., 2012; Dixon et al., 2013; Jin et al., 2014; Kovacevic et al., 2013; Kovacevic et al., 2016; Liu et al., 2015; Maruyama et al., 2006; Shah et al., 2005; Sun et al., 2013b).

In terms of cell migration, NDRG1 inhibits F-actin polymerization and organization into stress fibers, which are critical for cell locomotion (Sun et al., 2013b). This latter effect was mediated through inhibition of the Rho-associated, coiled-coil containing protein kinase 1 (ROCK1)/phosphorylated myosin light chain 2 (pMLC2) signaling pathway (Sun et al., 2013b). However, despite these advances in understanding the role of NDRG1 in cell migration and metastasis, further studies are required to elucidate the detailed mechanisms regarding how NDRG1 inhibits these processes.

A significant driver of cellular migration and metastasis is the focal adhesion kinase (FAK), also known as protein tyrosine kinase 2 (PTK2), which is an important non-receptor tyrosine kinase (RTK) (Gabarra-Niecko et al., 2003). Elevated FAK expression has been demonstrated in colorectal cancer, breast cancer, liver cancer,

prostate cancer, *etc.* (Cance et al., 2000; Gabarra-Niecko et al., 2003; Su et al., 2002; Tremblay et al., 1996). There are several sites of tyrosine phosphorylation on FAK, including: **(1)** the major site of auto-phosphorylation (Tyr397); **(2)** the activation domain of FAK (Tyr576/7); and **(3)** the focal adhesion targeting (FAT) sequence (Tyr925), which is important for its discrete linking to the focal adhesion complex (Du et al., 2014; McLean et al., 2005).

When FAK is autophosphorylated on Tyr397 in response to stimuli, it enables Src recruitment (McLean et al., 2005; Richardson et al., 1997). This interaction between FAK and Src provides high-affinity binding sites for the Src homology 2 (SH2) domain of different proteins (Gabarra-Niecko et al., 2003). These binding sites on FAK reside in the activation loop (Tyr576/7) and the FAT sequence (Tyr925) (Gabarra-Niecko et al., 2003; McLean et al., 2005; Panetti, 2002). The adaptor protein, paxillin, is one of the main phosphorylation targets of the phosphorylated FAK-Src complex (Burridge et al., 1992; Sieg et al., 2000). Paxillin is a scaffolding protein containing several domains involved in protein-protein interactions (Panetti, 2002). Paxillin co-localizes with F-actin in focal adhesions and links the extracellular matrix (ECM) to the membrane-attached cytoskeleton (Turner, 2000; Zouq et al., 2009).

The FAK/paxillin pathway plays a crucial role in cytoskeletal remodeling, cell migration, and cell adhesion (Deakin and Turner, 2008; Shan et al., 2009). Activated FAK enhances paxillin phosphorylation (Tyr118), allowing this latter molecule to

promote membrane protrusions and focal adhesions through complex protein-protein interactions (Chen and Gallo, 2012). Tyrosine phosphorylation of paxillin can also activate small Rho GTPases including: RhoA, PAK1, ROCK1, Rac1 and Cdc42, which are involved in cytoskeletal assembly and re-organization (Raftopoulou and Hall, 2004; Tsubouchi et al., 2002). Collectively, targeting the FAK/paxillin phosphorylation signaling pathway is a potential therapeutic strategy for cancer treatment.

Considering recent findings demonstrating the inhibitory effect of NDRG1 on cancer cell migration and metastasis, this study aimed to examine if NDRG1 affects FAK/paxillin signaling. Moreover, a novel class of thiosemicarbazone anti-cancer agents, namely di-2-pyridylketone 4, 4-dimethyl-3-thiosemicarbazone (Dp44mT; **Fig. 1A**) and di-2-pyridylketone 4-cyclohexyl-4-methyl-3-thiosemicarbazone (DpC; **Fig. 1A**) that markedly up-regulate NDRG1 in cancer cells (Kovacevic et al., 2008; Kovacevic et al., 2011a; Kovacevic et al., 2016; Le and Richardson, 2004; Sun et al., 2013b; Yuan et al., 2004) were also examined to determine their effects on the FAK/paxillin signaling pathway. This was crucial, as these agents exert anti-proliferative and anti-migratory activity *in vitro*, as well as anti-tumor and anti-metastatic activity *in vivo* (Kovacevic et al., 2016; Liu et al., 2012; Lovejoy et al., 2012; Whitnall et al., 2006). Moreover, the lead compound, DpC is set to enter clinical trials in 2016 and may offer a new approach to the treatment of metastatic cancers (Jansson et al., 2015a).

Herein, we demonstrate that NDRG1 over-expression or treatment with Dp44mT and DpC, leads to reduced formation of focal adhesions and inhibited cell migration and cell-collagen adhesion *via* FAK/paxillin signaling. This investigation further highlights the potent anti-cancer activity of Dp44mT and DpC. This is mediated, at least in part, through NDRG1 up-regulation, which subsequently down-regulates the FAK/paxillin pathway.



## **MATERIALS AND METHODS**

### **Reagents**

The thiosemicarbazones, Dp44mT (**Fig. 1A**) and DpC (**Fig. 1A**), and the negative control compound, Bp2mT (**Fig. 1A**), were synthesized and characterized using standard methods (Lovejoy et al., 2012; Richardson et al., 2006). Desferrioxamine (DFO; **Fig. 1A**) was purchased from Sigma-Aldrich (St. Louis, MO). The thiosemicarbazone ligands, Dp44mT, DpC and their respective control, Bp2mT, were dissolved in dimethyl sulfoxide (DMSO) and further diluted to a final concentration of 5  $\mu$ M in culture media, while DFO was diluted in culture media to a final concentration of 250  $\mu$ M. The FAK phosphorylation inhibitor, PF-562271 (Formula:  $C_{21}H_{20}F_3N_7O_3S$ ), was purchased from Selleckchem (Houston, Texas). PF-562271 was dissolved in DMSO and further diluted to a working concentration of 10  $\mu$ M in culture media. Cells were incubated with either: control media (containing DMSO at 0.05% (v/v); to match the concentration of the dissolved thiosemicarbazones and other agents), DFO, Dp44mT, DpC, or the FAK inhibitor for 24 h/37°C before protein extraction for western analysis.

### **Cell culture**

The human colon cancer and prostate cancer cells, HT29 and DU145, were obtained from the American Type Culture Collection (ATCC, Manassas, VA). NDRG1 over-expressing and silenced clones of DU145 and HT29 cells and their respective control cells were generated as described previously and grown under established

conditions (Chen et al., 2012; Sun et al., 2013b).

### **Transwell migration assay and cell-ECM adhesion assay**

The transwell migration assay was performed using Corning 24-well transwell chambers (6.5 mm Diameter, 8.0  $\mu$ m Pore Size; Corning Inc., MA). Briefly,  $5 \times 10^4$  cells in 200  $\mu$ L serum-free medium were placed into the top chamber and 600  $\mu$ L of 10% FBS containing medium was placed into the bottom chamber. After an incubation of 12 h (HT29) or 20 h (DU145), the cells that did not invade to the lower chamber were removed. The chambers were then stained with crystal violet and eluted with extraction solution (33% acetic acid). The relative migration abilities were quantified by optical absorbance at 560 nm using a PerkinElmer 1420 multi-label plate reader (Perkin Elmer, Waltham, MA). Notably, to avoid the confounding effects of proliferation on cell migration results, the incubation times utilized were markedly less than the doubling times for these cells, namely HT29 (24 h) and DU145 (42 h).

The cell-collagen I adhesion assay was performed using CytoSelect™ 48-Well Adhesion Assay (Cell Biolabs, San Diego, CA) according to the manufacturer's protocol. Briefly, a cell suspension ( $5 \times 10^5$  cells/200  $\mu$ L FBS-free medium) was added to the inside of each well (collagen I-coated wells) and incubated for 2 h/37°C for DU145 cells and 3 h/37°C for HT29 cells. After 4-5 washes with PBS, the wells were stained with crystal violet and then eluted with extraction solution. The relative adhesion abilities were quantified by optical absorbance at 560 nm using the plate

reader above.

### **Protein Extraction and Immunoblots**

Whole cell lysates were extracted using lysis buffer with proteinase inhibitor (Cat. 11836170001; Roche, Basel, Switzerland) and PhosSTOP (Cat. 04906845001; Roche) and western blotting performed as described previously (Kovacevic et al., 2008). Briefly, equal amounts of protein (50  $\mu$ g) were loaded and separated on a 10% SDS-PAGE gel, and then transferred to PVDF membranes. The membranes were incubated with primary antibodies at 4°C overnight, and then incubated with HRP-conjugated secondary antibodies at room temperature for 2 h. The primary antibodies used (diluted at 1:1000-1:2000) included: NDRG1 (Cat. #: ab37897), paxillin (Cat. #:ab3127) from Abcam (Cambridge, UK); p-FAK (Tyr397; Cat. #: 3283), p-FAK (Tyr576/7; Cat. #: 3281), p-FAK (Tyr925; Cat. #: 3284), p-paxillin (Tyr118; Cat. #: 2541), FAK (Cat. #: 3285) were from Cell Signaling Technology (Beverly, MA);  $\beta$ -actin (Cat. #: A1978, diluted at 1:10,000) was purchased from Sigma-Aldrich. The secondary antibodies implemented (diluted 1:10,000) include: horseradish peroxidase (HRP)-conjugated anti-goat (Cat. #: A5420), anti-rabbit (Cat. #: A6154) and anti-mouse (Cat. #: A4416) antibodies from Sigma-Aldrich.

### **Immunofluorescence**

Cells seeded on coverslips were fixed with 4% paraformaldehyde (Sigma-Aldrich, St. Louis, MO, USA) for 10 min and permeabilized with 0.2% Triton X-100 for 5 min at

room temperature. The coverslips were then incubated overnight with primary antibodies at 4°C, followed by incubation with fluorescent secondary antibody for 1 h at room temperature. After washing with PBS, the coverslips were stained with anti-fade mounting solution containing 4',6-di-amidino-2-phenylindole (DAPI; Cat. P36935, Invitrogen) and images were examined and captured using a Zeiss LSM 510 Meta Spectral Confocal Microscope (Carl Zeiss, Oberkochen, Germany) with a 63× oil objective. Raw images were analyzed using ImageJ software (National Institutes of Health, USA).

### **Gene Silencing by Small Interfering RNA (siRNA)**

Silencing *FAK* expression using *FAK* siRNA was performed following the manufacturer's instructions. Briefly, at 60% confluence, sh-NDRG1 and sh-Control cells were transfected with *FAK* Silencer<sup>®</sup> Select siRNA duplexes (si-FAK; Cat. #: 4390771; 10 nM; Ambion, Waltham, MA), or the Silencer<sup>®</sup> Negative Control siRNA (si-Con; Cat. #: 4404021) at 10 nM using Lipofectamine 2000<sup>®</sup> (Invitrogen, Waltham, MA). Following a 6 h/37°C siRNA incubation, fresh medium was then added for a further 60 h/37°C incubation and then whole cell lysates were extracted and immunoblots were performed.

### **Statistical analysis**

Data are expressed as mean ± SD of at least 3 independent experiments. Analysis was performed using Student's *t*-test and ANOVA (GraphPad Prism 5.0; GraphPad

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Software, San Diego, CA, USA) with  $p < 0.05$  being considered statistically significant.

## **RESULTS**

### ***NDRG1 Over-Expression in HT29 and DU145 Cells Decreases Migration and Cell-Collagen I Adhesion***

Considering the important role of NDRG1 in inhibiting tumor cell metastasis (Bandyopadhyay et al., 2003; Bandyopadhyay et al., 2004; Chen et al., 2012; Dixon et al., 2013; Jin et al., 2014; Kovacevic et al., 2013; Kovacevic et al., 2016; Liu et al., 2015; Maruyama et al., 2006; Shah et al., 2005; Sun et al., 2013b), the current study has assessed its role in suppressing tumor cell migration and cell-collagen I adhesion through FAK/paxillin signaling.

In these studies, we used two well characterized cell-types, namely DU145 prostate cancer cells and HT29 colon cancer cells that stably over-express exogenous human NDRG1 (denoted “NDRG1”) and compared the results to cells transfected with the vector alone (denoted “Vector Control”) (Chen et al., 2012). As additional models to investigate NDRG1 function, NDRG1-silenced clones (denoted “sh-NDRG1”) of these two cell-types were generated and compared to cells transfected with an empty control plasmid (denoted “sh-Control”) (Chen et al., 2012). These cell lines were specifically used as: **(1)** they are representative models of tumor-types where NDRG1 has been shown to have an anti-metastatic role *in vitro* and *in vivo* (Liu et al., 2012); and **(2)** we have extensively characterized these cells in previous studies examining the function of NDRG1 (Chen et al., 2012; Jin et al., 2014; Sun et al., 2013b).

Initially, as cancer cell migration and ECM adhesion are key factors in metastasis, and because NDRG1 is a metastasis suppressor (Ellen et al., 2008; Kitowska and Pawelczyk, 2010; Melotte et al., 2010), we investigated the effect of NDRG1 on cell migration and ECM adhesion using the transwell migration (**Fig. 1B**) and cell-collagen I adhesion assay (**Fig. 1C**), respectively. Using the transwell migration assay, NDRG1 over-expression in HT29 and DU145 cells resulted in a significant ( $p < 0.001$ ) reduction (3.1-4.2-fold) of migration relative to their respective Vector Control cells (**Fig. 1B (i), (ii)**). On the other hand, NDRG1-silencing in both these cell-types resulted in a significant ( $p < 0.001$ ) increase (1.8-2.6-fold) of cellular migration relative to the sh-Control (**Fig. 1B (i), (ii)**). These studies again support the role of NDRG1 as an inhibitor of cellular migration (Chen et al., 2012; Hickok et al., 2011).

Cell adhesion assays in HT29 and DU145 cells demonstrated that NDRG1 over-expression resulted in a significant ( $p < 0.001-0.01$ ) decrease (1.7-2.0-fold) in cellular-ECM adhesion relative to the Vector Control (**Fig. 1C (i), (ii)**). In contrast, NDRG1 silencing led to a significant ( $p < 0.001-0.01$ ) increase (1.6-1.9-fold) in cellular-ECM adhesion *versus* the sh-Control in both HT29 and DU145 cells (**Fig. 1C (i), (ii)**).

***NDRG1 Over-Expression in HT29 and DU145 Cells Decreases Activation of FAK and Paxillin, while Silencing of NDRG1 Increases FAK and Paxillin Activation.***

Considering the marked effect of NDRG1 expression on cellular-migration and -ECM adhesion (**Fig. 1B, C**), studies then assessed the effect of this metastasis suppressor on the phosphorylation and total protein levels of FAK and paxillin in the NDRG1 over-expressing and silenced clones of HT29 (**Fig. 2A**) and DU145 cells (**Fig. 2B**). In both NDRG1 over-expressing cell-types, exogenous expression of Flag-tagged NDRG1 was demonstrated by immunoblotting, where a band at ~45 kDa was detected (**Fig. 2A, B**). In addition, endogenously expressed NDRG1 was demonstrated at ~43 and/or ~44 kDa, suggesting potential phosphorylation, or other post-translational modifications (Ghalayini et al., 2013; Kovacevic et al., 2011a; Murray et al., 2004). Considering this, it is notable that the densitometric analysis shown throughout this study represents the total of all NDRG1 bands. In both HT29 and DU145 cells, NDRG1 expression was markedly and significantly ( $p < 0.001$ ) greater in the over-expression clones relative to the Vector Controls, while the shNDRG1 clone demonstrated a pronounced and significant ( $p < 0.001$ ) decrease in NDRG1 levels relative to the sh-Control (**Fig. 2A, B**).

Once activated, both FAK and paxillin play crucial roles in migration and invasion (Lauffenburger and Horwitz, 1996; Turner, 2000). Initial studies assessed the effect of NDRG1 expression on the phosphorylation of FAK at Tyr397, Tyr576/7 and Tyr925 (**Fig. 2A, B**), as these are the key sites responsible for activation and subsequent



downstream signaling (Calalb et al., 1995; Panetti, 2002). In most blots assessing FAK phosphorylation, only one band was demonstrated at 125 kDa, although in others, two closely migrating bands were observed, as reported by others (Du et al., 2014; McLean et al., 2005).

