1. Title Page

Drug-drug interaction between metformin and sorafenib alters antitumor effect in hepatocellular carcinoma cells

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2. Running title Page

a) Running title: Metformin and sorafenib in hepatocellular carcinoma

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c) Number of pages: 44
   Number of tables: 2
   Number of figures: 6
   Number of references: 68
   Number of words in abstract: 215
   Number of words in introduction: 511
   Number of words in discussion: 1486

d) List of abbreviations: ADAM8: ADAM metallopeptidase domain 8; AMPK: AMP-activated protein kinase; CCL20: C-C motif chemokine ligand 20; CEACAM1: carcinoembryonic antigen-related cell adhesion molecule 1; FGF2: fibroblast growth factor 2; GPCR: G-proteins coupled receptor; HCC, hepatocellular carcinoma; IQR: interquartile range; MASH: metabolic-associated steatohepatitis; MAPK: mitogen-activated protein kinase; MTT: 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide; OATP, organic anion-transporting polypeptide; RTK, receptor tyrosine kinase; T2D: type 2 diabetes.
3. Abstract

Hepatocellular carcinoma (HCC) is the most common primary liver malignancy and is one of the leading causes of cancer-related deaths worldwide. The multi-target inhibitor sorafenib is a first-line treatment for patients with advanced unresectable HCC. Recent clinical studies have evidenced that patients treated with sorafenib together with the anti-diabetic drug metformin have a survival disadvantage compared to patients receiving sorafenib only. Here, we examined whether a clinically relevant dose of metformin (50 mg/kg/d) could influence the antitumoral effects of sorafenib (15 mg/kg/d) in a subcutaneous xenograft model of human HCC growth using two different sequences of administration, i.e concomitant versus sequential dosing regimens. We observed that the administration of metformin six hours prior to sorafenib was significantly less effective in inhibiting tumor growth (15.4% tumor growth inhibition) than concomitant administration of the two drugs (59.5% tumor growth inhibition). *In vitro* experiments confirmed that pretreatment of different human HCC cell lines with metformin reduced the effects of sorafenib on cell viability, proliferation and signaling. Transcriptomic analysis confirmed significant differences between xenografted tumors obtained under the concomitant and the sequential dosing regimens. Taken together, these observations call into question the benefit of parallel use of metformin and sorafenib in patients with advanced HCC and diabetes, as the interaction between the two drugs could ultimately compromise patient survival.
4. Significance statement

When drugs are administrated sequentially, metformin alters the anti-tumor effect of sorafenib, the reference treatment for advanced hepatocellular carcinoma, in a preclinical murine xenograft model of liver cancer progression as well as in hepatic cancer cell lines. Defective activation of the AMPK pathway as well as major transcriptomic changes are associated with the loss of the anti-tumor effect. These results echo recent clinical work reporting a poorer prognosis for patients with liver cancer who were co-treated with metformin and sorafenib.
5. Introduction

Primary liver cancer ranks at the sixth and fourth positions in terms of incidence and mortality, respectively and hepatocellular carcinoma (HCC) accounts for 90% of cases (Ferlay et al., 2019). Treatment options for HCC are limited and outcomes remain poor, especially for unresectable advanced tumors. The multi-target inhibitors sorafenib and lenvatinib have been approved as first-line treatments for patients with advanced HCC. These therapies have demonstrated significant but modest effects on overall survival (Yarchoan et al., 2019).

These last years, the etiological and epidemiological landscape of HCC has undergone significant changes. While chronic viral hepatitis B and C and massive alcohol consumption have been the major etiological factors for decades, the worldwide epidemic of obesity and type 2 diabetes (T2D) has revealed that these metabolic diseases are involved in the pathogenesis of HCC, due to their ability to induce metabolic-associated steatohepatitis (MASH). MASH is becoming the leading etiology underlying many cases of HCC, especially in industrialized countries (Anstee et al., 2019; Younossi et al., 2019).

Metformin, a widely used oral biguanide for T2D treatment, has been associated with a lower risk of HCC among diabetic patients (Cunha et al., 2020; Zhou et al., 2016) and with increased survival among HCC patients treated with surgery (Schulte et al., 2019). However, recent clinical studies have raised doubt about the efficacy of metformin and sorafenib administration in diabetic patients with advanced HCC. Indeed, it has been reported that patients treated with sorafenib had a survival disadvantage when they were treated with metformin, their overall survival being 4-5 months shorter compared to patients receiving sorafenib only (Casadei
Gardini et al., 2017; Casadei Gardini et al., 2015; Schulte et al., 2019). Conversely, patients with HCC receiving insulin treatment showed a better response to sorafenib and longer survival (Casadei Gardini et al., 2017).

Using murine experimental models, it has been reported that the concomitant administration of metformin with sorafenib (30 mg/kg/d) was more efficient than monotherapies to inhibit growth and metastatic dissemination of orthotopically engrafted MHCC97H cells (Guo et al., 2016; You et al., 2016). The metformin and sorafenib combination also led to growth inhibition of subcutaneously xenografted Bel-7402 cells compared to single agent (Ling et al., 2017). However, it is important to note that these studies were conducted with a high dose of metformin (200 mg/kg/d), which is not consistent with the therapeutic doses achievable in diabetic patients (33-42 mg/kg/d). In addition, drugs were administrated according to a single regimen, i.e. concomitant administration. Recently, Karbownik and colleagues (Karbownik et al., 2020) reported that the co-administration of metformin (100 mg/kg) and sorafenib (100 mg/kg) to rats increased the clearance of sorafenib, resulting in a lower half-life of sorafenib. This study points a potential pharmacokinetic interaction between metformin and sorafenib.

The present study was designed to examine whether a clinically relevant dose of metformin (50 mg/kg/d) has antitumoral effects when administrated with sorafenib (15 mg/kg/d) according to two different sequences, i.e. concomitant versus sequential. These experiments were conducted in a subcutaneous xenograft model of human HCC growth as well as in a panel of human HCC cell lines.
6. Materials and methods

Pharmacological drugs. Sorafenib (p-toluene sulfonate salt) was purchased from LC Laboratories (Woburn, MA, USA), and metformin was from Sigma-Aldrich (Saint-Quentin Fallavier, France). For in vitro studies, sorafenib and metformin were dissolved in dimethylsulfoxide (Sigma-Aldrich Chemie S.a.r.l., Saint-Quentin Fallavier, France) and serum-free medium, respectively. For in vivo studies, sorafenib and metformin were dissolved in Cremophor EL/ethanol/water (12.5%:12.5%:75%, Sigma-Aldrich) and sterile water, respectively. AICAR (N1-β-DRibofuranosyl)-5-aminoimidazole-4-carboxamide) was from Tocris Bioscience (Bio-Techne Europe, Lille, France).

Xenografts. All in vivo experiments were approved by Charles Darwin Ethics Committee and French Ministry of Higher Education and Research under protocol number 01350.02.

Six week-old female athymic mice (Rj:NMRI-Foxn1nu/Foxn1nu, Janvier Labs, Le Genest-Saint-Isle, France) were inoculated s.c. in the right flank with 2 x 10^6 PLC/PRF5 cells suspended in 50% Matrigel (BD Biosciences, San Jose, CA). Mice were treated by gavage with vehicles (control), sorafenib alone (15 mg/kg/day), metformin alone (50 mg/kg/day), metformin combined to sorafenib (concomitant schedule) and metformin followed 6 h later by sorafenib (sequential schedule). Mice were randomly assigned to the different experimental groups. In the first set of experiments designed to evaluate the preventing effect of metformin on tumor growth, metformin (n=7) and vehicle (n=7) administrations were initiated four days before HCC cell grafts and maintained during the next 15 days. In the second set of
experiments designed to evaluate the metformin/sorafenib combination, sample sizes were selected before any data had been obtained and were unequal. The control group was selected as the largest one (n=19). The sizes of metformin, concomitant and sequential groups were equivalent (n=12-14). The sorafenib group was chosen as the smaller one (n=10) due to low variability in tumor growth response (Blivet-Van Eggelpoel et al., 2012). Tumor size was measured thrice a week using a hand caliper and tumor volume was calculated using the formula: length x (width)^2 x 0.52. Tumor volume measurements were not blinded, but were carried out by the same person. Mice were weighed thrice a week to follow drug toxicity. Weight loss greater than 15% was considered as a sign of toxicity. After 15 days, mice were anesthetized and tumors were excised, weighed, flash frozen in liquid nitrogen and stored at -80°C for further analyses. We observed a strong correlation between tumor volumes and weights (data not shown).

**Plasma concentrations of metformin and sorafenib.** Plasmas were prepared from blood collected by cardiac puncture. Plasma concentrations of sorafenib were determined 2 h and 6 h post-administration by gavage using a previously described high-performance liquid chromatography method. The accuracy, within-assay and between assay precision of this method were 96.9–104.0%, 3.4–6.2% and 7.6–9.9%, respectively (Blanchet et al., 2009). Plasma concentrations of metformin were determined 2 h and 4 h post-administration by gavage using a modified ultra high-pressure liquid chromatography assay with UV DAD (diode array detector) as previously described (Bardin et al., 2012). The calibration curve for metformin was linear within the range of 0.15–20.0 mg/L. Based on quality control samples, the accuracy, within-assay and between assay precision were less than 10% of the
entire range of quantification. The accuracy of our method was ensured by our participation in the metformin proficiency testing scheme provided by the « Société Française de Toxicologie Analytique ».

**Cell culture and treatments.** HepG2, Hep3B, and Huh7 cells were obtained from the American Type Culture Collection (ATCC). PLC/PRF5 were provided by Dr Christine Perret (Institut Cochin, France). Cell line authentication was performed by using a panel of nine short tandem repeats as previously reported (Goumard et al., 2017). Cell lines were cultured as reported elsewhere (Blivet-Van Eggelpoel et al., 2012) and routinely controlled for mycoplasma contamination. Human hepatocytes in primary culture were obtained as reported elsewhere (Aoudjehane et al., 2016).

**Cell viability and proliferation.** Cell viability was evaluated using the 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide assay (MTT assay) as previously reported (Desbois -Mouthon et al., 2009). Cell proliferation was evaluated by direct cell counting and by staining DNA with 0.1% crystal violet in 20% methanol during 30 min at room temperature with gentle shaking. Crystal violet dye was extracted using 10% SDS, 0.01 mM HCl at 37°C during 1 h and absorbance was determined at 570 nm in a microplate reader (Infinite F200 PRO, Tecan, Switzerland).

**Western blotting.** Protein electrophoresis and transfer to nitrocellulose were performed according to standard procedures and primary antibodies against phospho-AMPKα (Thr172) (40H9), and AMPKα (Cell Signaling Technology Europe,
Leiden, Netherlands) were used. Blot revelations were performed using ChemiDocTM Touch Imaging System (BIO-RAD, Hercules, CA, USA).

**RNA isolation and analysis of gene expression.** Total RNA was extracted from cell cultures using Nucleospin RNA kit (Macherey-Nagel SARL, Hoerdt, France). Quantitative measurements of transcripts were performed by real-time PCR on a LightCycler 96 instrument (Roche Diagnostics, Meylan, France) using SYBR Green chemistry and specific primers for **ABCB1** (coding for MDR1/PgP) (forward: 5'-GAAATTTAGAAGATCTGATGTCAAACA-3', reverse: 5'-ACTGTAATAATAGGCATACCTGGTCA-3'), **ABCG2** (coding for **BCRP**) (forward: 5'-TGGCTTAGACTCAAGCACAGC-3', reverse: 5'-TCGTCCCTGCTTAGACATCC-3'), **RALBP1** (coding for **RLIP76**) (forward: 5'-CGGCTCTCTCAGCTGTACATT-3', reverse: 5'-GAACCTGAGCCTGACGTGAA-3'), **SLC22A1** (coding for **OCT1**) (forward: 5'-CTGAGGGAGACATTGCACCT-3', reverse: 5'-TGCTCCAGAATGTCATCCAC-3'), **SLCO1B1** (coding for **OATP1B1**) (forward: 5'-GGGTGGACTTGTTGCAGTTG-3', reverse: 5'-TGTTTTTGTTTGTGTGATGCTCAGT-3'), and **SLCO1B3** (coding for **OATP1B3**) (forward: 5'-TCAAGTGTTATTAAAAAGCATACAGTG-3', reverse: 5'-TTACCCCAAGTGTGTGCTGAGT-3'). For each sample, gene expression was normalized to that of hypoxanthine guanine phosphoribosyltransferase mRNA content (forward: 5'-TAATTGGTGGAGATGATCT-3', reverse: 5'-TGCTTGACCAAGGAAAGC-3'). HPRT mRNA was used to standardize RT-qPCR experiments because this transcript is one of the most stable house-keeping mRNAs between the different HCC cell lines and its Cq values were close to those of the genes of interest. In addition, the expression of HPRT mRNA was not altered after
metformin treatment in HCC cell lines (data not shown). The relative quantity of each target gene was determined from replicate samples using the formula $2^{-\Delta\Delta C_t}$.