Interestingly, NDRG1 over-expression in both cell-types resulted in a significant ( $p < 0.001-0.05$ ) decrease of phosphorylated FAK at all phosphorylation sites examined (Tyr397, Tyr576/7 and Tyr925), relative to Vector Control cells, with there being no significant alteration in total FAK levels (**Fig. 2A, B**). Further, NDRG1 over-expression in both cell-types resulted in a significant ( $p < 0.001-0.01$ ) decrease of the ratio of phosphorylated FAK(Tyr397, Tyr576/7 and Tyr925) to total FAK, relative to Vector Control cells (**Fig. 2A, B**). In contrast, NDRG1 silencing (sh-NDRG1) resulted in a significant ( $p < 0.001-0.05$ ) increase in phosphorylated FAK at all 3 sites when compared to the sh-Control, with no significant alteration in total FAK levels. The silencing of NDRG1 also resulted in a marked and significant ( $p < 0.001-0.01$ ) increase in the ratio of phosphorylated FAK to total FAK at all three phosphorylation sites when compared to the sh-Control, with no significant alteration in total FAK levels (**Fig. 2A, B**).

An important downstream target of activated FAK is paxillin, which becomes activated when phosphorylated at Tyr118 (Azuma et al., 2005). Paxillin is a focal adhesion-associated protein that plays a role in cell adhesion to the extracellular

matrix, cell spreading and migration, and hence, was important to examine (Schaller, 2001). Over-expression of NDRG1 in both cell-types resulted in a significant ( $p < 0.001$ ) decrease in p-paxillin (Tyr118) levels relative to Vector Control cells, while there was no significant alteration in total paxillin expression (**Fig. 2A, B**). Similarly, NDRG1 over-expression in both cell-types resulted in a significant ( $p < 0.001$ ) decrease in the p-paxillin(Tyr118)/paxillin ratio relative to Vector Control cells (**Fig. 2A, B**). On the other hand, examining HT29 and DU145 sh-NDRG1 cells, there was a significant ( $p < 0.001$ ) increase in p-paxillin (Tyr118) levels relative to sh-Control cells, with no significant alteration in total paxillin expression (**Fig. 2A, B**). Silencing of NDRG1 in HT29 and DU145 cells also resulted in a significant ( $p < 0.001$ ) increase in p-paxillin(Tyr118)/paxillin ratio relative to sh-Control cells (**Fig. 2A, B**).

In summary, NDRG1 expression inhibits FAK-paxillin signaling, which may be critical in terms of the ability of this metastasis suppressor to diminish tumor cell migration and adhesion to the substratum.

### ***NDRG1 Expression Inhibits the Formation of Focal Adhesions***

To further investigate the mechanism of NDRG1 activity in inhibiting cell migration and attachment, immunofluorescence studies were performed to assess p-paxillin and F-actin expression and distribution. This was important as both p-paxillin and F-actin are integral components of focal adhesions, which play an important role in cellular adhesion, migration and metastasis (Deakin and Turner, 2008; Nobes and Hall, 1995).

In these studies, NDRG1 over-expressing and silenced HT29 and DU145 cells were used to examine p-paxillin (Tyr118) levels and localization. This latter molecule was found to be present as punctate staining in Vector Control and sh-Control HT29 (**Fig. 3A(i)**) and DU145 cells (**Fig. 3A(ii)**). These puncta of fluorescence are consistent with the formation of focal adhesions on the cell surface (Keselowsky et al., 2004; Wang et al., 1993). Upon NDRG1 over-expression in both HT29 and DU145 cells, there was a significant ( $p < 0.01-0.05$ ) decrease in the p-paxillin fluorescence density relative to the Vector Control cells (**Fig. 3A, B**). On the other hand, in both cell-types, there was a marked and significant ( $p < 0.001$ ) increase in p-paxillin fluorescence intensity in sh-NDRG1 cells relative to the sh-Control cells (**Fig. 3A, B**). In fact, under these conditions, and particularly in DU145 cells, the p-paxillin puncta increased in size to form fluorescent plaques (**Fig. 3A**).

Assessment of F-actin fluorescence demonstrated diffuse cytoplasmic staining in Vector Control and sh-Control cells that was predominantly distributed peripherally at the cell membrane (**Fig. 3A**). As observed in previous studies (Sun et al., 2013b), NDRG1 over-expression in HT29 and DU145 cells resulted in a significant ( $p < 0.01$ ) decrease in the intensity of F-actin expression, which appeared confined predominately to the plasma membrane (**Fig. 3A, C**). At the same time, NDRG1 over-expression in HT29 and DU145 cells led to a more rounded and less aggressive cellular morphology relative to the relevant Vector Control cells (**Fig. 3A**). In contrast,

the silencing of NDRG1 in both cell-types resulted in a significant ( $p < 0.001$ ) increase in F-actin expression (**Fig. 3A, C**) and the formation of distinct stress fibers (particularly in DU145 cells) relative to the sh-Control (**Fig. 3A**). Furthermore, the sh-NDRG1 cells became more spindle or angular-shaped and had a more aggressive cellular morphology than their sh-Control counterparts.

It is well known that p-paxillin (green) can co-localize with F-actin (red) on the plasma membrane to form focal adhesions (yellow; (Deakin and Turner, 2008; Sattler et al., 2000; Schaller, 2001)). In the Vector Control and sh-Control cells, co-localization between p-paxillin and F-actin appeared as very faint particulate staining on the cell membrane in HT29 cells (**Fig. 3A(i)**), while being slightly more widely distributed through the cell and on the plasma membrane in DU145 cells (**Fig. 3A(ii)**). Examining cells with NDRG1 over-expression, the co-localization intensity formed between p-paxillin and F-actin in the merged image was significantly ( $p < 0.01-0.05$ ; **Fig. 3D**) reduced relative to the Vector Control cells (**Fig. 3A**). This led to the yellow fluorescence being confined predominantly to isolated segments of the cell (**Fig. 3A**). In contrast, sh-NDRG1 cells demonstrated a marked and significant ( $p < 0.001-0.01$ ; **Fig. 3D**) increase in the co-localization intensity between p-paxillin and F-actin in both cell-types, with yellow plaques being observed particularly throughout DU145 cells (**Fig. 3A**). In summary, expression of the metastasis suppressor, NDRG1, in both cell-types decreases co-localization between p-paxillin and F-actin, which are involved in focal adhesion function including tumor cell adhesion and migration

(Nagano et al., 2012).

### ***NDRG1 Regulates Paxillin through Modulating FAK***

The investigations above demonstrated that NDRG1 over-expression decreased, while NDRG1 silencing activated the FAK/paxillin pathway (**Figs. 2, 3**). To investigate whether NDRG1 directly regulates paxillin phosphorylation, or if it exerts this effect by inhibiting FAK phosphorylation, further studies were performed with the well characterized FAK inhibitor, PF-562271 ((Roberts et al., 2008); **Fig. 4**), or *FAK* siRNA (**Fig. 5**).

The HT29 and DU145 sh-Control and sh-NDRG1 cells were incubated with PF-562271 (10  $\mu$ M) for 24 h/37°C. These incubation conditions were previously shown to effectively inhibit FAK phosphorylation (Du et al., 2014). Following incubation with PF-562271, NDRG1 expression was not significantly affected in either sh-Control or sh-NDRG1 cells relative to the untreated control (**Fig. 4A, B**). In contrast, the phosphorylation of FAK at three different sites (*i.e.*, Tyr397, Tyr576/7 and Tyr925) was significantly ( $p < 0.001-0.01$ ) decreased by PF-562271 treatment, regardless of NDRG1 expression (**Fig. 4A, B**). However, PF-562271 did not significantly affect total FAK expression in both cell-types (**Fig. 4A, B**). Due to this, the ratios of p-FAK (*i.e.*, Tyr397, Tyr576/7 and Tyr925) to total FAK were significantly ( $p < 0.001-0.05$ ) decreased by PF-562271 treatment, regardless of NDRG1 expression (**Fig. 4A, B**).

The silencing of NDRG1 in DU145 cells (but not HT29 cells; **Fig. 4A, B**) led to a significant ( $p < 0.001$ - $0.01$ ) increase FAK phosphorylation at each site examined even in the presence of PF-562271 when compared to the PF-562271 treated sh-Control cells. Similarly, NDRG1 silencing in DU145 cells (but not HT29 cells; **Fig. 4A, B**) significantly ( $p < 0.001$ - $0.01$ ) increased p-FAK(Tyr397, Tyr576/7 and Tyr925)/FAK ratios even in the presence of PF-562271 when compared to the PF-562271 treated sh-Control cells. Although, it was notable that this latter increase in FAK phosphorylation was markedly ( $p < 0.001$ ) lower than that of the untreated sh-NDRG1 cells (**Fig. 4B**).

Further, PF-562271 also significantly ( $p < 0.001$ - $0.01$ ) decreased the phosphorylation of paxillin (Tyr118) in sh-Control HT29 and DU145 cells relative to the untreated sh-Controls (**Fig. 4A, B**). However, this latter agent also markedly and significantly ( $p < 0.001$ ) reduced total paxillin levels in both cell-types (**Fig. 4A, B**), suggesting a possible non-specific effect of PF-562271. The net result of the decrease in phosphorylated and total paxillin levels after PF-562271 treatment was that the p-paxillin/paxillin ratio did not significantly change in the sh-Control cells (**Fig. 4A, B**). Interestingly, NDRG1 silencing led to a significant ( $p < 0.001$ ) increase in the p-paxillin/paxillin ratio in both HT29 and DU145 cells relative to the sh-Control group in the presence of PF-562271 (**Fig. 4A, B**).

To further investigate if inhibition of FAK modulates the effect of NDRG1 on paxillin phosphorylation, a more specific strategy using *FAK* siRNA (si-FAK) was utilized in comparison to a negative control siRNA (si-Con; **Fig. 5A, B**). Importantly, si-FAK did not significantly affect total NDRG1 expression relative to the si-Con in either the sh-Control or sh-NDRG1 HT29 or DU145 cells (**Fig. 5A, B**). However, it was notable that an alteration in the relative proportions of the two NDRG1 isoforms was observed in both cell-types upon incubation with si-FAK (**Fig. 5A, B**). Indeed, si-FAK increased the upper NDRG1 band at the expense of the lower band when compared to si-Con treated HT29 and DU145 cells (**Fig. 5A, B**). Considering this, treatment with FAK activity inhibitor, PF-562271, did not alter the relative ratios of the NDRG1 bands (**Fig. 4A, B**). These results could suggest that the cellular protein level of FAK, but not its activity, may regulate the expression of NDRG1 isoforms through a feedback mechanism.

Examining the effect of si-FAK on HT29 and DU145 sh-Control cells, it was notable that silencing this molecule displayed significantly ( $p < 0.001$ - $0.01$ ) lower pFAK (Tyr397, Tyr576/7 and Tyr925) and total FAK levels ( $p < 0.001$ ; **Fig. 5A, B**). These changes resulted in no significant alteration in the p-FAK/FAK ratios in the sh-Control cells after incubation of si-FAK relative to si-Con (**Fig. 5A, B**). In the sh-NDRG1 cells, si-FAK was also able to significantly ( $p < 0.001$ ) reduce the levels of total and phosphorylated FAK for both HT29 and DU145 cells when compared to the si-Con (**Fig. 5A, B**). However, examining the ratio of p-FAK to total FAK, there

was a marked and significant ( $p < 0.001$ - $0.01$ ) decrease of FAK phosphorylation (at all 3 sites) for both HT29 and DU145 sh-NDRG1 cells in response to si-FAK when compared to si-Con sh-NDRG1 cells (**Fig. 5A, B**).

Similarly, silencing of FAK also resulted in a significant ( $p < 0.001$ - $0.01$ ) inhibition of p-paxillin (Tyr118) levels relative to the si-Con, while having no significant effect on total paxillin levels in both sh-Control and sh-NDRG1 HT29 and DU145 cells (**Fig. 5A, B**). This effect resulted in a significant ( $p < 0.001$ - $0.05$ ) decrease in the p-paxillin (Tyr118)/paxillin ratio in both sh-Control and sh-NDRG1 HT29 and DU145 cells. Taken together, the results above indicate that NDRG1 decreases p-paxillin levels, at least in part, *via* its inhibitory effects on FAK activation.

### ***NDRG1 Inhibits Tumor Cell Migration and Adhesion via Inhibition of FAK/Paxillin Signaling***

Our studies above demonstrate that NDRG1 expression reduced p-paxillin levels, at least in part, through its inhibitory effect on FAK activation (**Figs. 2-5**). To further examine this, we investigated whether inhibition of FAK/paxillin signaling can reverse cell migration and adhesion that is induced by NDRG1 silencing in HT29 and DU145 cells (**Fig. 6**). Hence, cell migration assays and cell-collagen I adhesion assays were performed using both sh-NDRG1 and sh-Control cells following treatment with PF-562271 or FAK siRNA transfection.



Initial studies examined cell migration in sh-Control and sh-NDRG1 HT29 and DU145 cells in the presence and absence of PF-562271 (10  $\mu$ M; **Fig. 6A**), or si-FAK vs. si-Con (**Fig. 6B**). Compared with the control groups, treatment with PF-562271 (10  $\mu$ M) or si-FAK significantly ( $p < 0.001$ -0.01) decreased the migration of both sh-Control and sh-NDRG1 HT29 and DU145 cells by approximately 60-80% (**Fig. 6A, B**).

Further, cell-collagen I adhesion assays were also performed to explore whether the inhibition of FAK phosphorylation or silencing of FAK expression could affect the adhesive ability of the sh-Control and sh-NDRG1 cells (**Fig. 6C**). In these studies, the adhesive ability of HT29 and DU145 sh-Control and sh-NDRG1 cells treated with 10  $\mu$ M PF-562271 was significantly ( $p < 0.001$ ) inhibited (3.5-4.0-fold) relative to the untreated control (**Fig. 6C**). Similarly, the adhesive ability of HT29 and DU145 sh-Control and sh-NDRG1 cells transfected with si-FAK was also found to be significantly ( $p < 0.001$ ) decreased (approximately 2.8-fold) when compared to the si-Con-transfected cells (**Fig. 6D**). Together, these results further demonstrate that the silencing of NDRG1 leads to increased cell migration and cell-collagen I adhesion *via* a FAK-mediated mechanism, as the inhibition of FAK using PF-562271 or si-FAK is able to markedly reduce these latter effects.

#### ***NDRG1-Mediated Inhibition of Focal Adhesions Occurs via FAK Activation***

As NDRG1 silencing could significantly enhance F-actin remodeling into stress fibers

and focal adhesion formation (**Fig. 3**), we further assessed the formation of focal adhesions and distribution of F-actin in sh-NDRG1/sh-Control cells following treatment with the FAK inhibitor, PF-562271 (**Fig. 7**), or transfection with *FAK* siRNA (**Fig. 8**). These studies were performed to determine whether the NDRG1-mediated effects on focal adhesion formation were dependent on the ability of NDRG1 to inhibit FAK activation.

As is shown in **Fig 7A(i, ii)**, after incubation of HT29 and DU145 cells with PF-562271 (10  $\mu$ M), sh-Control cells showed significantly decreased p-paxillin levels (green;  $p < 0.001$ ; **Fig. 7A, B**), F-actin expression (red;  $p < 0.001$ ; **Fig. 7A, C**) and co-localization of these proteins (yellow;  $p < 0.001-0.01$ ; **Fig. 7A, D**) when compared to the untreated controls. However, the HT29 and DU145 sh-NDRG1 cells treated with PF-562271 (10  $\mu$ M) demonstrated no significant change in p-paxillin level (**Fig. 7B**), but a significant ( $p < 0.001$ ) decrease in F-actin density (**Fig. 7C**). The p-paxillin/F-actin co-localization intensity in HT29 sh-NDRG1 cells treated with PF-562271 was significantly ( $p < 0.05$ ) decreased, compared to the respective untreated sh-NDRG1 cells (**Fig. 7D**). In DU145 sh-NDRG1 cells treated with PF-562271, a slight, but not significant decrease of p-paxillin/F-actin co-localization was also observed compared to untreated cells.