**Uptake of radiolabeled sorafenib.** HCC cells ($7 \times 10^4$ cells/well) grown in 24-well plates were preincubated for 30 minutes at 37°C in uptake buffer (96 mM NaCl, 5.3 mM KCl, 1.1 mM KH$_2$PO$_4$, 0.8 mM MgSO$_4$, 1.8 mM CaCl$_2$, 11 mM D-glucose, 50 mM HEPES, pH 7.4). Experiments were initiated by replacement of uptake medium with 0.5 ml of 0.2 μCi/mL [$^3$H]sorafenib (0.1-3 Ci (3.7-111 GBq)/mmol, Moravek Inc., Brea, CA, USA) in uptake buffer. Initially, time-dependent experiments were conducted for up to 20 minutes to determine the linear uptake range (unpublished data). After incubation, radioactive solutions were aspirated and cells were washed four times with 4°C uptake buffer. Cells were lysed with 500 μL of 0.1 N NaOH/0.1% SDS for 4 hours, and samples were analyzed by liquid scintillation counting. Data were normalized to protein concentration determined using BCA protein assay reagent kit.

**Gene expression microarray.** Total RNA was extracted using Trizol (ThermoFischer Scientific) from tumors collected from mice xenografted and treated with vehicle (control), metformin (50 mg/kg/day) combined to sorafenib (15 mg/kg/day) (concomitant schedule) or metformin (50 mg/kg/day) followed 6 hours later by sorafenib (15 mg/kg/day) (sequential schedule). RNA integrity was assessed using the Agilent 2100 Bioanalyzer (Agilent Technologies, Palo Alto, CA, USA). Total RNA was amplified and labelled using the GeneChip® WT PLUS Reagent Kit (ThermoFischer Scientific). Each RNA sample was hybridized to Human Clariom® S GeneChip (ThermoFischer Scientific). Arrays were scanned, and images were analyzed and controlled for hybridization artefacts.
**Microarray analysis.** The microarray data were normalized using Signal Space Transformation-RMA (SST-RMA) which is optimized for under-estimation of true fold changes (Irizarry et al., 2003). Following normalization, differential expression was carried out using eBayes function and one-way ANOVA statistical analysis. The analysis was carried out using Transcriptome Analysis Console software (ThermoFisher Scientific, version 4.0.2) with \( p < 0.05 \) considered as statistically significant. The differentially expressed genes were then subjected to absolute GSEA searching through more than 10,000 different cellular pathways as described in Hamoudi et al. (Hamoudi et al., 2010). C2 is an MSigDB (The Molecular Signature Database) collection consisting of sets curated from biomedical literature and online pathway databases such as the Kyoto Encyclopedia of Genes and Genome (KEGG) (Kanehisa and Goto, 2000) or Reactome (Croft et al., 2011). The gene ontology set C5 contains curated sets derived from Gene Ontology (Ashburner et al., 2000). The data discussed in this publication have been deposited in NCBI’s Gene Expression Omnibus and are accessible through GEO series accession number GSE162557.

**Statistical Analysis.** The experiments performed in this study were exploratory in nature and designed to evaluate the antitumoral effects of metformin in combination with sorafenib according to two different regimens. The current study did not employ a predefined study design; as such, reported \( P \) values are descriptive. Statistical analyses were performed using GraphPad Prism software (San Diego, USA). When a parametric distribution was assumed, data are provided as mean ± SD and statistically analyzed with one-way ANOVA (post-hoc Tukey’s test). When a non-parametric distribution was assumed, data are presented as median (IQR) and
statistically analyzed with Kruskal-Wallis test (post-hoc Dunn’s test). Differences were considered statistically significant at $p<0.05$. 
7. Results

**In vivo effects of a clinically-relevant dose of metformin in combination with sorafenib on HCC growth**

A low dose of metformin (50 mg/kg/d) was administrated by gavage in the following experiments. This dose which is comparable with that used in metformin-treated diabetic patients (33.3-42.5 mg/kg/d), has been reported to reduce insulin resistance and to normalize blood glucose levels in diabetic mice but had no effect on glycemia in control mice (Foretz et al., 2010; Heishi et al., 2006; Hou et al., 2010). We confirmed that the intra-gastric administration of 50 mg/kg/d metformin to nude mice led to median (IQR) plasma metformin concentrations that reached 0.56 (0.36-0.73) mg/L two hours after administration (**Table 1**), which was close to the therapeutic values observed in humans (Lalau et al., 2011).

The first set of experiments was designed to evaluate the effect of the low dose of metformin alone on tumor growth in a model of subcutaneously xenografted PLC/PRF5 cells. Metformin and vehicle administrations were initiated four days before HCC cell grafts and maintained during the next 15 days. We observed that 50 mg/kg/d metformin altered neither tumor initiation (100% of mice developed tumors), nor the kinetics of tumor growth in comparison with the control group (**Figure 1a,b**).

In the second set of experiments, the ability of 50 mg/kg/d metformin to improve the antitumoral effect of sorafenib was evaluated on established xenografted tumors (~250 mm³). A dose of 15 mg/kg/d sorafenib was used in these experiments, which is equivalent to that used in humans for the treatment of advanced HCC (800 mg/d). This dose led to median (IQR) plasma sorafenib concentrations of 2.98 (1.34-3.23) mg/L two hours post administration in nude mice (**Table 1**) (therapeutic
concentrations in humans: 2-5 mg/L). In order to define the optimal sequence, two administration schedules were followed: metformin was administrated concomitantly to sorafenib (concomitant schedule) or 6 hours before sorafenib (sequential schedule). In mice, the plasma half-life of metformin is relatively short (1-2 h) and metformin concentrations in xenografted tumors have also been reported to decrease rapidly (Cai et al., 2019; Dowling et al., 2016). Thus, we chose a 6-hour interval between the two drugs to administer sorafenib when intra-tumoral concentrations of metformin were supposed to be low. Groups of mice receiving vehicle, sorafenib or metformin alone were run in parallel. Of note, four mice in the concomitant group showed signs of tumor necrosis in the course of the experiment which led to sacrifice and exclude them from analysis. As shown on Figure 1c,d and Table 2, 15 mg/kg/d sorafenib alone significantly reduced by 42.3% tumor volume as compared to the control group. When metformin and sorafenib were administrated concomitantly, tumor volumes were significantly reduced and tended to be smaller than those obtained with sorafenib alone (59.5% tumor growth inhibition as compared to the control group). In contrast, the sequential therapy had no significant antitumor effect (15.4% tumor growth inhibition as compared to the control group). The analysis of tumor weights at sacrifice confirmed that the sequential schedule was not effective to reduce tumor weight (Figure 1e). None of the different treatments showed toxicity as monitored by body weight evaluation (data not shown). Altogether, these data indicate that metformin has schedule-dependent antitumor effects against HCC cells when combined with sorafenib; the sequential schedule (administration of metformin 6 h before sorafenib) seems to impair the anticancer activity of sorafenib.
Effects of metformin on plasma concentrations of sorafenib

To approach the potential mechanisms accounting for metformin-mediated inhibition of sorafenib effect, we first measured plasma concentrations of sorafenib. The maximal plasmatic concentrations of sorafenib in mice have been previously reported between 1.5 and 2 hours after oral administration (EMA, 2007; Edginton et al., 2016). Therefore, sampling points performed 2 and 6 hours after sorafenib administration were chosen to characterize the absorption and elimination phases of sorafenib pharmacokinetics, respectively. Plasma concentrations were measured in mice treated with sorafenib alone, sorafenib and metformin concomitantly during 2 h or 6 h as well as in mice pretreated with metformin during 2 h or 4 h and then exposed to sorafenib during 2 h. As shown in Table 1, the concomitant and sequential administrations of metformin did not modify the plasmatic concentrations of sorafenib as compared to those obtained in mice treated with sorafenib alone.

In vitro effects of metformin in combination with sorafenib on HCC cell viability and proliferation

We then analyzed the effects of metformin and sorafenib on the viability of the PLC/PRF5 cell line using an in vitro MTT assay. As shown in Figures 2a and 2b, the concomitant treatment of PLC/PRF5 cells with suboptimal concentrations of metformin and sorafenib decreased cell viability to a larger extent than did each drug alone. In contrast, the sequential treatment (pre-treatment with metformin during 6 h) was significantly less effective to reduce cellular viability than the concomitant treatment. We extended analyses to three human other liver cancer cell lines, namely Hep3B, HepG2 and Huh7. In these three cell lines, the concomitant combination of
metformin with sorafenib was significantly more efficient to reduce cell viability than was the sequential schedule (Figure 2a).

As metformin is known to affect the mitochondrial complex 1 of the respiratory chain, it might interfere with the MTT assay, which relies on mitochondrial activity. Therefore, we also evaluated the effects of concomitant and sequential treatments on HCC cell proliferation using two assays that do not rely on cell functionalities. As shown in Figures 3a and 3b, the concomitant treatment was more potent than the sequential schedule to reduce proliferation in PLC/PRF5 and Huh7 cells evaluated both by cell counting and DNA staining with crystal violet. Altogether, these data support the conclusion that when metformin was administrated before, the antiproliferative effect of sorafenib was reduced in vitro.

**Effects of metformin on sorafenib uptake in HCC cells**

We then investigated whether metformin may alter sorafenib uptake in HCC cells. Sorafenib uptake has been reported to occur via both passive (Hu et al., 2009; Swift et al., 2013) and active (Herraez et al., 2013; Swift et al., 2013; Zimmerman et al., 2013) diffusion in different cell types. The active portion may involve organic anion-transporting polypeptides (OATPs) 1B1 and 1B3 and organic cation transporter-1 (OCT1). As shown in Figure 4a, hepatic cancer cell lines exhibited low levels of OATP1B1, OATP1B3 and OCT1 transcripts compared to normal human hepatocytes. Cell treatment with metformin (1 mM, 24 h) had no effect on mRNA expression of influx transporters (data not shown).

Efflux clearance of sorafenib has been shown to be mediated by different transporters. BCRP/ABCG2 functions as an efflux pump for sorafenib in vivo in mouse brain (Agarwal and Elmquist, 2012; Agarwal et al., 2011; Tang et al., 2013)
and in vitro in MDCKII and Hep3B cells (Huang et al., 2013; Poller et al., 2011). RLIP76, a stress-responsive membrane protein, has been identified as a transporter for sorafenib in kidney cancer cells (Singhal et al., 2010). In contrast, sorafenib seems to be a weak substrate for Pgp/MDR1/ABCB1 in vitro in the K562/Dox cell line (Haouala et al., 2010) and in vivo in mouse (Agarwal and Elmquist, 2012). These three pumps were expressed differentially in HCC cell lines (Figure 4b) and cell treatment with metformin (1 mM, 24 h) was without any effect on mRNA expression of efflux transporters (data not shown).

We examined whether cell pretreatment with metformin may impact drug uptake using radiolabeled [³H]sorafenib. Experiments were performed at 37°C and also at 4°C to assess the contribution of passive diffusion to overall uptake. The uptake of [³H]sorafenib at 4°C was reduced by 58% compared with 37°C confirming a substantial degree of passive diffusion (Figure 4c). At both temperatures, cell pretreatment with metformin during six hours did not alter sorafenib cellular accumulation (Figure 4c, d). These data did not support for a role of metformin on the regulation of sorafenib disposal into HCC cells in vitro.