Notably, apart from its effects described above, PF-562271 markedly affected the cellular distribution of p-paxillin, F-actin and focal adhesions (shown as yellow

plaques in the merged images), which was particularly prominent in the sh-NDRG1 cells (**Fig. 7A**). In fact, in the presence of PF-562271, focal adhesions were no longer observed as discreet plaques and appeared more coalesced, particularly in DU145 cells (**Fig. 7A**).

As found with the FAK inhibitor, PF-562271, silencing of *FAK* using siRNA (si-FAK) also markedly reduced the levels of p-paxillin (green;  $p < 0.001$ ; **Fig. 8A(i, ii), B**) and F-actin stress fibers (red;  $p < 0.001$ ; **Fig. 8A(i, ii), C**) in both HT29 and DU145 sh-Control and sh-NDRG1 cells. This led to a significant ( $p < 0.001-0.05$ ) reduction of the co-localization intensity of focal adhesions (yellow in merged images) in HT29 and DU145 cells incubated with si-FAK compared to si-Con cells (**Fig. 8D**). Notably, these effects were more apparent in sh-NDRG1 HT29 and DU145 cells. As observed for PF-562271 (**Fig. 7A(i, ii)**), there was a tendency for the focal adhesions to become coalesced after incubation with si-FAK rather than appearing as distinct plaques in the si-Con cells (**Fig. 8A(i, ii)**).

Taken together, the results in **Figs. 7** and **8** demonstrate that NDRG1 silencing leads to increased focal adhesions and formation of stress fibers, which occur, at least in part, through FAK/paxillin signaling. Hence, these effects could account for the increased migratory and adhesive ability observed in these cells when NDRG1 is silenced (**Figs. 1, 6**).

***Novel Thiosemicarbazones, and Particularly DpC, Inhibit the Phosphorylation of FAK and Paxillin***

Previous studies from our laboratory and others have indicated that novel di-2-pyridylketone thiosemicarbazones (*i.e.*, Dp44mT and DpC) markedly inhibit tumor growth and metastasis both *in vitro* and *in vivo* (Kovacevic et al., 2011b; Liu et al., 2012; Lovejoy et al., 2012; Wang et al., 2014; Yuan et al., 2004). Intriguingly, these agents also markedly up-regulate NDRG1 expression, with this effect being mediated by iron-depletion *via* HIF-1 $\alpha$ -dependent and -independent mechanisms (Fang et al., 2014; Kovacevic et al., 2011a; Le and Richardson, 2004; Lovejoy et al., 2012; Richardson, 2005). Considering this enhanced NDRG1 expression induced by thiosemicarbazones and also the inhibitory effect of NDRG1 on FAK/paxillin phosphorylation (**Fig. 2**), we further examined whether thiosemicarbazones regulate FAK/paxillin phosphorylation *via* up-regulation of NDRG1.

To assess the roles of Dp44mT and DpC in regulating FAK/paxillin signaling, the analogue Bp2mT (**Fig. 1A**), was used as a negative control as it cannot bind cellular iron. This thiosemicarbazone has a similar chemical structure to Dp44mT and DpC (**Fig. 1A**), but cannot chelate metals due to the methyl group on the thiosemicarbazone bridge which prevents electron delocalization, and thus, ligation (Yuan et al., 2004). Also, the effects of Dp44mT and DpC were compared with DFO, which is the “gold-standard” iron chelator for the treatment of iron-overload disease (Olivieri and Brittenham, 1997). Furthermore, NDRG1 over-expressing cells (*i.e.*,

HT29 and DU145; labeled “NDRG1”) were also included as positive controls. This was done as a comparison to evaluate the effect of DFO, Dp44mT and DpC in terms of their ability to up-regulate NDRG1 *via* iron depletion (Le and Richardson 2004; Kovacevic et al. 2011).

In these studies, HT29 and DU145 cells were incubated for 24 h/37°C with either the Control (medium containing 0.05% DMSO), DFO (250 µM), Dp44mT (5 µM), DpC (5 µM), or the negative control compound, Bp2mT (5 µM). With the exception of Bp2mT, the concentrations of all agents used above have been demonstrated in previous reports to induce NDRG1 expression (Liu et al., 2015). Compared with Dp44mT and DpC, a much higher concentration of DFO (250 µM) was used due to its poor membrane permeability, and thus, lower chelation efficacy (Merlot et al., 2013).

As shown in **Fig. 9A, B**, HT29 and DU145 cells that were incubated with DFO, Dp44mT or DpC displayed markedly and significantly ( $p < 0.001$ ) increased NDRG1 expression (especially for HT29 cells), relative to the Controls. In fact, the expression level was similar to cells hyper-expressing the NDRG1 vector. In contrast, HT29 or DU145 cells incubated with the negative control agent, Bp2mT, showed no significant effect on NDRG1 expression, relative to the Control (**Fig. 9A, B**). Of the chelators assessed, DFO showed the least activity, significantly ( $p < 0.001$ ) decreasing the p-FAK(Tyr925)/FAK ratio only in HT29 cells (**Fig. 9A**), while significantly ( $p < 0.001$ ) decreasing p-FAK(Tyr397, Tyr576/7 and Tyr925)/FAK ratios and

p-paxillin(Tyr118)/paxillin ratio in DU145 cells (**Fig. 9B**). Hence, DFO appeared more effective in terms of influencing the phosphorylation of FAK and paxillin in DU145 cells relative to HT29 cells.

The activity of Dp44mT in HT29 was more broad than DFO, significantly ( $p < 0.001-0.01$ ) inhibiting the p-FAK(Tyr397 and Tyr925)/FAK ratios and p-paxillin(Tyr118)/paxillin ratio (**Fig. 9A**). As observed for DFO, Dp44mT demonstrated a more extensive effect in DU145 relative to HT29 cells, significantly ( $p < 0.001$ ) inhibiting the p-FAK(Tyr397, Tyr576/7 and Tyr925)/FAK ratios and p-paxillin(Tyr118)/paxillin ratio (**Fig. 9B**). The activity of DpC in terms of its inhibitory effect on phosphorylation of FAK and paxillin was the greatest amongst the three chelators, having efficacy that approached cells over-expressing NDRG1 (**Fig. 9A, B**). In fact, DpC significantly ( $p < 0.001$ ) inhibited p-FAK(Tyr397, Tyr576/7 and Tyr925)/FAK ratios and p-paxillin(Tyr118)/paxillin ratio in both cell-types (**Fig. 9A, B**). None of the agents tested significantly affected the expression of total FAK or paxillin.

Overall, these results indicate that Dp44mT and DpC had a potent effect on inhibiting FAK/paxillin phosphorylation at different sites, an effect that is consistent with their ability to up-regulate NDRG1 expression. However, it is of interest to note that despite the ability of DFO, Dp44mT and DpC to similarly up-regulate NDRG1 in both cell-types, for each agent, a different spectrum of activity was observed in terms

of inhibiting FAK and paxillin phosphorylation (**Fig. 9A, B**). Hence, the effect of these agents on other molecular effectors additional to NDRG1 cannot be excluded.

***Examination of the Role of NDRG1 in the Inhibitory Activity of Thiosemicarbazones on the Phosphorylation of FAK and Paxillin***

Since both thiosemicarbazones and NDRG1 over-expression had similar effects in terms of reducing FAK/paxillin phosphorylation (**Fig. 9**), we further investigated whether these agents inhibited FAK/paxillin signaling through up-regulating NDRG1 (**Fig. 10**). In these studies, NDRG1-silenced HT29 and DU145 cells (sh-NDRG1), and their corresponding control cells (sh-Control) were incubated with DFO, Dp44mT and DpC, as well as the negative control, Bp2mT, for 24 h/37°C using the same concentrations, as described above (**Fig. 9**).

Assessing HT29 and DU145 sh-Control cells, incubation with DFO, Dp44mT, or DpC led to a marked and significant ( $p < 0.001$ ) increase in NDRG1 expression (approximately 8- to 10-fold in HT29; 2 to 3-fold in DU145), relative to Control cells or those treated with Bp2mT (**Fig. 10A, B**). In HT29 sh-NDRG1 cells, DFO, Dp44mT, and DpC could still markedly and significantly ( $p < 0.001$ ) up-regulate NDRG1 expression, but to a slightly lesser degree than that of HT29 sh-Control cells (**Fig. 10A**). In contrast, in the DU145 sh-NDRG1 cells, the expression of NDRG1 induced by these chelators was markedly and significantly ( $p < 0.001$ ) lower when compared to the DU145 sh-Control cells (**Fig. 10B**). The different effects on NDRG1 expression

in these sh-NDRG1 cells could be due to the varied response of these different cell-types to NDRG1 silencing and to the agents examined.

As was shown in **Fig. 9A, B**, DFO was only able to reduce the pFAK(Tyr925)/FAK ratio in HT29 sh-Control cells (**Fig. 10A**). In contrast, for DU145 sh-Control cells, DFO significantly ( $p < 0.001$ ) reduced the p-FAK/FAK ratio at all sites examined (*i.e.*, Tyr397, Tyr576/7 and Tyr925), in addition to the p-paxillin/paxillin ratio (**Fig. 10B**). Further, Dp44mT and DpC significantly ( $p < 0.001$ ) decreased the p-FAK(Tyr397 and Tyr925)/FAK ratio and p-paxillin(Tyr118)/paxillin ratio in both HT29 and DU145 sh-Control cells, while only DpC significantly ( $p < 0.001$ ) decreased the p-FAK(Tyr576/7)/FAK ratio in HT29 sh-Control cells (**Fig. 10A, B**), as demonstrated in **Fig. 9A, B**.

After chelator treatment, the NDRG1 levels in sh-NDRG1 HT29 cells were only slightly reduced, relative to the sh-Control HT29 (**Fig. 10A**). Hence, the effect of the chelators on p-FAK/FAK and p-paxillin/paxillin ratio in the sh-NDRG1 cells was not markedly different when compared to the sh-Control cells (**Fig. 10A**). An exception to this was that the p-FAK(Tyr576/7)/FAK and p-paxillin(Tyr118)/paxillin levels remained significantly ( $p < 0.001-0.05$ ) higher in the sh-NDRG1 cells when compared to the sh-Control cells in response to DFO and Dp44mT in HT29 cells (**Fig. 10A, B**). It is also important to note that Bp2mT significantly ( $p < 0.01$ ) reduced the p-FAK(Tyr397 and Tyr576/7)/FAK ratio in the sh-NDRG1 HT29 cells, although the



reason for this effect of the negative control was unclear (**Fig. 10A**).

Interestingly, despite a marked and significant ( $p < 0.001$ ) reduction of the p-FAK(Tyr397, Tyr576/7 and Tyr925)/FAK ratio, DpC significantly ( $p < 0.05$ ) increased total FAK levels in the HT29 sh-NDRG1 cells relative to the Control, while it had no significant effect in HT29 sh-Control cells (**Fig. 10A**). The reason for this observation is unclear and may involve a compensatory response due to the reduced activation of FAK.

In contrast to HT29 cells (**Fig. 10A**), in DU145 sh-NDRG1 cells, DFO, Dp44mT and DpC induced significantly ( $p < 0.001$ ) lower levels of NDRG1 when compared to the sh-Control cells (**Fig. 10B**). Moreover, the effects of these agents on down-regulating p-FAK/FAK and p-paxillin/paxillin ratios were significantly ( $p < 0.001-0.05$ ) reduced when compared to the sh-Control cells (**Fig. 10B**). This observation suggested the ability of the iron chelators to inhibit FAK/paxillin phosphorylation can be influenced by changes in NDRG1 expression. Hence, these data indicate that Dp44mT and DpC can inhibit the phosphorylation of FAK and paxillin, at least in part, through NDRG1 up-regulation.

## **DISCUSSION**

In the present investigation, we investigated the mechanisms that underlie the ability of NDRG1 to decrease cellular migration and cell-collagen I adhesion in both colorectal and prostate cancer cells. These studies demonstrate that NDRG1 can markedly inhibit cell migration, cell-collagen I adhesion and the formation of focal adhesions. In fact, for the first time, we demonstrate that NDRG1 inhibits the FAK/paxillin signaling pathway, which plays a critical role in tumor cell metastasis.

Tumor cell migration and cell-ECM adhesion are two key factors for the process of tumor metastasis (Yamaguchi et al., 2005). Moreover, there is a close relationship between tumor cell migration and cell-ECM adhesion (Lester and McCarthy, 1992; Lock et al., 2008). The interaction between membrane receptors (*i.e.*, integrins, EGFR, *etc.*) and ECM substrates leads to changes in cell morphology and regulates cell migration, which is driven by F-actin polymerization and stress fiber formation (Girard and Nerem, 1995). A recent study examining the function of NDRG1 in colon and prostate cancer cells has demonstrated that this metastasis suppressor inhibited cell migration, and that this was accompanied by a marked reduction in stress fiber formation (Sun et al., 2013b). Moreover, this latter investigation also demonstrated that the ROCK/pMLC2 pathway, which directly promotes stress fiber polymerization and contraction, was inhibited by NDRG1 in these cells (Sun et al., 2013b). The up-stream mechanisms driving the regulation of the ROCK/pMLC2 pathway by NDRG1 were, until now, elusive. However, the current study has demonstrated, for

the first time, that NDRG1 negatively regulates the activation of FAK, which lies up-stream of the Rho A/ROCK pathway and is directly linked to the RTKs at the cell membrane (Pirone et al., 2006).

The ability of NDRG1 to regulate down-stream signaling cascades at the receptor level was further highlighted in a recent study demonstrating the suppression of the ErbB family of RTKs, namely EGFR, HER2 and HER3 by this metastasis suppressor (Kovacevic et al., 2016; Liu et al., 2015). Indeed, NDRG1 inhibited the dimerization and activation of these latter receptors (Kovacevic et al., 2016; Liu et al., 2015). Interestingly, while FAK has typically been associated with receptors such as integrins, studies have also shown that FAK is required for efficient EGF-stimulated cell motility (Hwang et al., 2011; Schlaepfer and Mitra, 2004). In fact, FAK was found to associate with EGFR, with this binding being mediated by Src-3Δ4, which binds directly to FAK and links this latter molecule to EGFR (Long et al., 2010). Hence, it is possible that the NDRG1-mediated effects on EGFR and FAK are linked, with the inhibition of one of these latter molecules hindering the activity of the other. Further evidence that Src is involved in the NDRG1-mediated inhibition of FAK comes from our recent report that Src activity is inhibited by NDRG1 and the downstream effects of this metastasis suppressor on cell migration and invasion are directly due to this effect (Liu et al., 2015). Moreover, Src directly activates FAK by phosphorylating it at Tyr576/577, which is the site we identified to be inhibited by NDRG1 in this study. Hence, the effect of NDRG1 on FAK is likely to be due to its effect on Src.

The activation of FAK signaling is initiated once the cell surface interacts with the ECM, resulting in the induction of FAK autophosphorylation at Tyr397 (Guan, 1997; Mitra and Schlaepfer, 2006). This leads to its binding with the SH2 domain of Src, which phosphorylates additional sites on FAK, leading to its full activation (Guan, 1997; Mitra and Schlaepfer, 2006). Paxillin is a cytoskeletal adaptor protein and is a major substrate of the FAK/Src complex and can also be phosphorylated at Tyr31 and Tyr118 (Deakin and Turner, 2008; Turner, 2000). Paxillin phosphorylation has long been associated with the coordinate formation of focal adhesions and stress fibers (Nakamura et al., 2000; Webb et al., 2004).

In the current investigation, NDRG1 over-expression significantly reduced the phosphorylation of FAK and paxillin, leading to marked inhibition of focal adhesions (**Fig. 3**). Moreover, the effects of NDRG1 on paxillin phosphorylation were found to be, at least in part, dependent on its inhibition of FAK phosphorylation. This was demonstrated by using the FAK inhibitor, PF-562271, as well as *FAK*-specific siRNA, both of which markedly reduced the oncogenic effects observed in the sh-NDRG1 HT29 and DU145 cells, including: (1) increased paxillin phosphorylation (**Figs. 4, 5**); (2) increased cell migration (**Fig. 6**); and (3) increased formation of focal adhesions (**Figs. 7, 8**).