**In vitro effects of metformin in combination with sorafenib on AMPK phosphorylation**

The combination of metformin and sorafenib has been reported to be synergistic in non-small cell lung cancer cells through AMP-activated protein kinase (AMPK) activation (Groenendijk et al., 2015). Therefore, we next investigated whether sequential and concomitant regimens differentially affected the AMPK pathway. Using AICAR which is a cell permeable activator of AMPK (through its phosphorylation) in different cancer cell lines including HCC cells (Cheng et al.,
2014), we observed that the concomitant treatment of PLC/PRF5 and Huh7 cells with AICAR and sorafenib reduced cell viability more efficiently than drugs alone while the sequential treatment turned to be less potent (Figure 5a). These data mimic those obtained with the metformin/sorafenib combinations (Figure 2) and suggest that the cross-resistance observed between metformin and sorafenib in the sequential schedule may be associated with an inadequate stimulation of AMPK activity. To test this hypothesis, the phosphorylation level of AMPK was examined by Western blot analysis in the different cell lines treated during 24 h with drugs alone, drugs in combination or with metformin during 6 h followed by sorafenib for the next 18 h. As shown in Figure 5b, the concomitant treatment increased the activation level of AMPK in comparison with control while the sequential treatment led to a lower activation of AMPK in the four HCC cell lines.

**Genes differentially expressed in concomitant and sequential regimens**

To better characterize the molecular signatures driving the differential responses to concomitant and sequential bitherapies, we conducted a transcriptomic analysis on RNA extracted from tumor xenografts. Using Anova to filter differentially expressed genes obtained from eBayes function, 1035 genes were identified to be differentially expressed between control and concomitant treatments, 771 genes between control and sequential treatments and 1051 between sequential and concomitant treatments. Among these differentially expressed genes, 193 were commonly altered by both types of treatments (sequential and concomitant) while 842 genes were altered by the concomitant treatment only and 578 genes by the sequential treatment (Figure 6a,b).
The differentially expressed genes were subjected to absolute GSEA searching through more than 10,000 different cellular pathways (Figure 6b). The analysis identified 6 and 24 pathways derived from the C2 and C5 gene sets respectively as differentially expressed between the control and concomitant treatments, while 15 and 18 pathways derived from the C2 and C5 gene sets respectively were differentially expressed between the control and sequential treatments (Tables S2 and S3). Ten pathways derived from C2 gene sets and 5 pathways from C5 gene sets were differentially expressed between concomitant and sequential treatments (Table S4).

Some of the pathways identified for the concomitant treatment are related to G-proteins coupled receptors (GPCR) and transmembrane receptors signaling such as GO_G_PROTEIN_COUPLED_RECEPTOR_ACTIVITY (GO:0007186) and GO_TRANSMEMBRANE_SIGNALING_RECEPTOR_ACTIVITY (GO:0004888). Some of the pathways identified for the sequential treatment are related to protein kinases, receptor tyrosine kinases (RTKs) and mitogen-activated protein kinase (MAPK) signaling such as REACTOME SIGNALING BY RECEPTOR TYROSINE KINASES (R-HSA-9006934), POSITIVE REGULATION OF MAPK CASCADE (GO:0043410) and GO_REGULATION_OF_PHOSPHORUS_METABOLIC_PROCESS (GO:0051174). Some of the pathways differentially expressed between sequential and concomitant treatments are also related to GPRC such as REACTOME_SIGNALING_BY_GPCR (R-HSA-372790), REACTOME_GPCR_LIGAND_BINDING (R-HSA-500792), GO_G_PROTEIN_COUPLED_RECEPTOR_ACTIVITY (GO:0004930) as well as cell proliferation such as BENPORATH_EED_TARGETS (M7617) (Figure 6c).
For each significant pathway, the enriched genes were identified and their recurrence in other pathways was searched as previously described (Hamoudi et al., 2010). The genes detected in more than three different pathways were considered of significance for the drug mechanism of action (Table S5). Applying this approach to concomitant drug treatment, 11 members of the olfactory receptors family (such as OR10H3 and OR7G1) as well as other genes such as CEACAM1, SCN1A, and ADAM8 amongst others were found significantly overexpressed in treated tumors compared to untreated control tumors (OR10H3: fold change = 1.38, p = 0.0228; OR11G2: fold change = 1.29, p = 0.0175; OR13D1: fold change = 1.33, p = 0.0347; CEACAM1: fold change = 2.84, p = 0.0009; ADAM8: fold change = 1.65, p = 0.017) while 7 members of the olfactory receptors family (such as OR2AG2 and OR2T10) were down-regulated (OR2AG2: fold change = -1.43, p = 0.0173; OR2T10: fold change = -1.35, p = 0.0137).

In sequential treatment, PRKAR1A, STAT3, STAT5B, IRS2, AKT2 and CEACAM1 were overexpressed in treated tumors (PRKAR1A: fold change = 1.68, p = 0.0254; STAT3: fold change = 1.18, p = 0.03; STAT5B: fold change = 1.33, p = 0.0135; IRS2: fold change = 2.01, p = 0.0212; AKT2: fold change = 1.42, p = 0.0163; CEACAM1: fold change = 1.71, p = 0.0304) while FGF2 and CCL20 were downregulated amongst others (FGF2: fold change = -1.55, p = 0.02; CCL20: fold change = -1.91, p = 0.002).

Comparing the two modes of treatments, genes detected in more than three pathways and upregulated in sequential treatment compared to concomitant one include PDE4DIP (fold change = 1.91, p = 0.0245), while downregulated genes include PYY (fold change = -1.55, p = 0.0036) and WNT1 (fold change = -1.24, p = 0.0389).
Altogether these data substantiate the notion that the combination of metformin and sorafenib according to sequential and concomitant regimens leads to qualitatively and quantitatively different signaling pathways in HCC tumors that may account for differential antitumor responses.
8. Discussion

In the present study, we investigated the underlying mechanisms that may account for the clinical finding that patients receiving both metformin and sorafenib have reduced survival compared to patients receiving sorafenib alone (Casadei Gardini et al., 2017; Casadei Gardini et al., 2015; Schulte et al., 2019). Using a xenograft model of HCC growth, we identified a differential therapeutic response to the bitherapy metformin/sorafenib depending upon the drug administration schedule (concomitant versus sequential) and provide novel insights into the complex and interactive molecular mechanism of the metformin/sorafenib combination.