It is also well known that FAK regulates cell adhesion/motility by mediating the phosphorylation of paxillin and p130Cas, as well as the activity of small GTPases

(RhoA, Cdc42 and Rac1) (Myers et al., 2012; Pirone et al., 2006). Recently, our laboratory has shown that NDRG1 inhibits the phosphorylation of p130Cas (Liu et al., 2015) and also the activity of ROCK/Rac1 (Sun et al., 2013b). Herein, we demonstrate that NDRG1 over-expression inhibits the phosphorylation of FAK and paxillin which are directly upstream of p130Cas and ROCK/Rac1 (Raftopoulou and Hall, 2004; Tsubouchi et al., 2002; Zouq et al., 2009). Hence, it is likely that NDRG1 inhibits p130Cas and ROCK/Rac1 through its effects on FAK/paxillin, leading to the inhibition of cell adhesion/motility.

Considering the marked inhibitory effect of NDRG1 on FAK/paxillin signaling, this metastasis suppressor may be a promising therapeutic target for the treatment of metastatic cancers. To this end, we further examined a novel class of potent and selective anti-cancer agents that significantly up-regulate NDRG1 expression in cancer cells, namely the thiosemicarbazones, Dp44mT and DpC (Kovacevic et al., 2011a; Le and Richardson, 2004; Lovejoy et al., 2012; Quach et al., 2012). These agents have been demonstrated to block the epithelial mesenchymal transition and cell metastasis (Chen et al., 2012; Liu et al., 2012; Lui et al., 2015; Sun et al., 2013b), largely due to their ability to increase NDRG1 expression, which occurs through HIF-1 $\alpha$ -dependent and -independent mechanisms (Lane et al., 2013; Le and Richardson, 2004). In addition, these agents are reported to inhibit tumor growth and metastasis *via* the oral and/or intravenous routes in different cancer xenograft models, and notably are able to overcome resistance to currently used chemotherapeutics

(Jansson et al., 2015b; Kovacevic et al., 2011a; Liu et al., 2012; Lovejoy et al., 2012; Wang et al., 2014; Whitnall et al., 2006). Hence, the ability of these agents to inhibit FAK/paxillin signaling was important to examine and may lead to more effective targeting of these pathways.

In the current study, we demonstrate that FAK/paxillin signaling was markedly suppressed upon treatment with both thiosemicarbazones, that also markedly up-regulated NDRG1. Further studies demonstrated that NDRG1-silencing induced a pronounced reduction in the inhibitory effects of Dp44mT and DpC on FAK and paxillin phosphorylation in DU145 prostate cancer cells. On the other hand, examining HT29 cells, the silencing of NDRG1 did not markedly perturb the activity of Dp44mT and DpC. However, this effect may be due to the marked increase of NDRG1 expression in response to these agents, which was not inhibited by *NDRG1* siRNA in these cells. It was notable that incubation with DFO, Dp44mT and DpC induced robust expression of NDRG1 in HT29 and DU145 cells, but the effects of these agents on p-FAK (Tyr397, Tyr576/577, and Tyr925) and p-paxillin (Tyr118) were different, particularly for DpC. This observation may indicate that different chelators could target multiple effectors, as demonstrated in previous reports (Kovacevic et al., 2016), and this could explain the differential activity.

It is of interest that FAK inhibitors, such as PF-562271, are currently being assessed in preclinical models and clinical trials (Golubovskaya, 2014). However, because the

FAK pathway is integrated with other oncogenic signaling pathways, a more effective therapeutic strategy could be the combination of specific FAK inhibitors with other agents which target associated pathways (Golubovskaya, 2014). To this end, the novel thiosemicarbazones examined in this study may potentially enhance the efficacy of current FAK inhibitors.

Overall, these results indicate that NDRG1 plays an important role in the anti-metastatic activity of Dp44mT and DpC. This is in agreement with earlier studies, which demonstrated that NDRG1 is important for the anti-tumor effects of these agents *in vitro* (Dixon et al., 2013; Kovacevic et al., 2016; Sun et al., 2013b) and for Dp44mT anti-metastatic activity *in vivo* (Liu et al., 2012). The current study further highlights the potential of these novel agents against metastatic cancers.

In summary, this investigation indicates that NDRG1 could inhibit tumor cell migration, cell-ECM attachment and focal adhesion formation through regulation of FAK/paxillin signaling (**Fig. 11**). Moreover, novel thiosemicarbazones could also suppress FAK/paxillin phosphorylation by, in part, the up-regulation of NDRG1 (**Fig. 11**). Hence, these new insights into the anti-metastatic effects of NDRG1, as well as the novel thiosemicarbazones, on FAK/paxillin phosphorylation could lead to promising new anti-cancer strategies.

### **CONFLICT OF INTEREST**

D. R. R. consults and is a stakeholder in the companies, Oncochel Therapeutics LLC and Pty. Ltd, which are developing DpC for the treatment of advanced and resistant solid tumors.

### **AUTHORSHIP CONTRIBUTIONS:**

Participated in research design: Wangpu, Yue, Zheng, and Richardson.

Conducted experiments: Wangpu, Lu, and Xi

Contributed new reagents or analytic tools: Wangpu, Park, Menezes, and Richardson.

Performed data analysis: Wangpu, Lu, Xi, Huang, Kovacevic, and Richardson.

Wrote or contributed to the writing of the manuscript: Wangpu, Zheng, Yue, Sahni, Kovacevic, and Richardson.



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## **FOOTNOTES**

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## **FIGURE LEGENDS**

**Figure 1. (A) Line drawings of the chemical structures of: Dp44mT; DpC; Bp2mT; and DFO. (B) NDRG1 inhibits cell migration in HT29 colorectal cancer cells and DU145 cells.** Representative images of cell migratory activity in transwell chambers of NDRG1 over-expressing (NDRG1) and silencing (sh-NDRG1) (i) HT29 and (ii) DU145 cells. Scale bars: 200  $\mu$ m. **(C) NDRG1 inhibits cell-collagen I adhesion in (i) HT29 and (ii) DU145 cells.** All data are shown as mean  $\pm$  S.D. (3-5 experiments). \*\* $p < 0.01$ ; \*\*\* $p < 0.001$ .

**Figure 2. NDRG1 expression inhibits phosphorylation of FAK and paxillin using: (A) HT29 and (B) DU145 cells.** Immunoblotting was conducted to examine NDRG1 expression, phosphorylation of FAK (Tyr397, Tyr567/7 and Tyr925), total FAK, phosphorylation of paxillin (Tyr118), and total paxillin using NDRG1 over-expressing and silencing (sh-NDRG1) models and their respective controls in both cell-types. Immunoblots shown are representative of three independent experiments. Densitometry for NDRG1 and total FAK/paxillin expression are expressed relative to the loading control,  $\beta$ -actin, while the phosphorylation levels for FAK and paxillin are displayed both relative to  $\beta$ -actin and as a ratio of their respective total protein levels, as shown on separate graphs. Densitometry data are shown as mean  $\pm$  S.D., relative to the respective vector control or sh-Control cells, as appropriate (3–5 experiments). \* $p < 0.05$ ; \*\* $p < 0.01$ ; \*\*\* $p < 0.001$ .

**Figure 3. (A) NDRG1 expression suppresses the formation of focal adhesions and stress fibers in both: (i) HT29 and (ii) DU145 cells.** Representative immunofluorescence images demonstrate the inhibitory effect of NDRG1 expression on p-paxillin (Tyr118; green) and F-actin (red; stained with rhodamine-phalloidin) levels and their co-localization in HT29 and DU145 cells. The cell nuclei (blue) were stained with DAPI. The presence of yellow staining upon the electronic merge indicates the co-localization of paxillin and stress fibers (see white arrows), showing the formation of focal adhesions on the cell surface. Scale bar: 10  $\mu$ m. Expression of: **(B)** p-paxillin and **(C)** F-actin was quantified by calculating the relative fluorescence density (*i.e.*, fluorescence intensity/area) value in NDRG1 overexpressing or silenced cells, compared to their respective controls. **(D)** The p-paxillin/F-actin co-localization intensity was calculated in HT29 and DU145 cells using Image J software. The histogram values in **(B - D)** are shown as mean  $\pm$  S.D. (3-5 images from different fields). \* $p$  < 0.05; \*\* $p$  < 0.01; \*\*\* $p$  < 0.001; relative to the respective control cells.

**Figure 4. Examination of the effect of the FAK phosphorylation inhibitor, PF-562271, on p-paxillin and total paxillin levels in HT29 and DU145 sh-Control and sh-NDRG1 cells.** The sh-Control and sh-NDRG1 **(A)** HT29 and **(B)** DU145 cells were incubated with the FAK phosphorylation inhibitor, PF-562271 (10  $\mu$ M; 24 h/37°C) and levels of p-FAK (Tyr397; Tyr576/7; Tyr925), total FAK, p-paxillin (Tyr118) and total paxillin were examined by immunoblot analysis. Immunoblots are

representative of three independent experiments. Densitometry for NDRG1 and total FAK and paxillin expression are expressed relative to the loading control,  $\beta$ -actin, while the phosphorylation levels for FAK and paxillin are displayed both relative to  $\beta$ -actin and as a ratio of their respective total protein levels, as shown on separate graphs. Densitometry data are shown as mean  $\pm$  S.D., relative to the untreated sh-Control or sh-NDRG1 cells, as appropriate.  $*p < 0.05$ ;  $**p < 0.01$ ;  $***p < 0.001$ .  $##p < 0.01$ ;  $###p < 0.001$ , relative to cells treated with PF-562271 in the sh-Control group.

**Figure 5. NDRG1 mediates paxillin phosphorylation via a FAK-dependent mechanism as demonstrated using FAK siRNA in HT29 and DU145 cells.** The sh-Control and sh-NDRG1 (A) HT29 and (B) DU145 cells were incubated with FAK-specific siRNA (si-FAK) or negative control siRNA (si-Con). Immunoblots shown are representative of three independent experiments. Densitometry for NDRG1 and total FAK/paxillin expression are expressed relative to the loading control,  $\beta$ -actin, while the phosphorylation levels for FAK and paxillin are displayed both relative to  $\beta$ -actin and as a ratio of their respective total protein levels, as shown on separate graphs. Densitometry data are shown as mean  $\pm$  S.D., relative to si-Con for both sh-NDRG1 and sh-Control cells (3–5 experiments).  $*p < 0.05$ ;  $**p < 0.01$ ;  $***p < 0.001$ .

**Figure 6. NDRG1 expression inhibits HT29 and DU145 (A, B) tumor cell**

**migration and (C, D) cell-collagen I adhesion via inhibition of FAK/paxillin signaling.** The HT29 and DU145 cells (*i.e.*, sh-Control & sh-NDRG1 clones) were incubated with either: **(A)** the FAK inhibitor, PF-562271 (10  $\mu$ M), or **(B)** si-FAK and displayed markedly inhibited migratory ability, relative to untreated or si-Con groups. **(C, D)** The inhibitory effect of: **(C)** the FAK phosphorylation inhibitor, PF-562271, or **(D)** si-FAK on cell-collagen I adhesion in both HT29 and DU145 cell-types (sh-Control & sh-NDRG1). All data are shown as mean  $\pm$  S.D. ( $n = 3$ ). Scale bars: 200  $\mu$ m.  $**p < 0.01$ ;  $***p < 0.001$ .

**Figure 7. (A) The FAK phosphorylation inhibitor, PF-562271, inhibits the formation of focal adhesions in both: (i) HT29 and (ii) DU145 cells.** Representative immunofluorescence images demonstrate the effect of PF-562271 on p-paxillin (Tyr118; green) and F-actin (red; stained with rhodamine-phalloidin) levels and their co-localization (yellow) in HT29 and DU145 cells (*i.e.*, sh-Control and sh-NDRG1 cells). Cell nuclei (blue) were stained with DAPI. The yellow color after the electronic merge indicates co-localization of paxillin and stress fibers (see white arrows), indicating the formation of focal adhesions. Scale bar: 20  $\mu$ m. Histograms show the relative fluorescence density for both: **(B)** p-paxillin and **(C)** F-actin, as well as **(D)** the co-localization intensity of p-paxillin and F-actin. The histogram values in **(B-D)** are shown as mean  $\pm$  S.D. (3-5 images from different fields).  $*p < 0.05$ ;  $**p < 0.01$ ;  $***p < 0.001$ , relative to the respective control cells.

**Figure 8. (A) The inhibitory effect of *FAK* siRNA on the formation of focal adhesions in both: (i) HT29 and (ii) DU145 cells.** (A) Representative immunofluorescence images indicate the effect of *FAK*-specific siRNA on p-paxillin (Tyr118; green) and F-actin (red; stained with rhodamine-phalloidin) levels and their co-localization in HT29 and DU145 cells (*i.e.*, sh-Control and sh-NDRG1 cells). The cell nuclei (blue) were stained with DAPI. The yellow color in the merge indicates co-localization of paxillin and stress fibers (see white arrows), showing the formation of focal adhesions. Scale bar: 20  $\mu$ m. Histograms show the relative fluorescence density for: (B) p-paxillin and (C) F-actin, as well as (D) the co-localization intensity of p-paxillin and F-actin. The histogram values in (B-D) are shown as mean  $\pm$  S.D. (3-5 images from different fields). \* $p < 0.05$ ; \*\* $p < 0.01$ ; \*\*\* $p < 0.001$ ; relative to the respective control cells.

**Figure 9. Di-2-pyridylketone thiosemicarbazones (*i.e.*, Dp44mT and DpC) markedly up-regulate NDRG1 and decrease *FAK*/paxillin phosphorylation levels in both (A) HT29 and (B) DU145 cells.** The HT29 and DU145 cells were incubated with control medium containing 0.05% of DMSO (Control), Bp2mT (5  $\mu$ M), DFO (250  $\mu$ M), Dp44mT (5  $\mu$ M), or DpC (5  $\mu$ M) for 24 h/37°C and NDRG1 expression as well as phosphorylation levels of *FAK* and paxillin were detected by immunoblot analysis. Bp2mT (5  $\mu$ M) has close structural similarity to Dp44mT and DpC, but cannot bind metal ions. Hence, it is a negative control. Cells stably overexpressing NDRG1 were used as a positive control for the effects of increasing NDRG1 levels

(labeled as “NDRG1”). Densitometry for NDRG1 and total FAK and paxillin levels are expressed relative to the loading control,  $\beta$ -actin, while the phosphorylation levels for FAK and paxillin are displayed as the ratio of the phosphorylated compared to the total proteins. Densitometry is shown as mean  $\pm$  S.D. (3-5 experiments).  $**p < 0.01$  and  $***p < 0.001$ , relative to the Control.

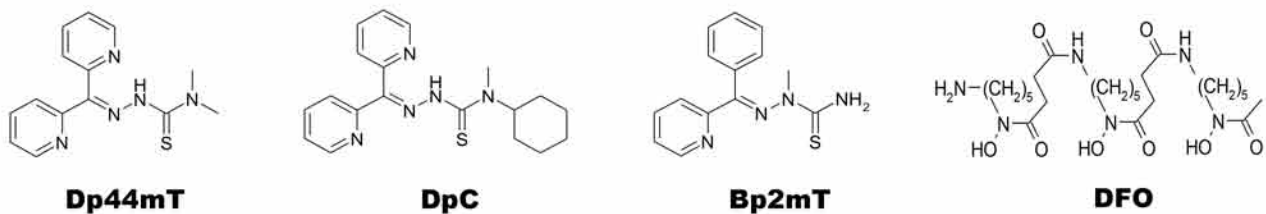
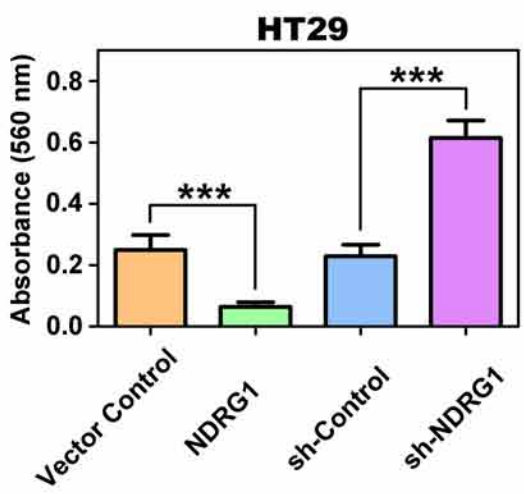
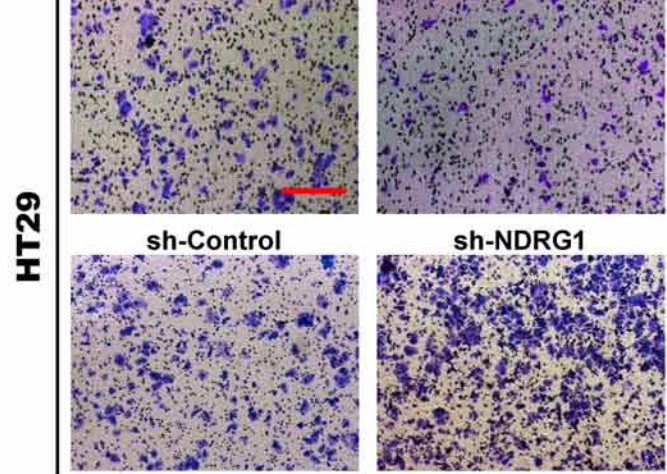
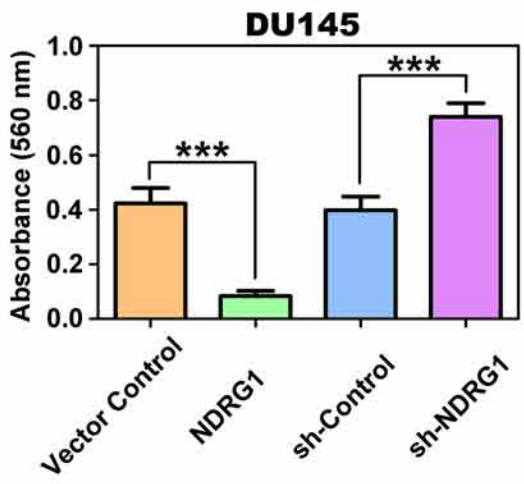
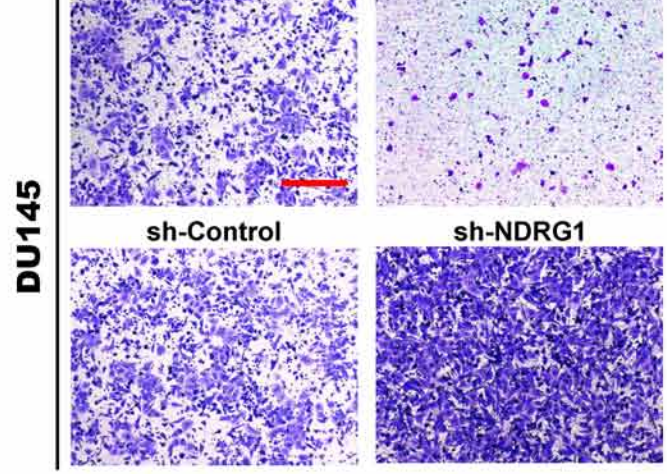
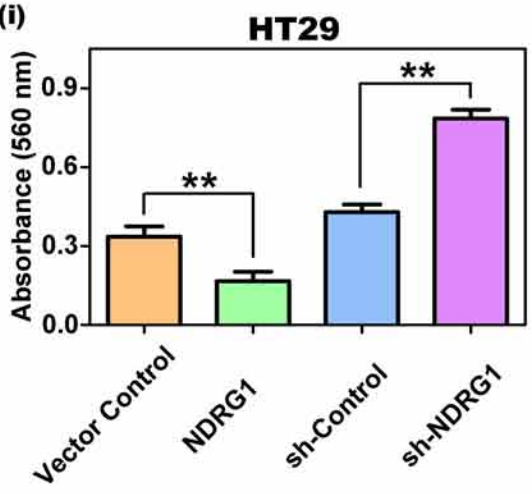
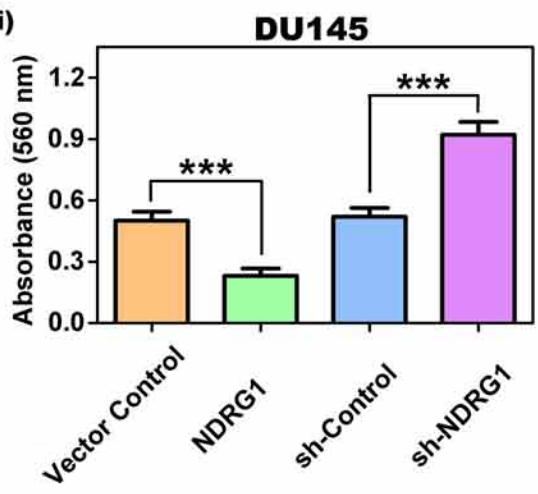
**Figure 10. Di-2-pyridylketone thiosemicarbazones (*i.e.*, Dp44mT and DpC) markedly up-regulate NDRG1 and decrease FAK/paxillin phosphorylation levels in (A) HT29 and (B) DU145.** Both the NDRG1-silencing clones (sh-NDRG1) and their respective sh-Control HT29 and DU145 cells were incubated with: control medium containing 0.05% of DMSO (Control), Bp2mT (5  $\mu$ M), DFO (250  $\mu$ M), Dp44mT (5  $\mu$ M), or DpC (5  $\mu$ M) for 24 h/37°C. Immunoblotting was then used to detect the levels of p-FAK (Tyr397; Tyr576/7; Tyr925), total FAK, p-paxillin (Tyr118) and total paxillin in response to these agents in sh-Control and sh-NDRG1 cells. Densitometry for NDRG1 and total FAK and paxillin levels are expressed relative to the loading control,  $\beta$ -actin, while the phosphorylation levels for FAK and paxillin are displayed as the ratio of the phosphorylated compared to the total proteins. Densitometry is shown as mean  $\pm$  S.D. (3-5 experiments).  $*p < 0.05$ ;  $**p < 0.01$ ;  $***p < 0.001$ , relative to Control cells.  $\#p < 0.05$ ;  $\##p < 0.01$ ;  $\###p < 0.001$ , relative to cells incubated with the same treatment in the sh-Control group.

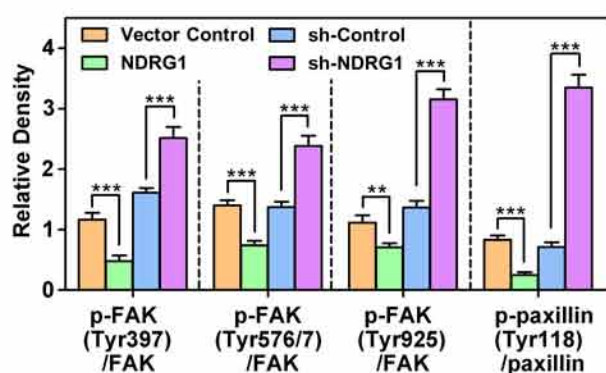
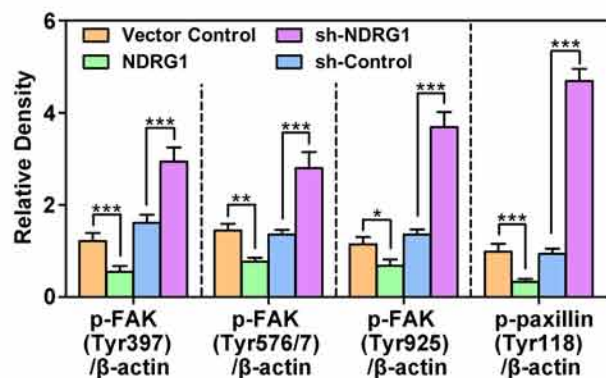
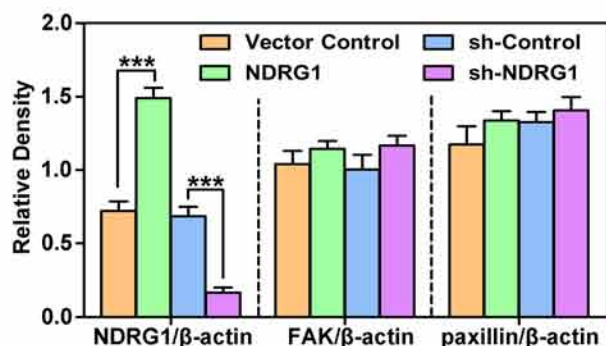
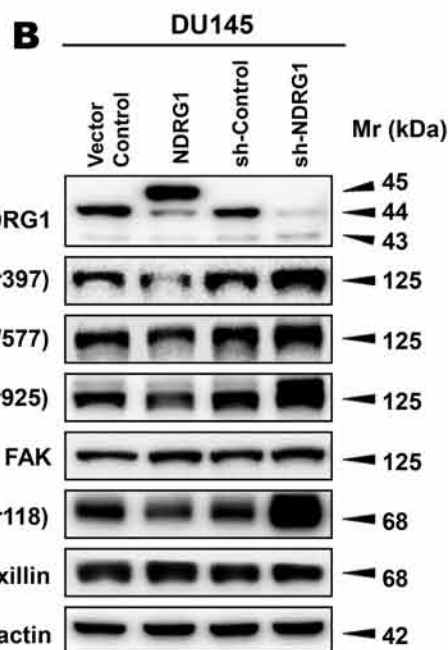
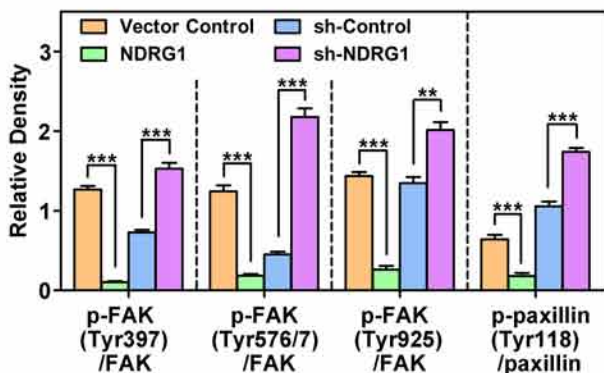
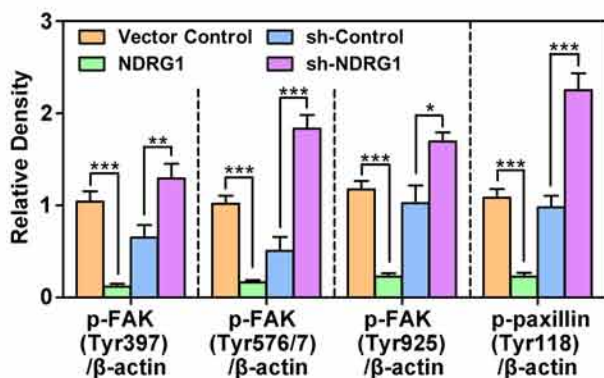
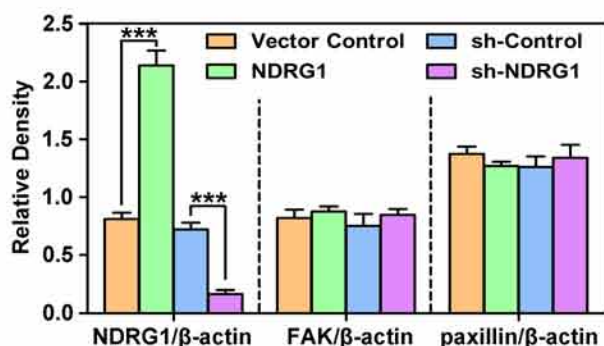
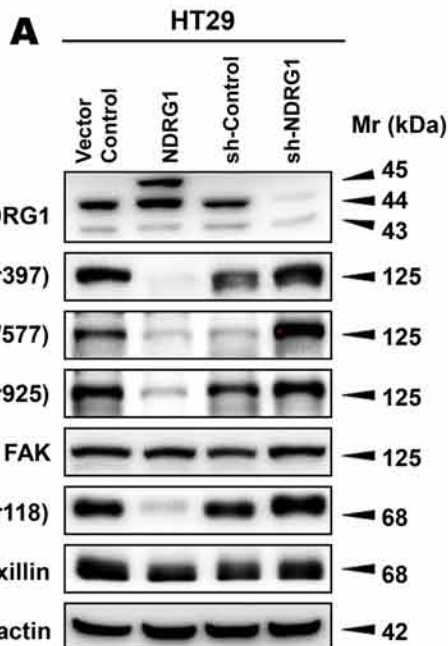
**Figure 11. Schematic illustration summarizing the inhibitory effect of NDRG1 on**

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**cell migration, cell-ECM attachment and focal adhesion formation. The di-2-pyridylketone thiosemicarbazones, namely Dp44mT and DpC, inhibit FAK/paxillin phosphorylation, at least in part, *via* their ability to up-regulate NDRG1.**