Sorafenib is the gold standard, first-line systemic treatment for advanced HCC since 2007. It provides a modest but significant survival benefit over placebo (Marisi et al., 2018). Sorafenib is a multi-kinase inhibitor targeting Raf kinase activity, STAT3-dependent signaling and RTKs such as vascular endothelial growth factor receptor, platelet-derived growth factor receptor-β and c-KIT (Tai et al., 2011; Wilhelm et al., 2008). These pleiotropic actions confer to sorafenib potential inhibitory effects on tumor cell proliferation and neovascularization.

Because of its great potency to reduce liver glucose production, its relatively low cost and its safety profile even in case of cirrhosis (Bhat et al., 2014; Zhang et al., 2014), metformin is the first medication prescribed to patients with T2D. Several studies have also reported a preventive role of metformin on HCC development among diabetic patients (Cunha et al., 2020; Zhou et al., 2016). This is thought to be related to the glucose-lowering and insulin-sensitizing effects of metformin which might reduce the proliferation rate of premalignant hepatic lesions that thrive in high-glucose and/or high-insulin environment. In addition, direct antitumor effects of metformin have
been reported *in vitro* in HCC cells (Hu et al., 2019; Miyoshi et al., 2014; Tsai et al., 2017).

Recent observational clinical studies have cast doubt on the benefits for patients with HCC and diabetes to be treated simultaneously with sorafenib and metformin. Indeed, it has been reported that the use of sorafenib and metformin in patients with advanced HCC was associated with a poorer prognosis compared to the use of sorafenib alone (Casadei Gardini et al., 2017; Casadei Gardini et al., 2015; Schulte et al., 2019). These results were rather unexpected as preclinical experimental data were encouraging showing that the concomitant administration of metformin to sorafenib was more efficient than drugs alone to inhibit HCC tumor growth as well metastatic dissemination in immunodeficient mice bearing xenografts of human HCC cells (Guo et al., 2016; Ling et al., 2017; You et al., 2016). However, one limitation of these studies is that metformin was used at a high concentration (>200 mg/kg/d) generally unachievable in diabetic patients.

Therefore, we conducted the present study to re-evaluate the antitumor potential of the combination metformin/sorafenib, taking into account not only the dose of metformin used but also the drug administration regimen. In contrast to the results reported with high doses of metformin (Cauchy et al., 2017; Chen et al., 2013; Saito et al., 2013; Zheng et al., 2013), we observed that a low dose of metformin (50 mg/kg/d) was unable to inhibit the growth of established tumors in a HCC xenograft model. In this model, the co-administration of sorafenib with a low dose of metformin induced a significant reduction in tumor volume and weight compared to control but was not significantly more effective than sorafenib monotherapy. Taken together, these data suggest that the antitumor effect of metformin cannot be achieved *in vivo* at a clinically-relevant dose.
Intriguingly, the sequential administration of metformin 6 h prior to sorafenib significantly impaired the anti-cancer effect of sorafenib on tumor growth in the HCC xenograft model. These observations were reproduced in vitro using a panel of four human HCC cell lines known to be genetically and phenotypically different (Caruso et al., 2019), which supports the relevance of our findings. Cell pretreatment with metformin impaired sorafenib effects on HCC cell viability and proliferation in vitro. Interestingly, we performed preliminary experiments with sunitinib which is also a pan-inhibitor of receptor tyrosine kinases. In a similar way to what was observed with sorafenib, we found that the sequential treatment with metformin was less effective than the concomitant treatment to decrease cell viability in the PLC/PRF5 cell line (Supplementary Fig. S1) suggesting that metformin may more generally interfere with this class of anti-cancer drugs.

Prior administration of metformin impacted neither the plasma concentrations of sorafenib 2 and 6 h after its administration, nor the intracellular bioavailability of sorafenib in HCC cells in vitro. Karbownik et al. (Karbownik et al., 2020) recently showed that the concomitant administration of metformin (100 mg/kg) increases the clearance of sorafenib (100 mg/kg) in rats, which results in a lower sorafenib half-life (16.3 ± 3.7 vs 21.9 ± 7.8 h, p=0.0372). This result was obtained from complete sorafenib pharmacokinetics including sampling points up to 96 h. The difference was particularly significant during the terminal elimination phase (i.e. 24 h after the administration). Therefore, our limited sampling strategy (two sampling points at 2 and 6 h after administration) is a limiting factor to draw any conclusion about the pharmacokinetic interaction between metformin and sorafenib and this point deserves further characterization. According to the results of Karbownik et al. (Karbownik et al., 2020), we should have expected a lower total exposure to
sorafenib in our murine model cotreated with metformin. However, coadministration of sorafenib plus metformin was associated with a greater decrease in tumor volume compared to sequential therapy in our model, which suggests that the differential effects between the two regimens may be the result of pharmacodynamic rather than pharmacokinetic interactions.

The sequential use of metformin and sorafenib led to a poorer activation of AMPK in HCC cell lines than did the concomitant treatment. Metformin alone has been reported to exert some of its anti-cancer effects in HCC cells through the activation of AMPK and the subsequent inhibition of mTOR signaling (Cheng et al., 2014; Zheng et al., 2013). In addition, low levels of AMPK signaling has been associated with HCC cell resistance to sorafenib (Bort et al., 2019). Together with our in vitro findings showing that cell pre-treatment with AICAR, another AMPK activator, impaired HCC cell response to sorafenib, these data sustain the hypothesis that the deficit in AMPK signaling as evidenced in HCC cells pre-treated with metformin participates to tumor cell resistance to sorafenib.

The microarray analysis performed on RNA extracted from tumor xenografts confirmed that gene expression and cellular pathways are differentially altered by sequential and concomitant treatments with metformin and sorafenib. Of interest, pathways altered by the concomitant treatment mainly involve GPCRs that may account for its beneficial effect observed in vivo compared to sequential. GPCRs are known to increase intracellular levels of cAMP by activating adenylate cyclase which may concur to the subsequent stimulation of PKA, LKB1 and AMPK. In contrast, the sequential regimen rather altered pathways involving RTKs (IRS2 and AKT2 overexpression), STAT signaling (STAT3 and STAT5B overexpression) and perturbation of cAMP signaling (PRKAR1A) that may account for its lack of efficacy.
compared to the concomitant administration. PRKAR1A codes for the type 1 regulatory subunit of PKA and its overexpression has been reported in different cancer cell types. Downregulation of PRKAR1A in cancer cells with siRNA was shown to activate PKA through release of the catalytic subunit from the holoenzyme (Nadella et al., 2008).