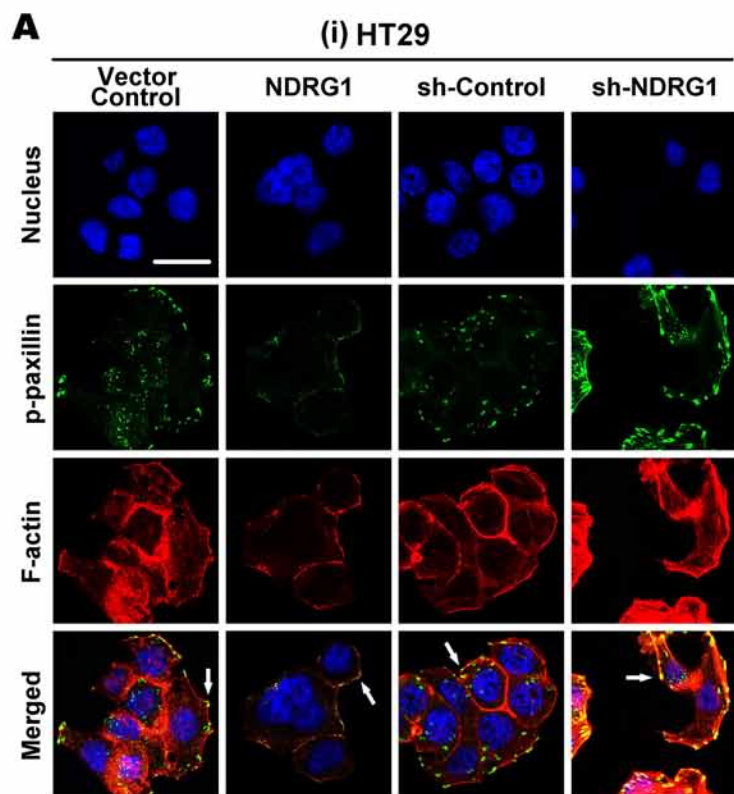


**A****B (i)****(ii)****C (i)****(ii)****Figure 1**



**Figure 2**





(ii) DU145

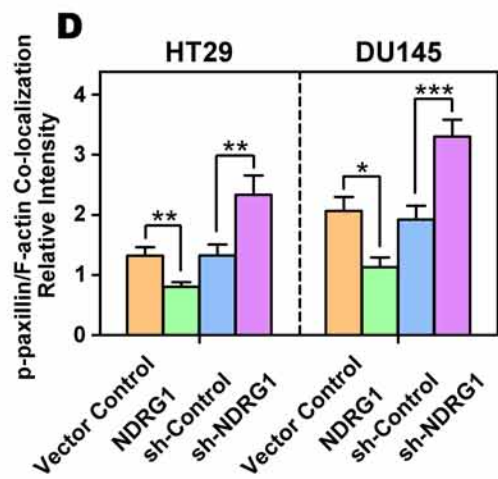
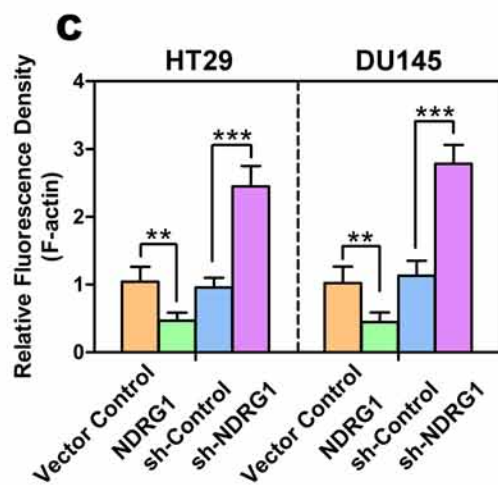
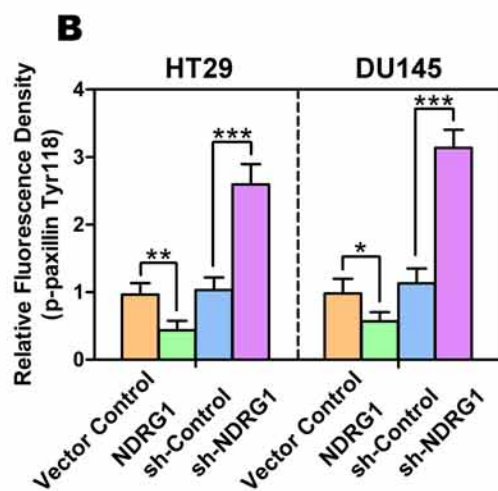
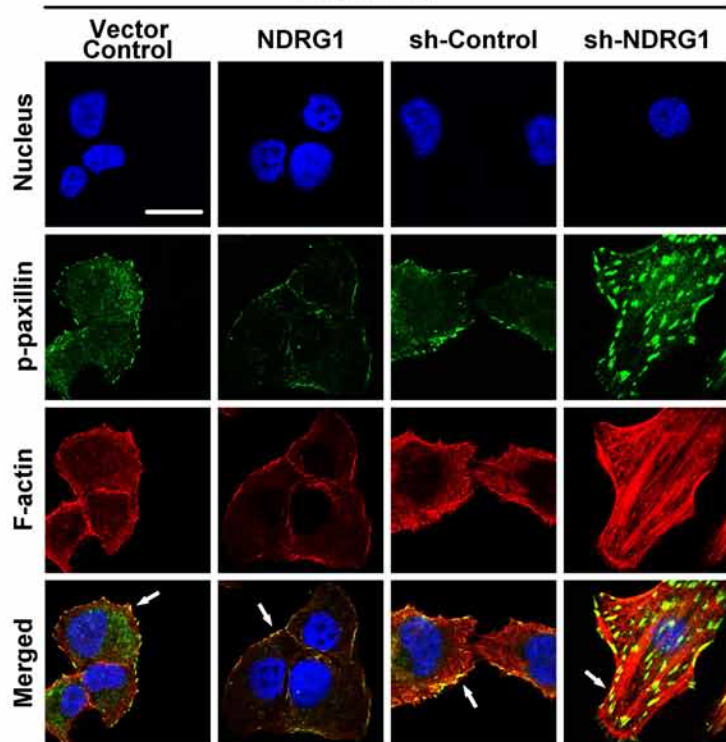
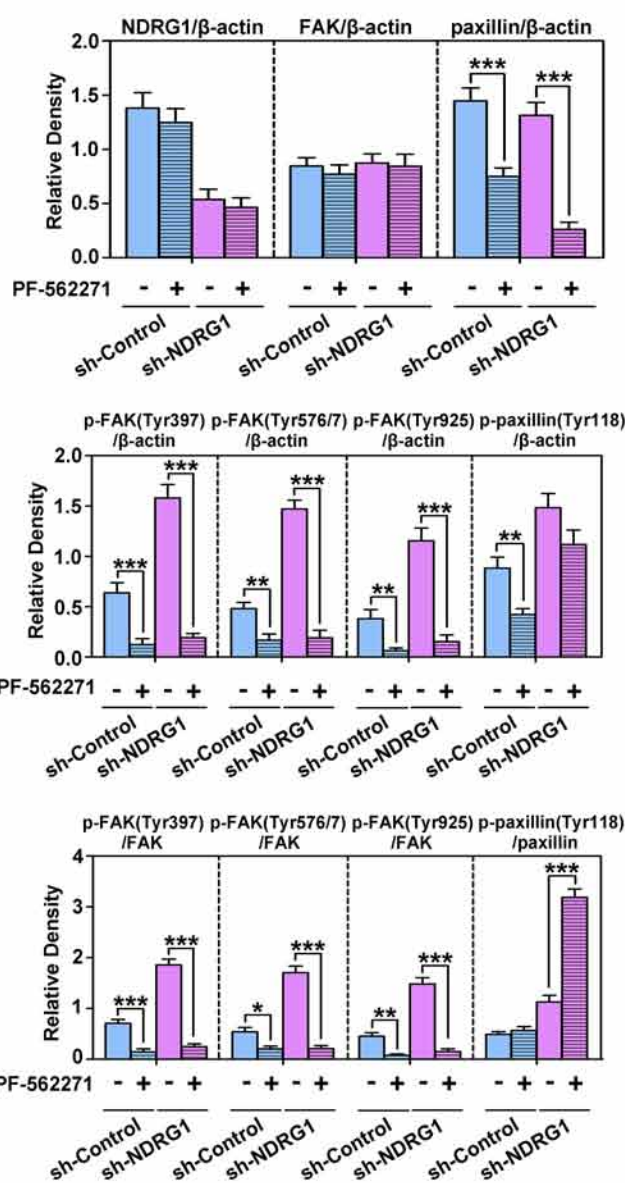
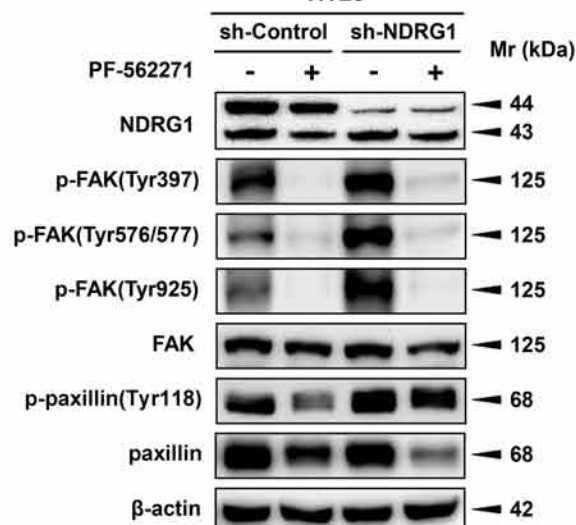


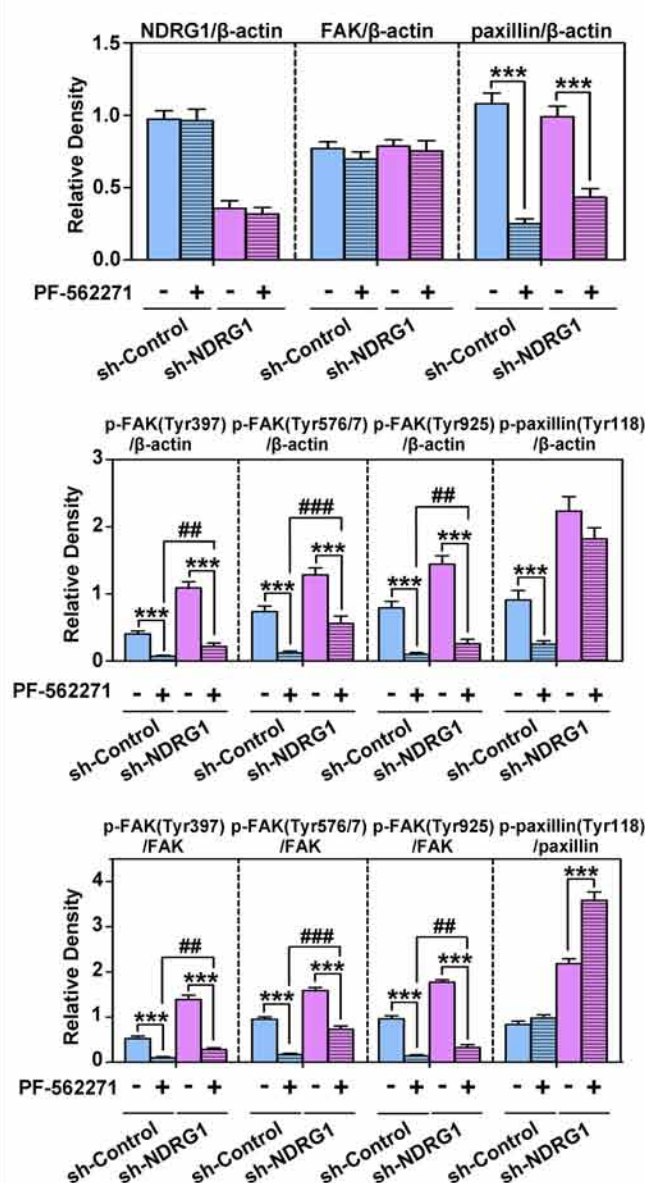
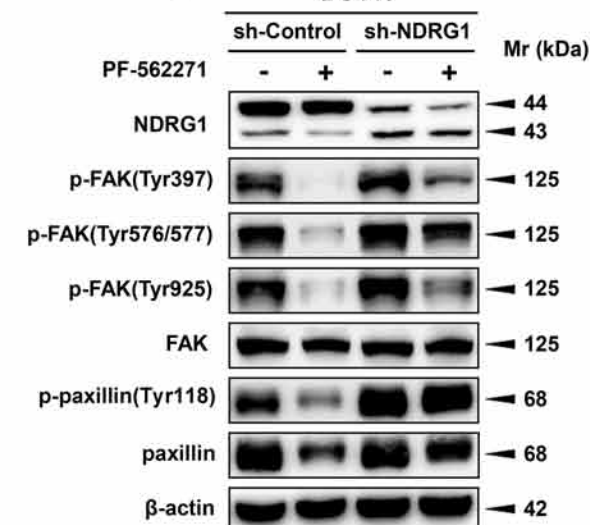
Figure 3

**A**

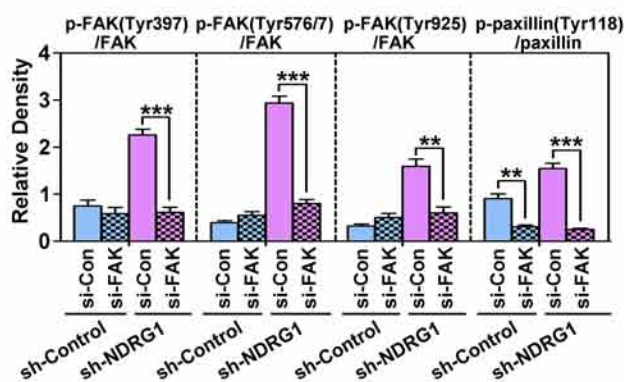
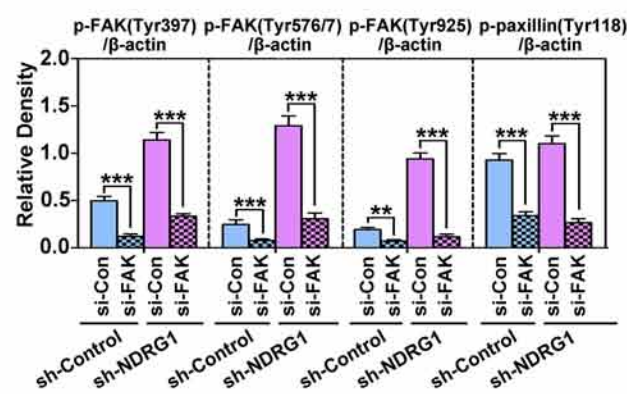
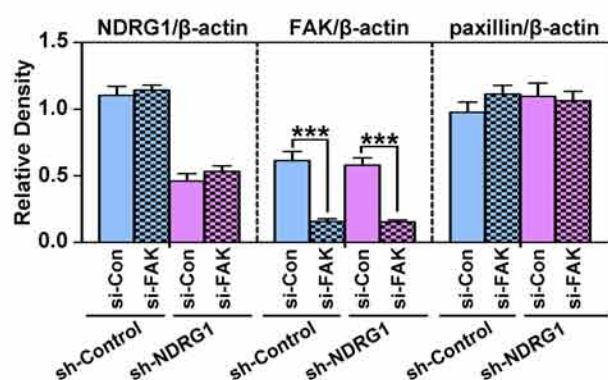
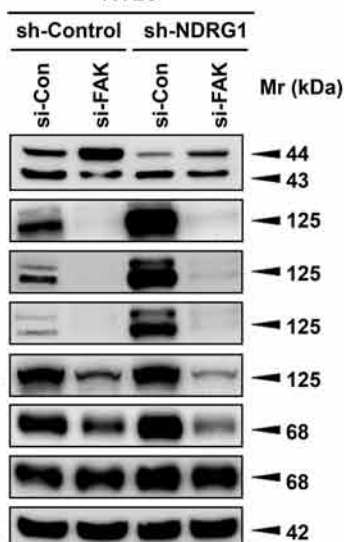
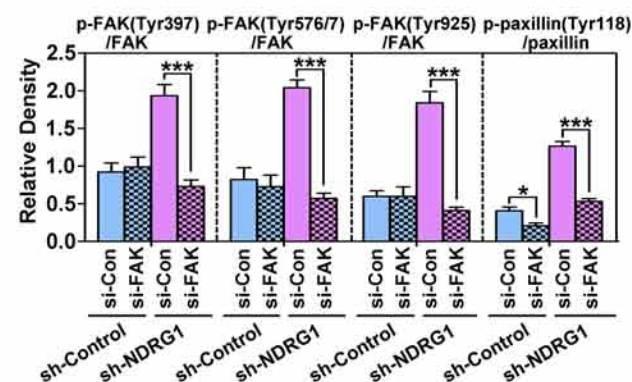
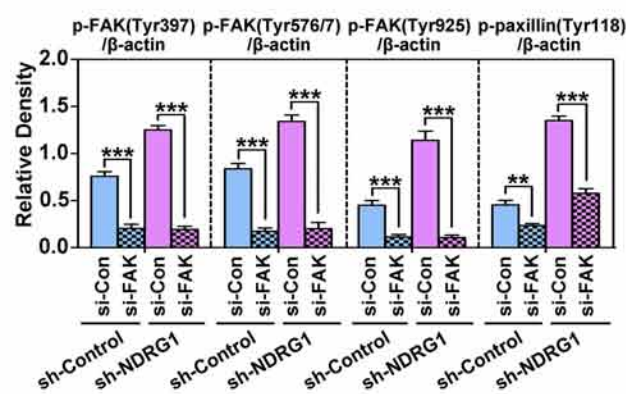
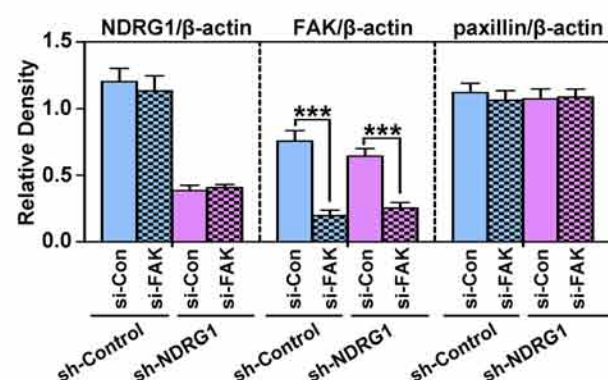
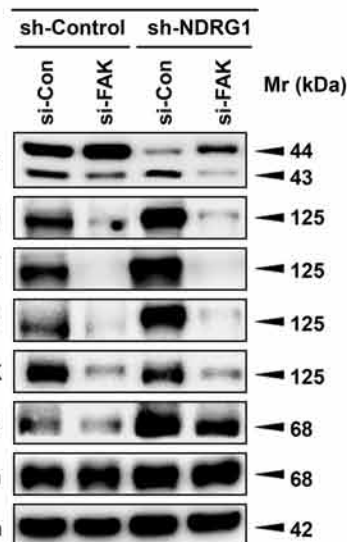
HT29

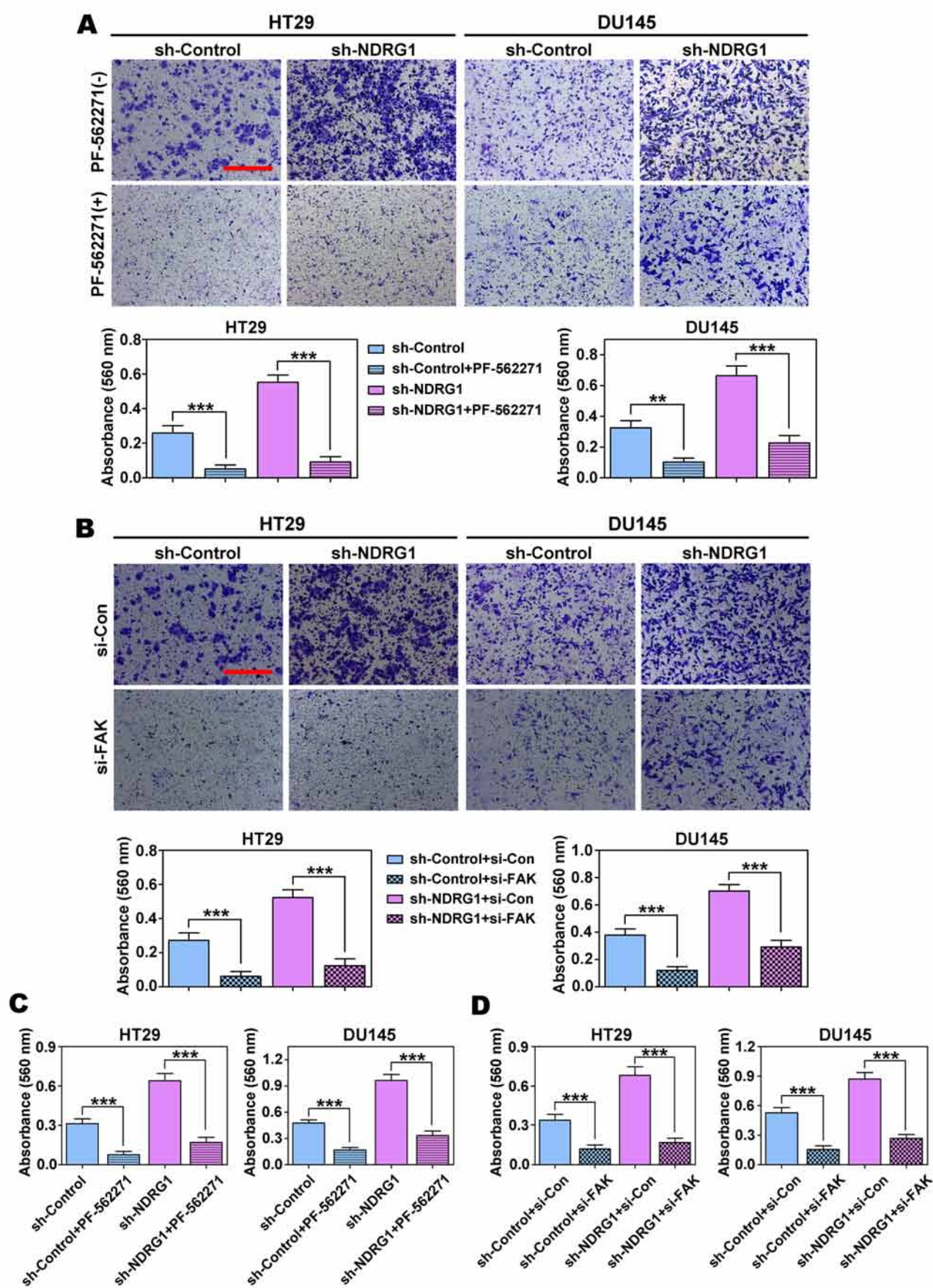
**B**

DU145

**Figure 4**



**A****HT29****B****DU145****Figure 5**



**Figure 6**



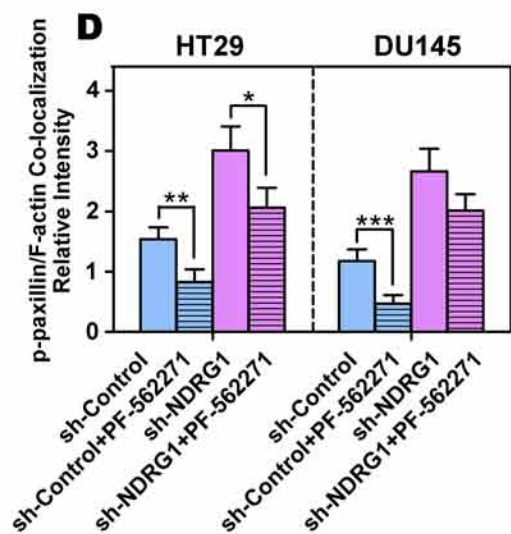
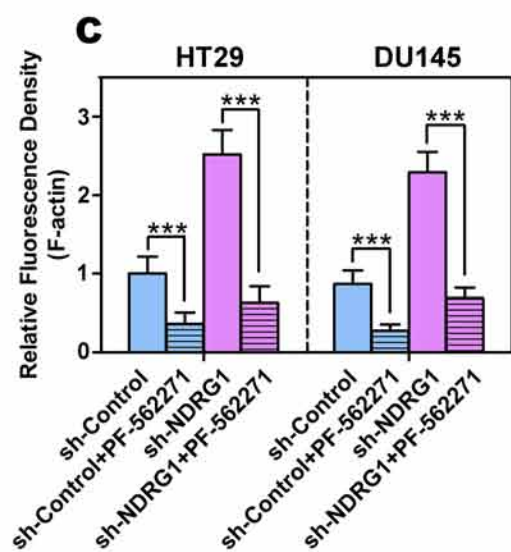
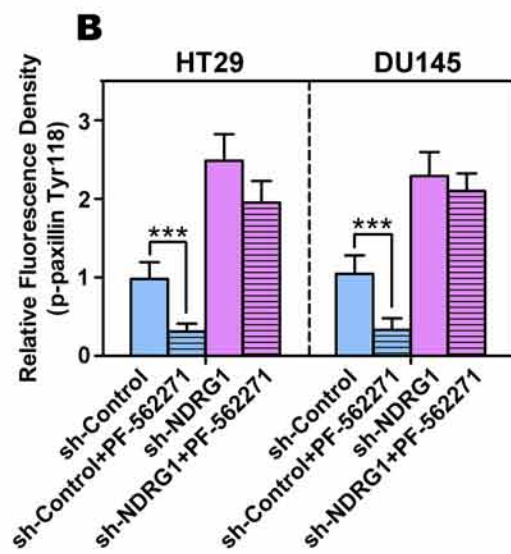
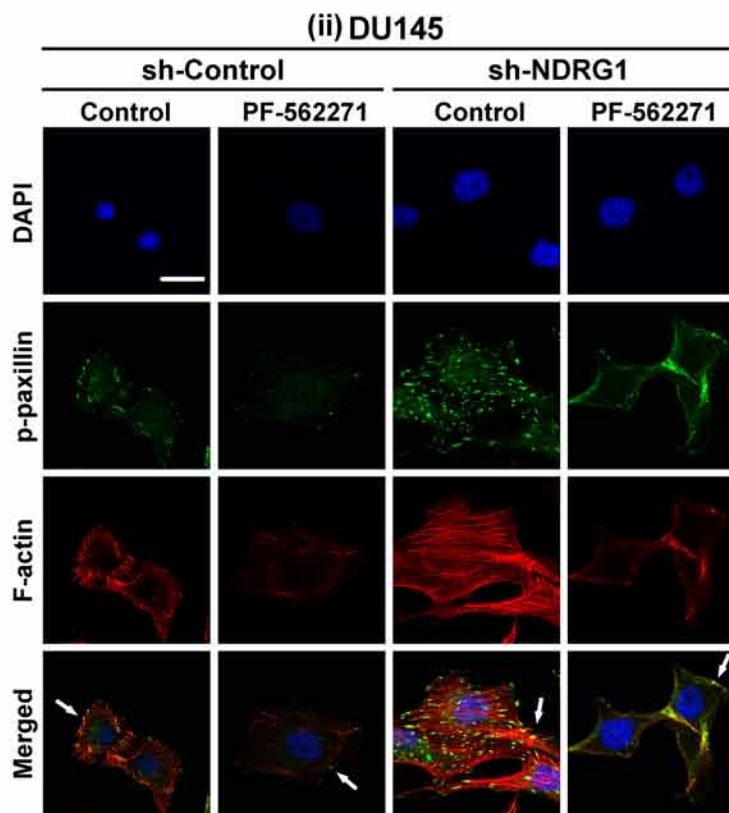
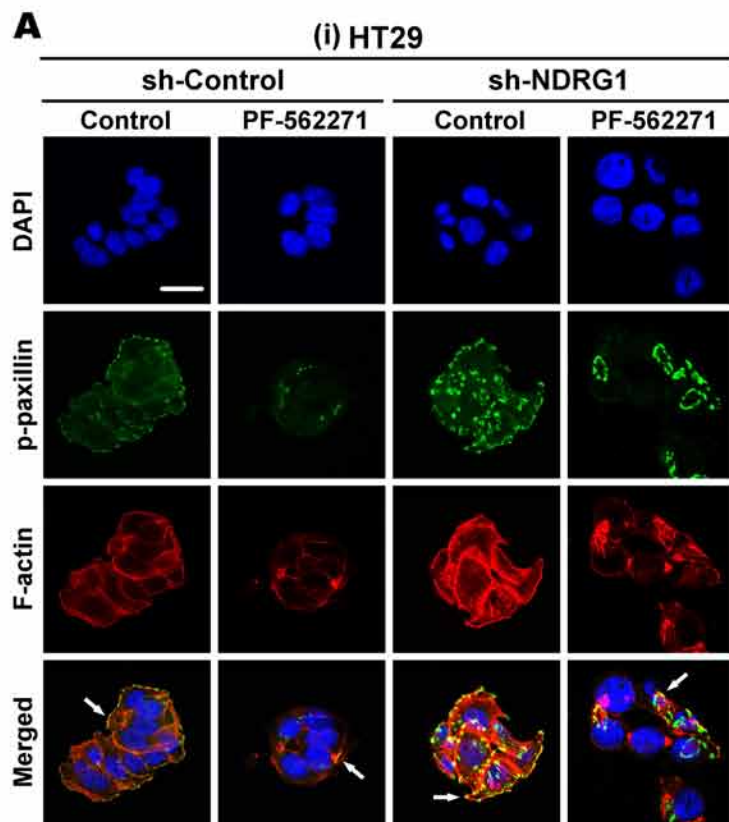
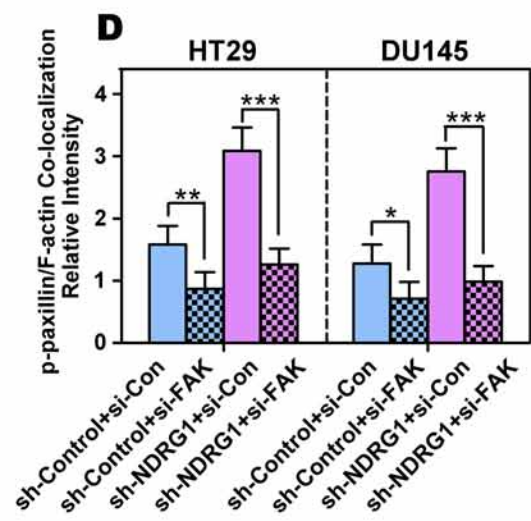
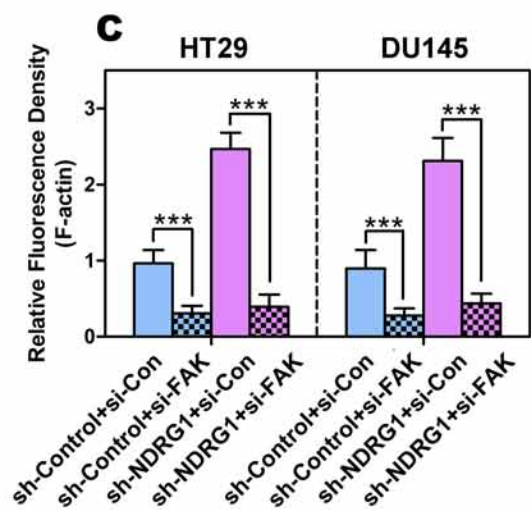
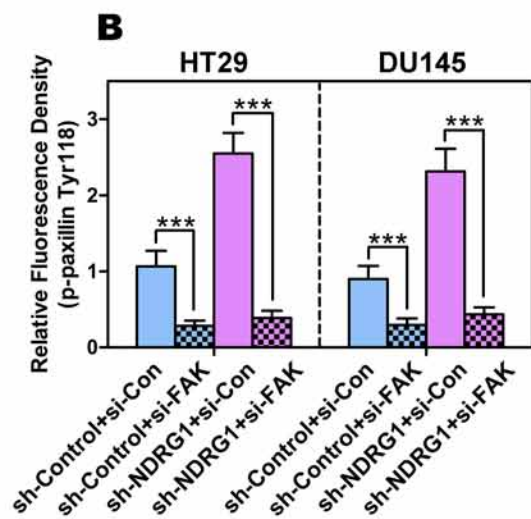
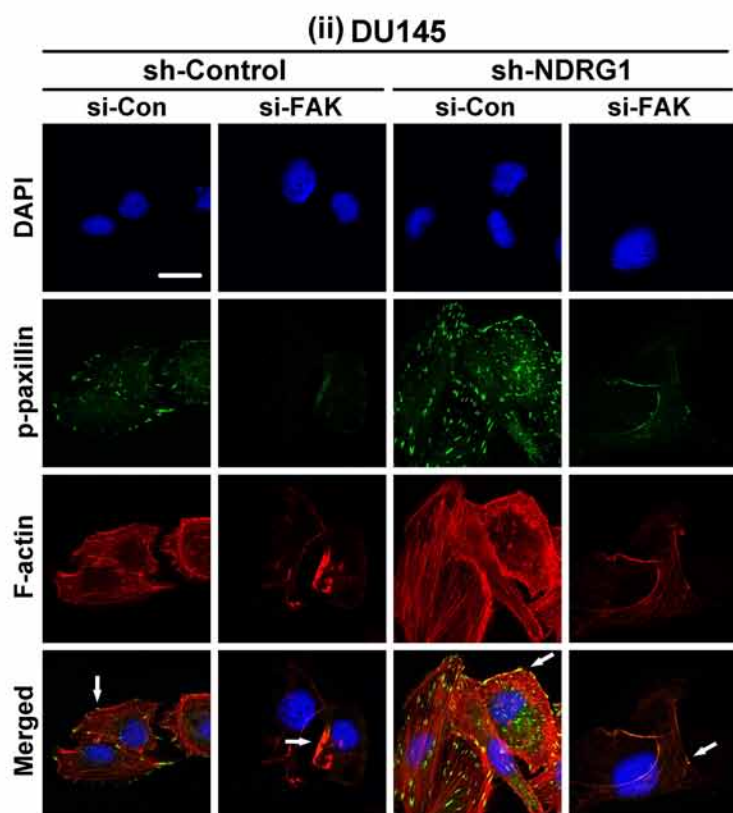
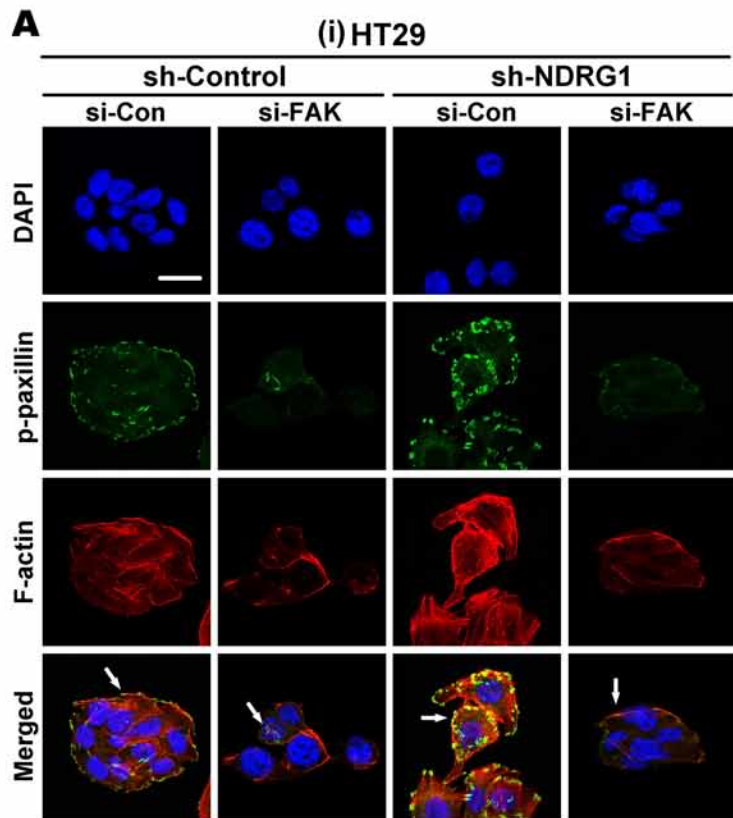
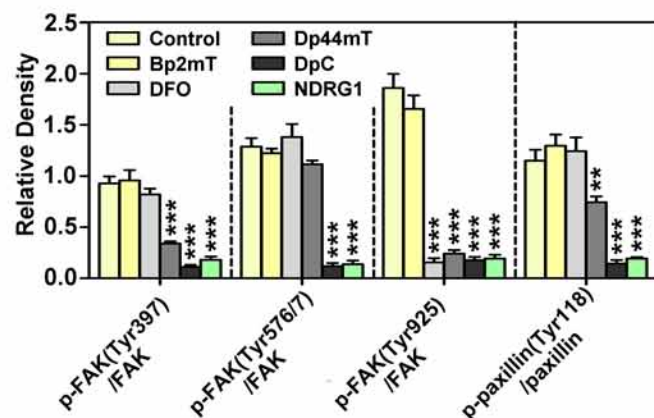
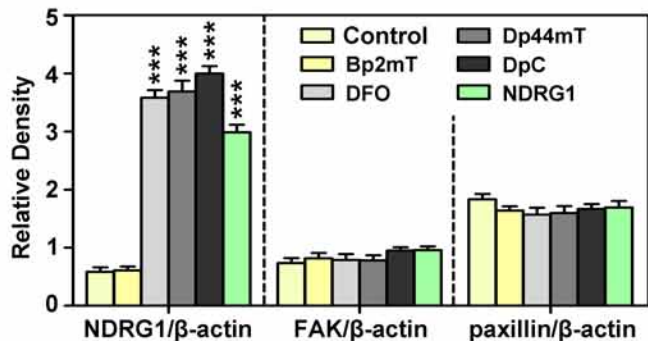
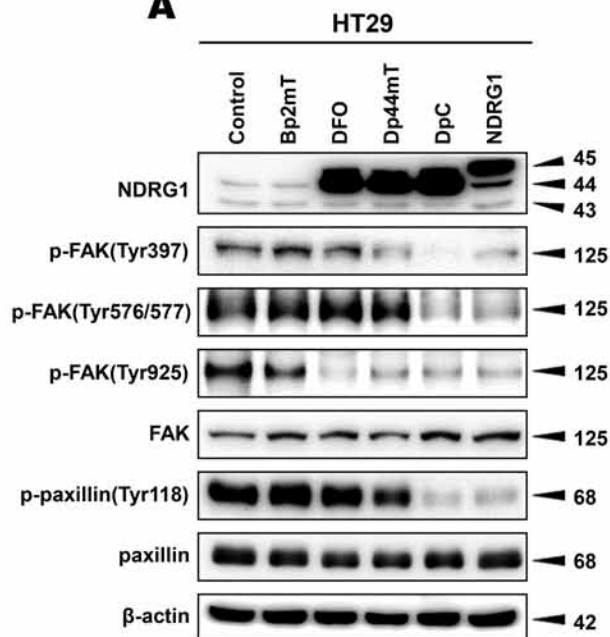
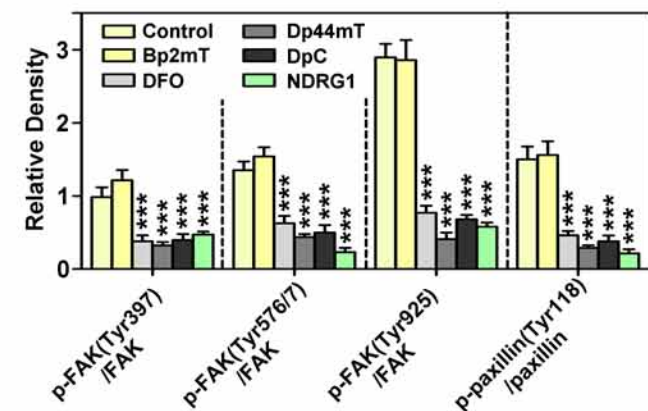
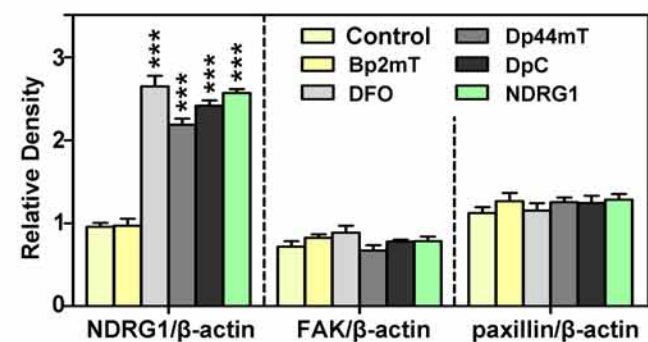
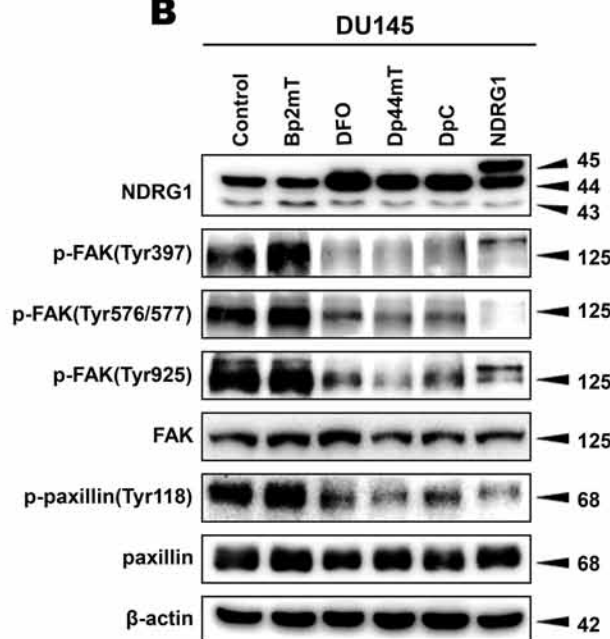