The transcriptomic analysis also showed that both regimens induce expression of genes associated with therapeutic resistance and tumor progression. Regarding the sequential treatment, microarray analysis identified FGF2 (fibroblast growth factor 2) and CCL20 (C-C motif chemokine ligand 20) as downregulated after sequential treatment. FGF2 downregulation could reduce elimination of HCC cells by natural killer-mediated innate immunity as previously reported (Tsunematsu et al., 2012) and thus contributing to reduce treatment efficacy. As upregulation of CCL20 was previously reported in sorafenib responders versus non responders (Covell, 2017), its downregulation is probably a marker of inefficacy of sequential combination. CEACAM1 (carcinoembryonic antigen-related cell adhesion molecule 1) was upregulated in combinatory and sequential treatments and its upregulation has been associated with HCC invasion, progression and recurrence (Kiriyama et al., 2014; Park et al., 2020; Yoshikawa et al., 2017). ADAM8 (ADAM metallopeptidase domain 8) was overexpressed in concomitant treatment versus control. High expression of ADAM8 was previously found to correlate with progression and poor prognosis in patients with HCC (Jiang et al., 2012; Zhang et al., 2013). These data suggest that despite its ability to target GPCR and AMPK signaling, the combination metformin/sorafenib may also induce adverse signaling pathways that ultimately contribute to drug resistance and treatment failure, raising doubt about its benefit in the treatment of HCC.
In conclusion, our study provides important information on the molecular mechanisms of action of the metformin/sorafenib combination and suggests a pharmacodynamics drug interaction between the two molecules leading to a loss of antitumor activity. Our data call into question the benefit of parallel use of the two drugs in patients suffering from both advanced HCC and diabetes as this interaction could ultimately compromise patient survival.
9. Acknowledgements

The authors are greatly indebted to Corina Buta and Alkaly Gassama for their technical assistance. We thank Sébastien Jacques, Angéline Duché and Franck Letourneur from the platform GENOM'IC (Cochin Institute, INSERM U1016, Paris) for microarray experiments, Tatiana Ledent and the staff of the animal facility of the Saint-Antoine Research Center (INSERM UMR_S938) for animal care and welfare.
10. Authorship contributions

**Participated in Research design:** Harati, Vandamme, Desbois-Mouthon

**Conducted experiments:** Harati, Vandamme, Desbois-Mouthon

**Contributed new reagents or analytic tools:** Blanchet, Bardin, Hamoudi

**Performed data analysis:** Harati, Vandamme, Hamoudi, Desbois-Mouthon

**Wrote or contributed to the writing of manuscript:** Harati, Blanchet, Praz, Hamoudi, Desbois-Mouthon
11. References

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Hamoudi RA, Appert A, Ye H, Ruskone-Fourmestraux A, Streubel B, Chott A, Raderer M, Gong L, Wlodarska I, De Wolf-Peeters C, MacLennan KA, de Leval L, Isaacson PG and


12. Footnotes

a) This work was supported by grants from Institut National de la Santé et de la Recherche Médicale (INSERM); Cancéropôle Ile de France; Institut National du Cancer (INCa-DGOS_5790); and the University of Sharjah (Competitive Grant no. 1701110321-P). The authors declare no conflict of interest.

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13. Figure legends

Figure 1. Effects of the concomitant and sequential combinations of metformin and sorafenib on tumor growth in a HCC xenograft model.

A, a low dose of metformin (50 mg/kg/d, n=7) and vehicle (n=7) were administrated to six week-old athymic mice four days before s.c. xenografts with $2 \times 10^6$ PLC/PRF5 cells and maintained during the next 15 days. The evolution of tumor volumes over the 15 days of treatment is presented. B, tumor weights at sacrifice. C, six week-old athymic mice were inoculated s.c. with $2 \times 10^6$ PLC/PRF5 cells. Once tumor volumes reached 250 mm$^3$, mice were treated by gavage with vehicles (control, n=19), sorafenib alone (15 mg/kg/day, n=10), metformin alone (50 mg/kg/day, n=14), metformin combined to sorafenib (concomitant schedule, n=8) or metformin followed 6 h later by sorafenib (sequential schedule, n=14). The evolution of tumor volumes over the 15 days of treatment is presented. Inset: representative photographs of tumors at sacrifice after concomitant or sequential treatment. D, relative tumor volumes (RTV) were calculated for each group using the formula: $TV_{d15}/TV_{d1}$, where $TV_{d15}$ and $TV_{d1}$ are the mean tumor volumes at day 15 and day 1, respectively. E, tumor weights at sacrifice. Data are mean ± SD. $P$ values were determined using one-way ANOVA relative to the control condition.

Figure 2. Effects of the concomitant and sequential combinations of metformin and sorafenib on cell viability in human HCC cell lines.

A, PLC/PRF5, HepG2, Hep3B and Huh7 cell lines were seeded in 24-well plates (3 × $10^5$ cells per well) and allowed to proliferate for 24 h in complete medium. Then cells were incubated for a further 72 h in the presence or not of metformin (1 mM), sorafenib (1 μM), metformin combined to sorafenib or metformin followed 6 h later by
sorafenib. At the end of the treatment period, cell viability was measured using the MTT assay. Data are mean ± SD of three independent experiments performed in 8 determinations. $P$ values were determined using one-way ANOVA relative to the concomitant condition. $P$ values for other multiple comparisons are presented in supplementary Table S1. B, similar experiments were performed in PLC/PRF5 cells treated with different concentrations of metformin (0-0.5-1-2-3 mM) in combination with sorafenib (0-1-2 μM). Data are mean of two independent experiments performed in 8 determinations.