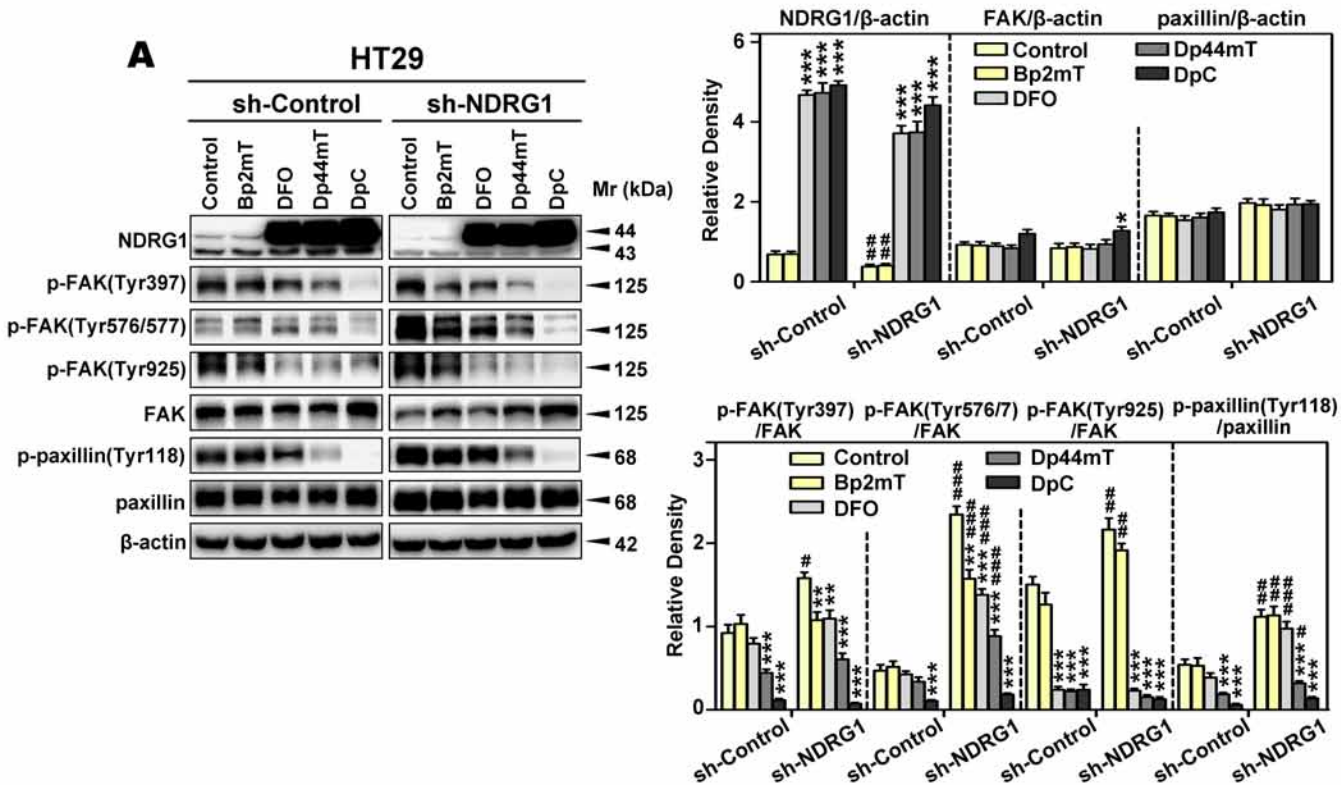
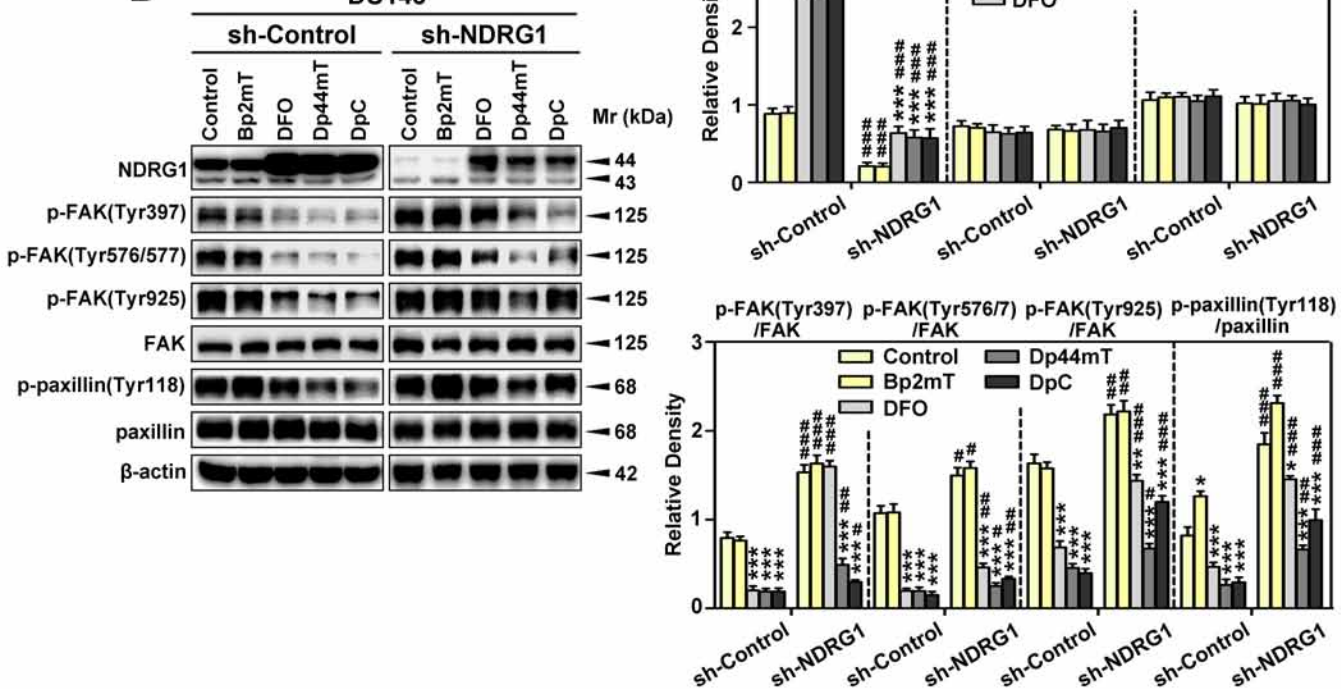
Figure 7

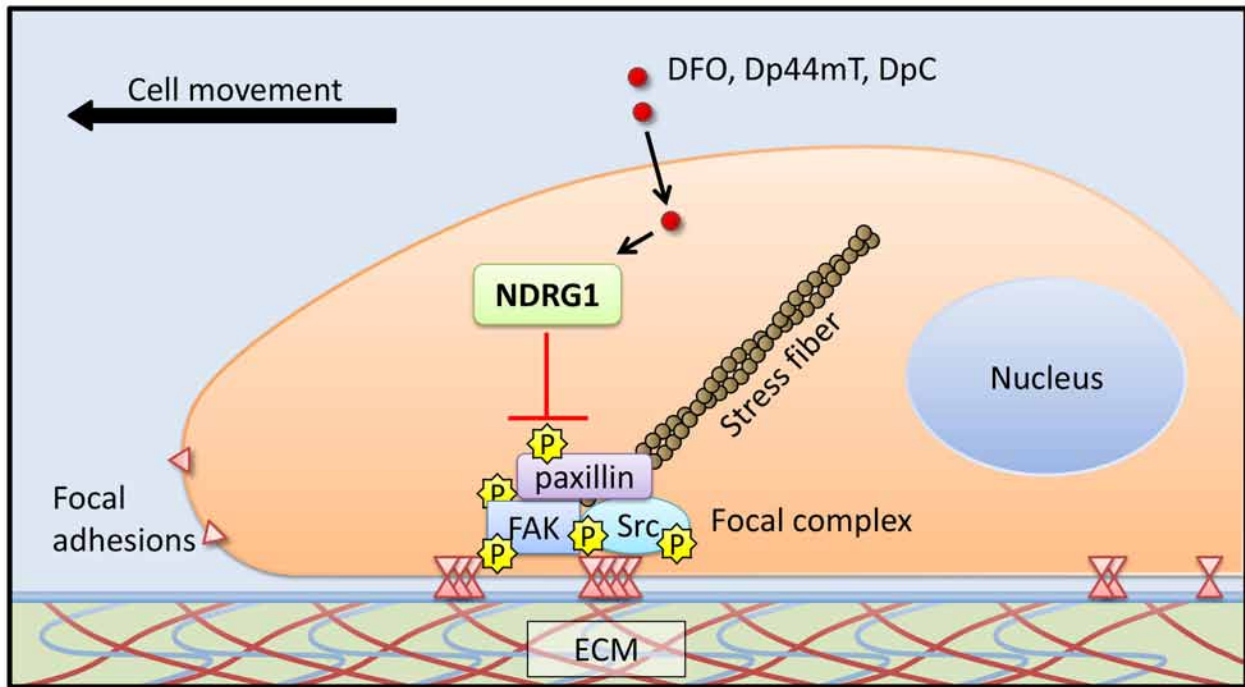


**Figure 8**



**A****B****Figure 9**

**A****HT29****B****DU145****Figure 10**



**Figure 11**