**Figure 3. Effects of the concomitant and sequential combinations of metformin and sorafenib on cell proliferation in human HCC cell lines.**

PLC/PRF5 and Huh7 cell lines were seeded in 24-well plates (3 × 10⁵ cells per well) and allowed to proliferate for 24 h in complete medium. Then cells were incubated for a further 72 h in the presence or not of metformin (1 mM), sorafenib (1 μM), metformin combined to sorafenib or metformin followed 6 h later by sorafenib. At the end of the treatment period, cell proliferation was measured by cell counting (A) and staining DNA with 0.1% crystal violet (B). Data are mean of two independent experiments performed in 8 determinations.

**Figure 4. Effects of metformin on the expression of efflux/influx transporters and sorafenib disposal in HCC cell lines.**

A and B, total RNA was extracted from human hepatocytes in primary culture and HCC cell lines and quantitative measurements of transcripts coding for influx and efflux transporters were performed by real-time PCR. Values are mean ± SD of four independent experiments. C and D, uptake of $[^3]$Hsorafenib over 10 min in Huh7 cells
pre-treated during 6h in the presence of metformin (0-0.5-1-2-3-4 mM) at 37°C or 4°C. Data are mean of two independent experiments performed in triplicates.

Figure 5. Effects of the concomitant and sequential combinations of metformin and sorafenib on the stimulation of AMPK phosphorylation in human HCC cell lines.

A, PLC/PRF5 and Huh7 cell lines were seeded in 24-well plates (3 \times 10^5 cells per well) and allowed to proliferate for 24 h in complete medium. Then cells were incubated for a further 72 h in the presence or not of AICAR (0.5 mM), sorafenib (1 \mu M), AICAR combined to sorafenib or AICAR followed 6 h later by sorafenib. At the end of the treatment period, cell viability was measured using the MTT assay. Data are mean ± SD of three independent experiments performed in 8 determinations. \( P \) values were determined using one-way ANOVA relative to the concomitant condition. \( P \) values for other multiple comparisons are presented in supplementary Table S1. B, whole-cell lysates were analyzed by Western blotting for phosphorylated and total levels of AMPK. Blots are representative of two independent experiments. Values depict the relative pAMPK/AMPK activation ratio (AR) evaluated by scanning densitometry from the two independent experiments.

Figure 6. Examples of signatures differentially modulated in xenografted tumors treated with concomitant and sequential metformin/sorafenib administration in comparison with control tumors.

A, Venn diagram showing the numbers of genes differentially expressed between untreated tumors (n=3) and tumors obtained after sequential (n=3) or concomitant (n=3) administration. 1035 genes were identified to be differentially expressed.
between control and concomitant treatments, 771 genes between control and sequential treatments, and 1051 genes between sequential (n=3) and concomitant treatments. Among these differentially expressed genes, 193 genes were commonly altered by both types of treatments, while 842 genes were altered by the concomitant treatment only and 578 genes by the sequential treatment. B, flowchart outlining the steps of the bioinformatics approach to identify differentially expressed genes in concomitant and sequential treatments compared to controls. RNA samples were hybridized to Human Clariom™ S GeneChip. Following normalization using Signal Space Transformation-RMA (SST-RMA), differential expression was carried out using eBayes function and One-Way Anova statistical analysis. The analysis was carried out using Transcriptome Analysis Console software. The differentially expressed genes were then subjected to absolute GSEA searching through more than 10,000 different cellular pathways. C, examples of signatures differentially modulated in xenografted tumors treated with concomitant and sequential metformin/sorafenib administration in comparison with control tumors. Upper, GSEA of GO_G_PROTEIN_COUPLED_RECEPTOR_ACTIVITY (GO:0007186) in HCC xenografts treated with concomitant combination of metformin and sorafenib in comparison with control group; middle, GSEA of GO_REGULATION_OF_PHOSPHORUS_METABOLIC_PROCESS (GO:0051174) in HCC xenografts treated with sequential combination of metformin followed by sorafenib in comparison with control group; lower, GSEA of BENPORATH_EED_TARGETS (M7617) in HCC xenografts treated with sequential combination of metformin followed by sorafenib in comparison with concomitant treatment.
14. Tables

Table 1. Plasma concentrations of metformin and sorafenib

<table>
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<tr>
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<th>metformin (mg/L)</th>
<th>sorafenib (mg/L)</th>
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<tbody>
<tr>
<td></td>
<td>2 h</td>
<td>4 h</td>
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<tr>
<td>Monotherapy</td>
<td></td>
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<tr>
<td>(n=3)</td>
<td>0.56 (0.36-0.73)</td>
<td>0.22 (0.18-0.49)</td>
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<tr>
<td>Concomitant</td>
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<tr>
<td>(n=4)</td>
<td></td>
<td>2.04 (1.84-3.08)</td>
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<tr>
<td>sequential*</td>
<td></td>
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<tr>
<td>(n=4)</td>
<td></td>
<td>2.07 (1.68-5.22)</td>
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<td>sequential**</td>
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<tr>
<td>(n=3)</td>
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<td>3.16 (2.03-5.20)</td>
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Values are median (IQR); significances between sorafenib, concomitant and sequential groups were tested using Kruskal-Wallis test. *, metformin was administrated 2 h before sorafenib. **, metformin was administrated 4 h before sorafenib.
Table 2. Tumor growth inhibition rates

<table>
<thead>
<tr>
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<th>%TGI*</th>
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<tr>
<td></td>
<td>(day 15)</td>
</tr>
<tr>
<td>Control (n=19)</td>
<td></td>
</tr>
<tr>
<td>Metformin (n=14)</td>
<td>11.7</td>
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<tr>
<td>Sorafenib (n=10)</td>
<td>42.3</td>
</tr>
<tr>
<td>Concomitant (n=8)</td>
<td>59.5</td>
</tr>
<tr>
<td>Sequential (n=14)</td>
<td>15.4</td>
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*Tumor growth inhibition (TGI) rates were calculated using the formula: (1- TVt/TVc)*100, where TVt and TVc are the mean tumor volumes of treated and control groups, respectively.
Figure 3

(a) Proliferation (cells/ml) of PLC/PRF5 and Huh7 cells treated with control, metformin, sorafenib, concomitant, and sequential treatments.

(b) Crystal violet staining (% control) of PLC/PFR5 and Huh7 cells treated with control, metformin, sorafenib, concomitant, and sequential treatments.